Agency Summary And Certification

AUG 28 2020

250 -- Finance, Department of

Original Submission ✓ or Rev No. ___ **FY2022 Request** Page 1 of 10 Pages

In accordance with 67-3502, Idaho Code, I certify the attached forms properly state the receipts and expenditures of the department (agency, office, or institution) for the fiscal years indicated. The summary of expenditures by major program, fund source, and standard class is indicated below.

Signature of Department Director : Date: 08/28/2020

Function/Activity	FY 2020 Total Appropriation	FY 2020 Total Expenditures	FY 2021 Original Appropriation	FY 2021 Estimated Expenditures	FY 2022 Total Request
Department of Finance	10,721,800	6,589,600	8,924,500	10,724,500	9,120,300
Total	10,721,800	6,589,600	8,924,500	10,724,500	9,120,300

By Fund Source	Total Appropriation	Actual Expenditures	Original Appropriation	Estimated Expenditures	Total Request
D 0229-00 State Regulatory Fund	10,621,800	6,514,800	8,824,500	10,624,500	9,020,300
D 0229-26 Mortgage Recovery Fund	50,000	35,700	50,000	50,000	50,000
D 0325-27 Securities Investor Educ/Training Fund	50,000	39,100	50,000	50,000	50,000
Total	10,721,800	6,589,600	8,924,500	10,724,500	9,120,300

By Object	Total Appropriation	Actual Expenditures	Original Appropriation	Estimated Expenditures	Total Request
Personnel Costs	6,513,200	5,585,000	6,863,700	6,863,700	7,038,300
Operating Expenditures	4,087,600	951,500	2,050,800	3,850,800	2,036,400
Capital Outlay	121,000	53,100	10,000	10,000	45,600
Trustee And Benefit Payments	0	0	0	0	0
Lump Sum	0	0	0	0	0
Total	10,721,800	6,589,600	8,924,500	10,724,500	9,120,300
FTP Total	63.00	63.00	66.00	66.00	66.00

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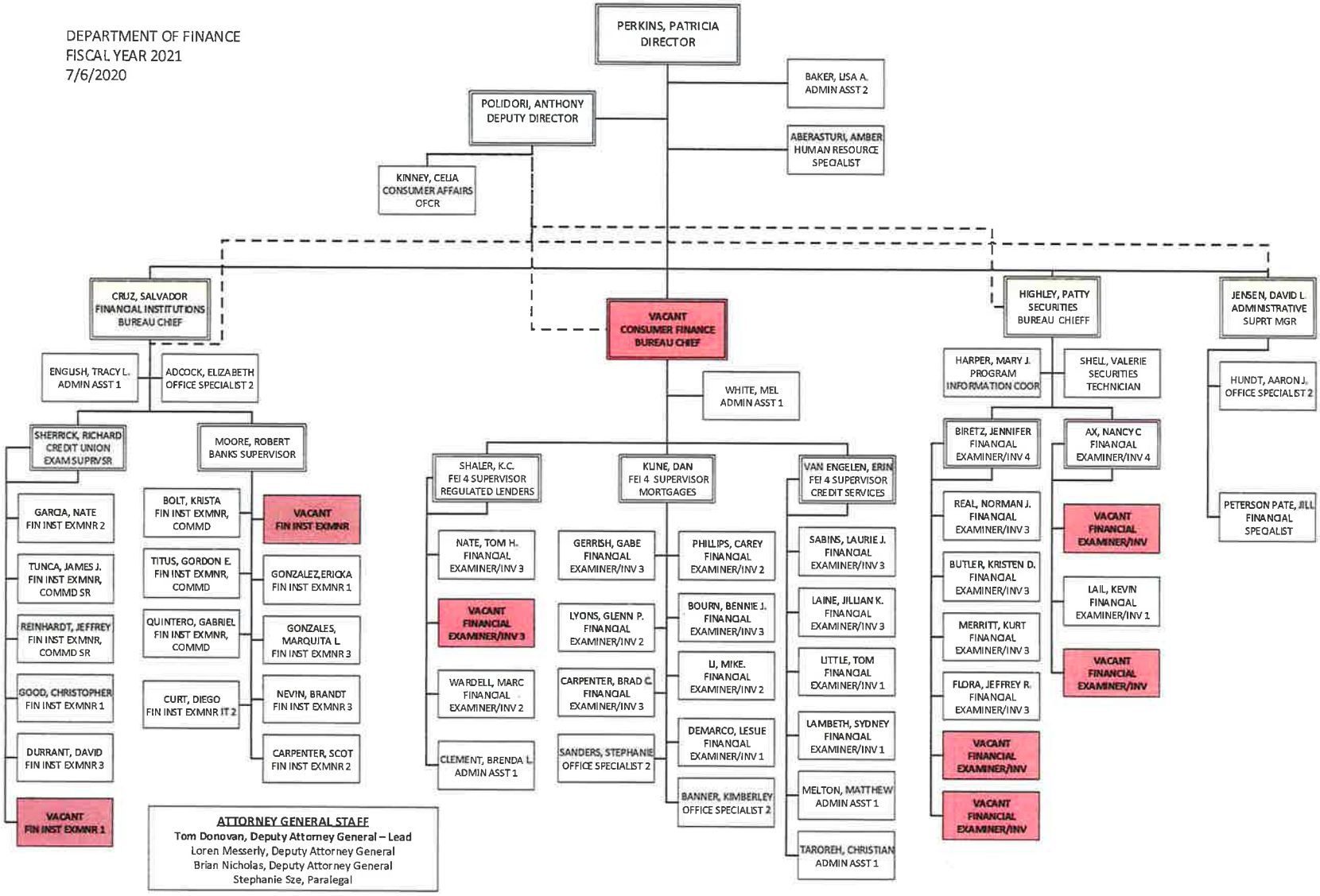
DEPARTMENT OF FINANCE
FISCAL YEAR 2021
7/6/2020



FORM B11: REVENUE

Agency/Department: Finance

Program (If applicable)

Request for Fiscal Year: 2022

Agency Number: 250

Budget Unit (If Applicable): FIAA

Function/Activity Number (If Applicable):

Original Request Date: 8/27/20

Revision Request Date:

Fund No.	Fund Detail No.	Fund Name	Significant Assumption Number	Summary Object Code	Revenue Source Description -Summary Level	FY 2018 Actual Revenue	FY 2019 Actual Revenue	FY 2020 Actual Revenue	FY 2021 Estimated Revenue	FY 2022 Estimated Revenue
0229	00	Finance Administration Account		1018	Examination/Investigation Fees	185,700	126,000	69,861	120,800	146,300
				1025	Assessment Fees	1,236,800	1,360,300	1,541,238	1,533,300	1,604,800
				1155	Filing Fees	2,383,000	2,383,500	2,280,300	2,350,000	2,450,000
				1205	License/Notification Fees	10,015,000	10,221,300	10,627,241	10,507,400	10,396,900
				1315	Fines	348,000	547,400	63,100	194,500	217,000
				1936	Sale of Equipment	3,000				
				3635	Refunds PY Expenses		900			
0229	**00**	**Finance Administration Account**		**FUND TOTAL**		**$14,171,500**	**$14,639,400**	**$14,581,740**	**$14,706,000**	**$14,815,000**
0325	27	Securities Investor Education Fund		1315	Fines	38,500	50,000	50,000	50,000	50,000
0325	**27**	**Securities Investor Education Fund**		**FUND TOTAL**		**$38,500**	**$50,000**	**$50,000**	**$50,000**	**$50,000**
0229	26	Mortgage Recovery Fund		1205	License/Notification Fees	255,500	223,700	315,900	100,000	100,000
				2501	Interest	33,500	57,600	55,407		
0229	**26**	**Mortgage Recovery Fund**		**FUND TOTAL**		**$289,000**	**$281,300**	**$371,307**	**$100,000**	**$100,000**
				GRAND TOTAL		**$14,499,000**	**$14,970,700**	**$15,003,047**	**$14,856,000**	**$14,965,000**

SIGNIFICANT ASSUMPTIONS

Fund No.	Fund Detail No.	Fund Name	Significant Assumption Number	Provide Details for any Significant Assumptions Listed	FY 2022 Estimated Impact
					$0
					$0
					$0
					$0
					$0
					$0

FORM B12: ANALYSIS OF FUND BALANCES

Request for Fiscal Year : 2022

Agency/Department: Finance

Agency Number: 250

Original Request Date: August 27, 2020 or Revision Request Date:

Sources and Uses: This State Regulatory Fund souces includes various fees assessed to licensees working in the financial industry and are used to cover the cost of regulating the financial industry in Idaho. (§67-2701(f)) At the beginning of each fiscal year, those moneys in the finance administrative account which exceed the current year's appropriation plus any residual encumbrances made against prior year's appropriations by twenty-five percent (25%) or more shall be transferred to the general account.

FUND NAME: Finance	FUND CODE: 0229	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Estimate	FY 2022 Estimate
1. Beginning Free Fund Balance		**15,585,900**	**16,614,000**	**16,832,300**	**15,497,800**	**17,879,300**
2. Encumbrances as of July 1		0	0	0	0	0
2a. Reappropriation (Legislative Carryover)		0	0	0	1,800,000	1,800,000
3. Beginning Cash Balance		**15,585,900**	**16,614,000**	**16,832,300**	**17,297,800**	**19,679,300**
4. Revenues (from Form B-11)		14,171,400	14,639,400	14,581,700	14,706,000	14,815,000
5. Non-Revenue Receipts and Other Adjustments	Suspense, borrowing limit	3,500	13,300	10,900	0	0
6. Statutory Transfers in:	Fund or Reference:	0	0	0	0	0
7. Operating Transfers in:	Fund or Reference:	0	0	0	0	0
8. Total Available for Year		**29,760,800**	**31,266,700**	**31,424,900**	**32,003,800**	**34,494,300**
9. Statutory Transfers Out:	Fund or Reference:	5,266,700	5,928,800	5,788,800	3,500,000	3,500,000
10. Operating Transfers Out:	Fund or Reference:	0	0	0	0	0
11. Non-Expenditure Disbursements and Other Adjustments	Refunds, Clearing, P-card pymts	6,000	14,200	10,500	0	0
12. Cash Expenditures for Prior Year Encumbrances		0	0	0	0	0
13. Original Appropriation		*8,255,300*	*8,548,100*	*8,834,800*	*8,824,500*	*9,120,300*
14. Prior Year Reappropriations, Supplementals, Rescissions		*0*	*0*	*1,787,000*	*0*	*0*
15. Non-cogs, Receipts to Appropriation, etc		*0*	*0*	*0*	*0*	*0*
16. Reversions and Continuous Appropriations		*(381,200)*	*(56,700)*	*(494,000)*	*0*	*0*
17.Current Year Reappropriation		*0*	*0*	*(1,800,000)*	*0*	*0*
18. Reserve for Current Year Encumbrances		*0*	*0*	*0*	*0*	*0*
19. Current Year Cash Expenditures		**7,874,100**	**8,491,400**	**8,327,800**	**8,824,500**	**9,120,300**
19a. Budgetary Basis Expenditures (CY Cash Exp + CY Enc)		**7,874,100**	**8,491,400**	**8,327,800**	**8,824,500**	**9,120,300**
20. Ending Cash Balance		**16,614,000**	**16,832,300**	**17,297,800**	**19,679,300**	**21,874,000**
21. Prior Year Encumbrances as of June 30		0	0	0	0	0
22. Current Year Encumbrances as of June 30		0	0	0	0	0
22a. Current Year Reappropriation		0	0	1,800,000	1,800,000	0
23. Borrowing Limit		0	0	0	0	0
24. Ending Free Fund Balance		**16,614,000**	**16,832,300**	**15,497,800**	**17,879,300**	**21,874,000**
24a. Investments Direct by Agency (GL 1203)		0	0	0	0	0
24b. Ending Free Fund Balance Including Direct Investments		**16,614,000**	**16,832,300**	**15,497,800**	**17,879,300**	**21,874,000**
26. Outstanding Loans (if this fund is part of a loan program)						

*Note:

Shaded areas in matrix are calculated. Numbers are rounded to hundreds of dollars. Font set to fit to page.

FORM B12: ANALYSIS OF FUND BALANCES

Request for Fiscal Year : 2022

Agency/Department: Finance

Agency Number: 250

Original Request Date: August 27, 2020 or Revision Request Date:

Sources and Uses: Monies received by the state by reason of civil penalties and administrative fines collected pursuant to (§30-14-601 (e)) shall be deposited in this education and training fund up to but not exceeding $50,000 per year.

FUND NAME: Securities Investor Education and Training Fund	**FUND CODE:** 0325-27	**FY 2018 Actual**	**FY 2019 Actual**	**FY 2020 Actual**	**FY 2021 Estimate**	**FY 2022 Estimate**
1. Beginning Free Fund Balance		**44,400**	**48,800**	**81,600**	**90,200**	**90,200**
2. Encumbrances as of July 1		0	0	0	0	0
2a. Reappropriation (Legislative Carryover)		0	0	0	0	0
3. Beginning Cash Balance		**44,400**	**48,800**	**81,600**	**90,200**	**90,200**
4. Revenues (from Form B-11)		38,500	50,000	47,400	50,000	50,000
5. Non-Revenue Receipts and Other Adjustments	Suspense, borrowing limit	0	0	0	0	0
6. Statutory Transfers in:	Fund or Reference:	0	0	0	0	0
7. Operating Transfers in:	Fund or Reference:	0	0	0	0	0
8. Total Available for Year		**82,900**	**98,800**	**129,000**	**140,200**	**140,200**
9. Statutory Transfers Out:	Fund or Reference:	0	0	0	0	0
10. Operating Transfers Out:	Fund or Reference:	0	0	0	0	0
11. Non-Expenditure Disbursements and Other Adjustments	Refunds, Clearing, P-card pymts	0	(300)	(300)	0	0
12. Cash Expenditures for Prior Year Encumbrances		0	0	0	0	0
13. Original Appropriation		*50,000*	*50,000*	*50,000*	*50,000*	*50,000*
14. Prior Year Reappropriations, Supplementals, Rescissions		*0*	*0*	*0*	*0*	*0*
15. Non-cogs, Receipts to Appropriation, etc		*0*	*0*	*0*	*0*	*0*
16. Reversions and Continuous Appropriations		*(15,900)*	*(32,500)*	*(10,900)*	*0*	*0*
17.Current Year Reappropriation		*0*	*0*	*0*	*0*	*0*
18. Reserve for Current Year Encumbrances		*0*	*0*	*0*	*0*	*0*
19. Current Year Cash Expenditures		**34,100**	**17,500**	**39,100**	**50,000**	**50,000**
19a. Budgetary Basis Expenditures (CY Cash Exp + CY Enc)		**34,100**	**17,500**	**39,100**	**50,000**	**50,000**
20. Ending Cash Balance		**48,800**	**81,600**	**90,200**	**90,200**	**90,200**
21. Prior Year Encumbrances as of June 30		0	0	0	0	0
22. Current Year Encumbrances as of June 30		0	0	0	0	0
22a. Current Year Reappropriation		0	0	0	0	0
23. Borrowing Limit		0	0	0	0	0
24. Ending Free Fund Balance		**48,800**	**81,600**	**90,200**	**90,200**	**90,200**
24a. Investments Direct by Agency (GL 1203)		0	0	0	0	0
24b. Ending Free Fund Balance Including Direct Investments		**48,800**	**81,600**	**90,200**	**90,200**	**90,200**
26. Outstanding Loans (if this fund is part of a loan program)						

FORM B12: ANALYSIS OF FUND BALANCES

Request for Fiscal Year : 2022

Agency/Department: Finance

Agency Number: 250

Original Request Date: August 27, 2020 or Revision Request Date:

Sources and Uses: Monies received upon application and renewal for mortgage broker, mortgage lender or mortgage loan originator license (§26-31-110 (1)) through NMLS. Fund used to reimburse persons to whom an Idaho court awards actual damages resulting from acts constituting violations of this chapter. (§26-31-109 (2)). On an annual basis, the department may apply up to $50,000 of monies accumulated in the mortgage recovery fund in excess of $1,500,000 for expenses established in (§26-31-110 (4)).

FUND NAME: Mortgage Recovery Fund	**FUND CODE:** 0229-26	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Estimate	FY 2022 Estimate
1. Beginning Free Fund Balance		**2,220,600**	**2,462,100**	**2,694,200**	**3,029,400**	**3,079,400**
2. Encumbrances as of July 1		0	0	0	0	0
2a. Reappropriation (Legislative Carryover)		0	0	0	0	0
3. Beginning Cash Balance		**2,220,600**	**2,462,100**	**2,694,200**	**3,029,400**	**3,079,400**
4. Revenues (from Form B-11)		289,000	281,300	371,300	100,000	100,000
5. Non-Revenue Receipts and Other Adjustments	Suspense, borrowing limit	0	0	0	0	0
6. Statutory Transfers in:	Fund or Reference:	0	0	0	0	0
7. Operating Transfers in:	Fund or Reference:	0	0	0	0	0
8. Total Available for Year		**2,509,600**	**2,743,400**	**3,065,500**	**3,129,400**	**3,179,400**
9. Statutory Transfers Out:	Fund or Reference:	0	0	0	0	0
10. Operating Transfers Out:	Fund or Reference:	0	0	0	0	0
11. Non-Expenditure Disbursements and Other Adjustments	Refunds, Clearing, P-card pymts	(2,500)	(600)	400	0	0
12. Cash Expenditures for Prior Year Encumbrances		0	0	0	0	0
13. Original Appropriation		*50,000*	*50,000*	*50,000*	*50,000*	*50,000*
14. Prior Year Reappropriations, Supplementals, Rescissions		*0*	*0*	*0*	*0*	*0*
15. Non-cogs, Receipts to Appropriation, etc		*0*	*0*	*0*	*0*	*0*
16. Reversions and Continuous Appropriations		*0*	*(200)*	*(14,300)*	*0*	*0*
17.Current Year Reappropriation		*0*	*0*	*0*	*0*	*0*
18. Reserve for Current Year Encumbrances		*0*	*0*	*0*	*0*	*0*
19. Current Year Cash Expenditures		**50,000**	**49,800**	**35,700**	**50,000**	**50,000**
19a. Budgetary Basis Expenditures (CY Cash Exp + CY Enc)		**50,000**	**49,800**	**35,700**	**50,000**	**50,000**
20. Ending Cash Balance		**2,462,100**	**2,694,200**	**3,029,400**	**3,079,400**	**3,129,400**
21. Prior Year Encumbrances as of June 30		0	0	0	0	0
22. Current Year Encumbrances as of June 30		0	0	0	0	0
22a. Current Year Reappropriation		0	0	0	0	0
23. Borrowing Limit		0	0	0	0	0
24. Ending Free Fund Balance		**2,462,100**	**2,694,200**	**3,029,400**	**3,079,400**	**3,129,400**
24a. Investments Direct by Agency (GL 1203)		0	0	0	0	0
24b. Ending Free Fund Balance Including Direct Investments		**2,462,100**	**2,694,200**	**3,029,400**	**3,079,400**	**3,129,400**
26. Outstanding Loans (if this fund is part of a loan program)						

*Note:

Shaded areas in matrix are calculated. Numbers are rounded to hundreds of dollars. Font set to fit to page.

FY 2022 Agency Budget - Request Detail Report

Agency: 250 - Finance, Department of

Function: 01 - Department of Finance

		FTP	Personnel Cost	Operating Expense	Capital Outlay	Trustee/ Benefit	Lump Sum	Total
FY 2020 Total Appropriation								
1.00								
0229-00	Dedicated	63.00	6,463,200	4,037,600	121,000	0	0	10,621,800
0229-26	Dedicated	0.00	0	50,000	0	0	0	50,000
0325-27	Dedicated	0.00	50,000	0	0	0	0	50,000
	Total	**63.00**	**6,513,200**	**4,087,600**	**121,000**	**0**	**0**	**10,721,800**
1.21 Net Object Transfers								
0229-00	Dedicated	0.00	(800,000)	800,000	0	0	0	0
0325-27	Dedicated	0.00	(50,000)	50,000	0	0	0	0
	Total	**0.00**	**(850,000)**	**850,000**	**0**	**0**	**0**	**0**
1.61 Reverted Appropriation Balances								
0229-00	Dedicated	0.00	(65,200)	(2,160,900)	(67,900)	0	0	(2,294,000)
0229-26	Dedicated	0.00	0	(14,300)	0	0	0	(14,300)
0325-27	Dedicated	0.00	0	(10,900)	0	0	0	(10,900)
	Total	**0.00**	**(65,200)**	**(2,186,100)**	**(67,900)**	**0**	**0**	**(2,319,200)**
1.71 Reappropriation								
HB541-reappropriation for construction and building expenses for fiscal year 2020								
0229-00	Dedicated	0.00	0	(1,800,000)	0	0	0	(1,800,000)
	Total	**0.00**	**0**	**(1,800,000)**	**0**	**0**	**0**	**(1,800,000)**
1.91 Other Adjustments								
HB 557 Unused Sick Leave Rate Holiday								
0229-00	Dedicated	0.00	(13,000)	0	0	0	0	(13,000)
	Total	**0.00**	**(13,000)**	**0**	**0**	**0**	**0**	**(13,000)**
FY 2020 Actual Expenditures								
0229-00	Dedicated	63.00	5,585,000	876,700	53,100	0	0	6,514,800
0229-26	Dedicated	0.00	0	35,700	0	0	0	35,700
0325-27	Dedicated	0.00	0	39,100	0	0	0	39,100
	Total	**63.00**	**5,585,000**	**951,500**	**53,100**	**0**	**0**	**6,589,600**

FY 2022 Agency Budget - Request

Detail Report

Agency: 250 - Finance, Department of

Function: 01 - Department of Finance

		FTP	Personnel Cost	Operating Expense	Capital Outlay	Trustee/ Benefit	Lump Sum	Total
FY 2021 Original Appropriation								
3.00								
0229-00	Dedicated	66.00	6,813,700	1,986,400	0	0	0	8,800,100
OT 0229-00	Dedicated	0.00	0	14,400	10,000	0	0	24,400
0229-26	Dedicated	0.00	0	50,000	0	0	0	50,000
0325-27	Dedicated	0.00	50,000	0	0	0	0	50,000
	Total	**66.00**	**6,863,700**	**2,050,800**	**10,000**	**0**	**0**	**8,924,500**
Expenditure Adjustments								
4.11 Reappropriation								
HB541-reappropriation for construction and building expenses								
0229-00	Dedicated	0.00	0	1,800,000	0	0	0	1,800,000
	Total	**0.00**	**0**	**1,800,000**	**0**	**0**	**0**	**1,800,000**
FY 2021 Total Appropriation								
0229-00	Dedicated	66.00	6,813,700	3,786,400	0	0	0	10,600,100
OT 0229-00	Dedicated	0.00	0	14,400	10,000	0	0	24,400
0229-26	Dedicated	0.00	0	50,000	0	0	0	50,000
0325-27	Dedicated	0.00	50,000	0	0	0	0	50,000
	Total	**66.00**	**6,863,700**	**3,850,800**	**10,000**	**0**	**0**	**10,724,500**
FY 2021 Estimated Expenditures								
0229-00	Dedicated	66.00	6,813,700	3,786,400	0	0	0	10,600,100
OT 0229-00	Dedicated	0.00	0	14,400	10,000	0	0	24,400
0229-26	Dedicated	0.00	0	50,000	0	0	0	50,000
0325-27	Dedicated	0.00	50,000	0	0	0	0	50,000
	Total	**66.00**	**6,863,700**	**3,850,800**	**10,000**	**0**	**0**	**10,724,500**
Base Adjustments								
8.41 Removal of One-Time Expenditures								
OT 0229-00	Dedicated	0.00	0	(14,400)	(10,000)	0	0	(24,400)
	Total	**0.00**	**0**	**(14,400)**	**(10,000)**	**0**	**0**	**(24,400)**
8.42 Removal of HB541 Supplemental from FY2022 Base								
0229-00	Dedicated	0.00	0	(1,800,000)	0	0	0	(1,800,000)
	Total	**0.00**	**0**	**(1,800,000)**	**0**	**0**	**0**	**(1,800,000)**

FY 2022 Agency Budget - Request

Detail Report

Agency: 250 - Finance, Department of

Function: 01 - Department of Finance

		FTP	Personnel Cost	Operating Expense	Capital Outlay	Trustee/ Benefit	Lump Sum	Total
FY 2022 Base								
0229-00	Dedicated	66.00	6,813,700	1,986,400	0	0	0	8,800,100
OT 0229-00	Dedicated	0.00	0	0	0	0	0	0
0229-26	Dedicated	0.00	0	50,000	0	0	0	50,000
0325-27	Dedicated	0.00	50,000	0	0	0	0	50,000
	Total	**66.00**	**6,863,700**	**2,036,400**	**0**	**0**	**0**	**8,900,100**
Program Maintenance								
10.11 Change in Health Benefit Costs								
0229-00	Dedicated	0.00	74,200	0	0	0	0	74,200
	Total	**0.00**	**74,200**	**0**	**0**	**0**	**0**	**74,200**
10.12 Change in Variable Benefit Costs								
0229-00	Dedicated	0.00	38,900	0	0	0	0	38,900
	Total	**0.00**	**38,900**	**0**	**0**	**0**	**0**	**38,900**
10.31 Repair, Replacement Items/Alterations								
Replacement 19 Laptops for Bank and CU Examiners (1/2 total agency laptops) [using BDM HighEnd] at $1,800 = $34,200, 19 Docking stations $5,700, 19 Portable USB Monitors $5,700.								
OT 0229-00	Dedicated	0.00	0	0	45,600	0	0	45,600
	Total	**0.00**	**0**	**0**	**45,600**	**0**	**0**	**45,600**
10.61 Salary Multiplier - Regular Employees								
0229-00	Dedicated	0.00	61,500	0	0	0	0	61,500
	Total	**0.00**	**61,500**	**0**	**0**	**0**	**0**	**61,500**
FY 2022 Total Maintenance								
0229-00	Dedicated	66.00	6,988,300	1,986,400	0	0	0	8,974,700
OT 0229-00	Dedicated	0.00	0	0	45,600	0	0	45,600
0229-26	Dedicated	0.00	0	50,000	0	0	0	50,000
0325-27	Dedicated	0.00	50,000	0	0	0	0	50,000
	Total	**66.00**	**7,038,300**	**2,036,400**	**45,600**	**0**	**0**	**9,120,300**
FY 2022 Total								
0229-00	Dedicated	66.00	6,988,300	1,986,400	0	0	0	8,974,700
OT 0229-00	Dedicated	0.00	0	0	45,600	0	0	45,600
0229-26	Dedicated	0.00	0	50,000	0	0	0	50,000
0325-27	Dedicated	0.00	50,000	0	0	0	0	50,000
	Total	**66.00**	**7,038,300**	**2,036,400**	**45,600**	**0**	**0**	**9,120,300**

FORM B6: WAGE & SALARY RECONCILIATION

Agency/Department:	Department of Finance	Agency Number:	250
Function/Division:	Department of Finance	Function/Activity Number:	
Activity/Program:	Department of Finance	Budget Unit:	FIAA
		Fiscal Year:	2022
Original Request Date:	8/27/2020	Fund Name: State Regulatory	Fund Number: 0229-00
Revision Date:		Revision #:	Budget Submission Page # 8 of 10

PCN	CLASS CODE	Retire Cd	DESCRIPTION	Indicator Code	FTP	FY 2021 SALARY	FY 2021 HEALTH BENEFITS	FY 2021 VAR BENEFITS	FY 2021 TOTAL	FY 2022 CHG HEALTH BENEFITS	FY 2022 CHG VAR BENEFITS	TOTAL BENEFIT CHANGES
			Totals from Wage and Salary Report (WSR):									
			Permanent Positions	1	58.00	4,013,090	675,700	841,565	**5,530,355**	74,240	31,934	106,174
			Board & Group Positions	2		0	0	0	**0**			
			Elected Officials & Full Time Commissioners	3	0.00	0	0	0	**0**	0	0	**0**
			TOTAL FROM WSR		**58.00**	**4,013,090**	**675,700**	**841,565**	**5,530,355**	**74,240**	**31,934**	**106,174**
			FY 2021 ORIGINAL APPROPRIATION	**6,813,700**	**66.00**	**4,944,346**	**832,499**	**1,036,854**	**6,813,700**			
			Unadjusted Over or (Under) Funded:	Est Difference	**8.00**	**931,257**	**156,799**	**195,289**	**1,283,345**	Calculated overfunding is 18.8% of Original Appropriation		
			Adjustments to Wage & Salary:									
			Add Funded / Subtract Unfunded - Vacant or Authorized - Positions:									
		Retire Cd	**Adjustment Description / Position Title**									
0003	4412	R1	VACANT Consumer Finance Bureau Chief	1	0.00	96,429	0	20,274	**116,703**	0	791	791
0004	4439	R1	VACANT Financial Examiner	1	0.00	73,632	0	15,481	**89,113**	0	604	604
0025	4413	R1	VACANT Financial Institutions Examiner	1	0.00	96,429	0	20,274	**116,703**	0	791	791
0059	4439	R1	VACANT Financial Examiner	1	0.00	73,632	0	15,481	**89,113**	0	604	604
0061	4439	R1	VACANT Financial Examiner	1	0.00	73,632	0	15,481	**89,113**	0	604	604
0068	4415	R1	VACANT Financial Institutions Examiner	1	0.00	88,171	0	18,538	**106,709**	0	723	723
0090	4439	R1	VACANT Financial Examiner	1	0.00	73,632	0	15,481	**89,113**	0	604	604
0091	4439	R1	VACANT Financial Examiner	1	0.00	73,632	0	15,481	**89,113**	0	604	604
					0.00	0	0	0	**0**	0	0	0
					0.00	0	0	0	**0**	0	0	0
					0.00	0	0	0	**0**	0	0	0
			Other Adjustments:									
1X	4421	R1	UNDER FEI1 TO FEI2 (PCN 27)	1	0.00	7,446	0	1,566	**9,012**	0	61	61
4X	4419	R1	UNDER 4x FEI2 to FEI3 Policy (PCNs 41,48,58,86)	1	0.00	35,818	0	7,531	**43,349**	0	294	294
5x	4441	R1	UNDER 5x FEI1 to FEI2 Policy (PCNs 42,65,75,89)	1	0.00	30,076	0	6,323	**36,399**	0	247	247
2x	4419	R1	UNDER 2x FIE2 to FIE3 (PCNs 18,71)	1	0.00	24,419	0	5,134	**29,553**	0	200	200
5x	4415	R1	UNDER 5X FIE 3 to FIEC policy (PCN 07,54,64,66,70)	1	0.00	97,512	0	20,502	**118,014**	0	800	800
			Estimated Salary Needs:									
			Permanent Positions	1	58.00	4,857,550	675,700	1,019,113	6,552,362	74,240	38,858	113,098
			Board & Group Positions	2	0.00	0	0	0	0	0	0	0
			Elected Officials & Full Time Commissioners	3	0.00	0	0	0	0	0	0	0
			Estimated Salary and Benefits		**58.00**	**4,857,550**	**675,700**	**1,019,113**	**6,552,362**	**74,240**	**38,858**	**113,098**
			Adjusted Over or (Under) Funding:	Orig. Approp	8.00	**193,700**	**26,900**	**40,600**	**261,200**	Calculated overfunding is 3.8% of Original Appropriation		
				Est. Expend	8.00	**193,800**	**26,900**	**40,700**	**261,400**	Calculated overfunding is 3.8% of Estimated Expenditures		
				Base	8.00	**193,800**	**26,900**	**40,700**	**261,400**	Calculated overfunding is 3.8% of the Base		

Personnel Cost Reconciliation - Relation to Zero Variance --->

DU			Original Appropriation	FTP	FY 21 Salary	FY 21 Health Ben	FY 21 Var Ben	FY 2021 Total	FY 22 Chg Health Bens	FY 22 Chg Var Bens	Total Benefit Change
3.00		**FY 2021 ORIGINAL APPROPRIATION**	**6,813,700**	**66.00**	**5,051,291**	**702,650**	**1,059,760**	**6,813,700**			
		Rounded Appropriation		**66.00**	**5,051,300**	**702,600**	**1,059,800**	**6,813,700**			
		Appropriation Adjustments:									

4.11	Reappropriation		0.00	0	0	0	0			
4.31	Supplemental		0.00	0	0	0	0			0
5.00	**FY 2021 TOTAL APPROPRIATION**		**66.00**	**5,051,300**	**702,600**	**1,059,800**	**6,813,700**			
	Expenditure Adjustments:									
6.31	FTP or Fund Adjustment		0.00	0	0	0	0			0
6.51	Transfer Between Programs		0.00	0	0	0	0			0
7.00	**FY 2021 ESTIMATED EXPENDITURES**		**66.00**	**5,051,300**	**702,600**	**1,059,800**	**6,813,700**			
	Base Adjustments:									
8.31	Transfer Between Programs		0.00	0	0	0	0			0
8.41	Removal of One-Time Expenditures		0.00	0	0	0	0			0
8.51	Base Reduction		0.00	0	0	0	0			0
			FTP	FY 22 Salary	FY22 Health Ben	FY 22 Var Ben	FY 2022 Total			
9.00	**FY 2022 BASE**		**66.00**	**5,051,300**	**702,600**	**1,059,800**	**6,813,700**			
10.11	Change in Health Benefit Costs				**74,200**		74,200			
10.12	Change in Variable Benefits Costs					**38,900**	38,900			
							0			
	Subtotal CEC Base:	Indicator Code	66.00	5,051,300	776,800	1,098,700	6,926,800			
10.51	Annualization			0	0	0	**0**			
10.61	CEC for Permanent Positions	1.00%		50,500		11,000	**61,500**			
10.62	CEC for Group Positions	1.00%		0		0	**0**			
10.63	CEC for Elected Officials & Commissioners			0		0	**0**			
11.00	**FY 2022 PROGRAM MAINTENANCE**		**66.00**	**5,101,800**	**776,800**	**1,109,700**	**6,988,300**			
	Line Items:									
12.01							**0**			
12.02							**0**			
12.03							**0**			
13.00	**FY 2022 TOTAL REQUEST**		**66.00**	**5,101,800**	**776,800**	**1,109,700**	**6,988,300**			

FORM B6: WAGE & SALARY RECONCILIATION

Agency/Department:	Department of Finance	Agency Number:	250
Function/Division:	Department of Finance	Function/Activity Number:	
Activity/Program:	Department of Finance	Budget Unit:	FIAA
		Fiscal Year:	2022
Original Request Date:	8/27/2020	Fund Name: Securities Investor Training	Fund Number: 0325-27
Revision Date:	Revision #:	Budget Submission Page # 9	of 10

PCN	CLASS CODE	DESCRIPTION	Indicator Code	FTP	FY 2021 SALARY	FY 2021 HEALTH BENEFITS	FY 2021 VAR BENEFITS	FY 2021 TOTAL	FY 2022 CHG HEALTH BENEFITS	FY 2022 CHG VAR BENEFITS	TOTAL BENEFIT CHANGES
		Totals from Wage and Salary Report (WSR):									
		Permanent Positions	1	0.00	0	0	0	0	0	0	0
		Board & Group Positions	2		0	0	0	0			
		Elected Officials & Full Time Commissioners	3	0.00	0	0	0	0	0	0	0
		TOTAL FROM WSR		0.00	0	0	0	0	0	0	0
		FY 2021 ORIGINAL APPROPRIATION	50,000	0.00	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!			
		Unadjusted Over or (Under) Funded:	Est Difference	0.00	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!		
		Adjustments to Wage & Salary: Add Funded / Subtract Unfunded - Vacant or Authorized - Positions:									
		Retire Cd **Adjustment Description / Position Title**									
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
		Other Adjustments:									
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
		Estimated Salary Needs:									
		Permanent Positions	1	0.00	0	0	0	0	0	0	0
		Board & Group Positions	2	0.00	0	0	0	0	0	0	0
		Elected Officials & Full Time Commissioners	3	0.00	0	0	0	0	0	0	0
		Estimated Salary and Benefits		0.00	0	0	0	0	0	0	0
		Adjusted Over or (Under) Funding:	Orig. Approp	0.00	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!		
			Est. Expend	0.00	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!		
			Base	0.00	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!		
			Personnel Cost Reconciliation - Relation to Zero Variance --->						#DIV/0!		

DU			Original Appropriation	FTP	FY 21 Salary	FY 21 Health Ben	FY 21 Var Ben	FY 2021 Total	FY 22 Chg Health Bens	FY 22 Chg Var Bens	Total Benefit Change
3.00		FY 2021 ORIGINAL APPROPRIATION	50,000	0.00	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!			
		Rounded Appropriation		0.00	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!			

FORM B6: WAGE & SALARY RECONCILIATION

		Appropriation Adjustments:								
4.11		Reappropriation		0.00	0	0	0	0		
4.31		Supplemental		0.00	0	0	0	0		0
5.00		**FY 2021 TOTAL APPROPRIATION**		**0.00**	**#DIV/0!**	**#DIV/0!**	**#DIV/0!**	**#DIV/0!**		
		Expenditure Adjustments:								
6.31		FTP or Fund Adjustment		0.00	0	0	0	0		0
6.51		Transfer Between Programs		0.00	0	0	0	0		0
7.00		**FY 2021 ESTIMATED EXPENDITURES**		**0.00**	**#DIV/0!**	**#DIV/0!**	**#DIV/0!**	**#DIV/0!**		
		Base Adjustments:								
8.31		Transfer Between Programs		0.00	0	0	0	0		0
8.41		Removal of One-Time Expenditures		0.00	0	0	0	0		0
8.51		Base Reduction		0.00	0	0	0	0		0
				FTP	**FY 22 Salary**	**FY22 Health Ben**	**FY 22 Var Ben**	**FY 2022 Total**		
9.00		**FY 2022 BASE**		**0.00**	**#DIV/0!**	**#DIV/0!**	**#DIV/0!**	**#DIV/0!**		
10.11		Change in Health Benefit Costs				**#DIV/0!**		#DIV/0!		
10.12		Change in Variable Benefits Costs					#DIV/0!	#DIV/0!		
								0		
		Subtotal CEC Base:	Indicator Code	0.00	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!		
10.51		Annualization			0	0	0	**0**		
10.61		CEC for Permanent Positions	1.00%		#DIV/0!		#DIV/0!	**#DIV/0!**		
10.62		CEC for Group Positions	1.00%		#DIV/0!		#DIV/0!	**#DIV/0!**		
10.63		CEC for Elected Officials & Commissioners			0		0	**0**		
11.00		**FY 2022 PROGRAM MAINTENANCE**		**0.00**	**#DIV/0!**	**#DIV/0!**	**#DIV/0!**	**#DIV/0!**		
		Line Items:								
12.01								**0**		
12.02								**0**		
12.03								**0**		
13.00		**FY 2022 TOTAL REQUEST**		**0.00**	**#DIV/0!**	**#DIV/0!**	**#DIV/0!**	**#DIV/0!**		

FORM B7: ONE-TIME OPERATING EXPENDITURES & ONE-TIME CAPITAL OUTLAY SUMMARY

Agency/Department: Finance
Program (If applicable)

Original Request Date: 8/27/20

Revision Request Date:

Request for Fiscal Year: 2022
Agency Number: 250
Function/Activity Number:

Page: 10 of 10

Governor's Recommendation

This section to be completed by DFM only.

Priority Order	Program	DU	Fund	Sub-object Code	Item/Description	Mileage	Date Acquired	Quantity in Stock	Request Quantity Desired	Request Unit Cost	Request Total Cost	Gov's Rec Fund	Gov's Rec Quantity	Gov's Unit Cost	Governor's Recommendation
1	01	10.31	0229	6410	Laptops (DFR Highe End Group)		2018	54	19	1,800	34,200	0229	19	1,800	34,200
1	01	10.31	0229	6410	Portable Monitors & Docking Stations		2018	54	19	600	11,400	0229	19	600	11,400
											0	0000	0	0	0
											0	0000	0	0	0
											0	0000	0	0	0
											0	0000	0	0	0
											0	0000	0	0	0
											0	0000	0	0	0
											0	0000	0	0	0
											0	0000	0	0	0
											0	0000	0	0	0
											0	0000	0	0	0
											0	0000	0	0	0
											0	0000	0	0	0
											0	0000	0	0	0
											0	0000	0	0	0
									Subtotal of filtered items		$45,600				$45,600
Grand Total by Program											$45,600				
	01										45,600				45,600
	02										0				0
	03										0				0
	04										0				0
Grand Total by Decision Unit											$45,600				
		10.31									45,600				45,600
											0				0
											0				0
											0				0
Grand Total by Fund Source											$45,600				
			0229								45,600				0
											0				0
											0				0
											0				0
Grand Total by Category								108	38		$45,600				
				6410				108	38		45,600				45,600
								0	0		0				0
								0	0		0				0
								0	0		0				0
								0	0		0				0
								0	0		0				0
								0	0		0				0
								0	0		0				0
								0	0		0				0
								0	0		0				0
								0	0		0				0
								0	0		0				0
								0	0		0				0
								0	0		0				0
								0	0		0				0

1. How does this request conform with the agency's individual IT plan? Has your IT plan been approved by the Office of Information Technology Services (ITS)? Does the request align with the state's IT plan and all other state standards? Attach any supporting documents about this request that you got from ITS or the Idaho Technology Authority.

FIVE-YEAR FACILITY NEEDS PLAN, pursuant to IC 67-5708B

AGENCY INFORMATION

AGENCY NAME:	Idaho Department of Finance		
Division/Bureau:			
Prepared By:	Jill Peterson-Pate	**E-mail Address:**	jill.peterson-pate@finance.idaho.gov
Telephone Number:	208-332-8023	**Fax Number:**	208-332-8099
DFM Analyst:	Krissy Veseth	**LSO/BPA Analyst:**	Keith Bybee
Date Prepared:		**For Fiscal Year:**	**2022**

FACILITY INFORMATION (please list each facility separately by city and street address)

Facility Name:	St Lukes				
City:	Boise		**County:**	Ada	
Street Address:	800 Park Blvd Ste 200			**Zip Code:**	83712
Facility Ownership: (could be private or state-owned, use "X" to mark one):	**Private Lease (use "X" to mark):**	x	**State Owned (use "X" to mark):**	**Lease Expires:**	2021

FUNCTION/USE OF FACILITY: Could be administrative use, client counseling, hearing rooms, field offices, etc. Address any specialized needs which require additional square feet.

Administrative Offices

COMMENTS: Address reasons for expanding or relocating; amount of space leased to other state agencies, federal agencies, etc. & the amount of rent they pay for the use of your facility; or other comments which might be helpful.

The Department's lease with St Luke's is in place through July 2021 (FY2022), however we expect to move to the Chinden campus at such time as construction is completed.

SURPLUS PROPERTY: Facilities to be disposed of and funds re-utilized for building replacement or renovation of facilities. This could also include leased facilities if the leased facility is to be vacated prior to the expiration date of the lease.

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Use "X" to mark the year facility would be surplused.						

WORK AREAS: Work areas are areas occupied by full-time employees, contractors, seasonal employees, auditors, etc. (3 people working in one building would be 3 work areas)

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Total Number of Work Areas:	77	77				
Full-Time Equivalent Positions:	67	67				
Temp. Employees, Contractors, Auditors, etc.:	7	7				

SQUARE FEET: Use "net rentable" sq ft if in a facility leased from a private party; use "usable" sq ft if in a State-owned facility. Typically, this will be the figure shown in the Lease Agreement if leased from a private party or in the MOU if state-owned.

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Square Feet:	20,132	20,132	Unknown	Unknown	Unknown	Unknown

FACILITY COST: Include annual rent, plus any facility-related costs, such as utilities, janitorial service, property taxes or building maintenance which are not included in rent payment made to your Landlord. If improvements will need to be made to the facility and will be paid by the agency, this should be included as well. If the lease will be expiring and the future rent is not specified in the lease agreement, increase rent by 3%/yr. Increase all other facility-related costs by 3%/yr as well. Use "Calculation Sheet" tab below if necessary. Do not include telephone costs or rent discounts. If you anticipate moving to a new facility, you need to take into account any increase in sq ft leased and estimate a new market rate for the new facility. Do NOT use your old rate per sq ft – it may not be a realistic figure.

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Total Facility Cost/Yr:	$357,544.32	$357,544.32	Unknown	Unknown	Unknown	Unknown

IMPORTANT NOTES:

1. **Please fill in the white sections only! If you have any questions, please call Melissa Broome @ 208-332-1933.**
2. **Upon completion, please send to Melissa Broome at the Division of Public Works via email to Melissa.Broome@adm.idaho.gov.**
3. **If you have five or more locations, please summarize the information on the Facility Information Summary Sheet and include this summary sheet with your submittal.**
4. **Attach a hardcopy of this submittal, as well as the Facility Information Summary Sheet, if applicable, with your budget request.**

AGENCY NOTES:

Total Facility Cost/Yr: Unknown at this point. Our current lease expires in July 2021.

Part I – Agency Profile

Agency Overview

The Department of Finance is a regulatory agency charged with the supervision and oversight of state-chartered financial institutions, regulated lenders, securities issuers, broker-dealers and stockbrokers, residential mortgage brokers, lenders, and originators, investment advisers and sales personnel, collection agencies, endowed care cemeteries, and others.

Core Functions / Idaho Code

The Department administers and enforces the following 23 regulatory statutes:

Idaho Bank Act § 26-101
Idaho Bank Holding Company Act § 26-501
Idaho Interstate Banking Act § 26-2601
Idaho Interstate Branching Act § 26-1601
Idaho International Banking Act § 26-1701
Idaho Trust Institutions Act § 26-3201
Idaho Savings Bank Act § 26-1801
Business And Industrial Development Corporation Act (BIDCO) § 26-2701
Idaho Credit Union Act § 26-2101
Idaho Money Transmitters Act § 26-2901
Idaho Loan Broker Act § 26-2501
Idaho Credit Code § 28-41-101
Idaho Mortgage Company Act § 26-2801
Idaho Collection Agency Act § 26-2221
Idaho Securities Act (2004) § 30-14-101
Idaho Residential Mortgage Practices Act § 26-31-101
Business Combination Act § 30-1701
Control Share Acquisition Act § 30-1601
Idaho Commodity Code § 30-1501
Endowment Care Cemetery Act § 27-401
Continuing-Care Disclosure Act § 26-3701
Idaho Escrow Act § 30-901
Idaho Financial Fraud Prevention Act § 67-2750

Revenue and Expenditures

Revenue	FY 2017	FY 2018	FY 2019	FY 2020
State Regulatory Fund	$13,210,430	$14,171,427	$14,639,428	$14,581,740
Total	**$13,210,430**	**$14,171,427**	**$14,639,428**	**$14,581,740**
Expenditures	**FY 2017**	**FY 2018**	**FY 2019**	**FY 2020**
Personnel Costs	$5,988,709	$6,157,107	$6,081,706	$5,597,954
Operating Expenditures	$1,649,504	$1,635,083	$2,344,276	$2,676,732
Capital Outlay	$73,500	$81,954	$65,400	$53,081
Total	**$7,711,713**	**$7,874,145**	**$8,491,382**	**$8,327,767**

Profile of Cases Managed and/or Key Services Provided

Cases Managed and/or Key Services Provided	FY 2017	FY 2018	FY 2019	FY 2020
Business or Individuals Regulated	190,907	191,042	195,580	202,460
Transfers to General Fund	$5,266,734	$5,942,884	$5,788,806	$4,017,260

Licensing Freedom Act

Agencies who participate in licensure must report on the number of applicants denied licensure or license renewal and the number of disciplinary actions taken against license holders.

	FY 2017	FY 2018	FY 2019	FY 2020
COLLECTION AGENT	***1st year branch #'s available and included**			
Total Number of Licenses	*678*	*714*	*1,258**	*1,275*
Number of New Applicants Denied Licensure	*0*	*0*	*0*	*0*
Number of Applicants Refused Renewal of a License	*0*	*0*	*0*	*0*
Number of Complaints Against Licensees	*41*	*60*	*35*	*31*
Number of Final Disciplinary Actions Against Licensees	*1*	*0*	*1*	*2*

	FY 2017	FY 2018	FY 2019	FY 2020
DEBT/CREDIT COUNSELOR	***Includes Branches**			
Total Number of Licenses	29	28	38*	47
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	0	1
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
REGULATED LENDER				
Total Number of Licenses	569	585	636	663
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	0	27
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
SECURITIES BROKER – DEALER				
Total Number of Licenses	1,333	1,324	1,303	1,285
Number of New Applicants Denied Licensure	1	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	2	2	3	4
Number of Final Disciplinary Actions Against Licensees	1	1	1	2
SECURITIES AGENT				
Total Number of Licenses	110,934	115,553	119,269	123,703
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	11	51	19	17
Number of Final Disciplinary Actions Against Licensees	5	6	17	9
SECURITIES INVESTMENT ADVISER				
Total Number of Licenses	1,121	1,170	1,219	1,266
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	7	2	3	1
Number of Final Disciplinary Actions Against Licensees	8	3	0	2
SECURITIES INVESTMENT ADVISER REPRESENTATIVE				
Total Number of Licenses	2,872	2,949	3,006	3,144
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	5	1	1	2
Number of Final Disciplinary Actions Against Licensees	7	7	0	2

	FY 2017	FY 2018	FY 2019	FY 2020
ESCROW AGENT				
Total Number of Licenses	35	38	39	38
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	2	2	0
Number of Final Disciplinary Actions Against Licensees	0	0	2	1
CREDIT REPAIR AGENT	***Includes Branches**			
Total Number of Licenses	6	10	14*	17
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	1	1	0	1
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
TITLE LENDER				
Total Number of Licenses	70	68	58	56
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	1	0	0	2
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
DEBT SETTLEMENT	***Includes Branches**			
Total Number of Licenses	8	8	13*	21
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	1	0	0	1
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
MONEY TRANSMITTER				
Total Number of Licenses	102	116	116	140
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	6	6	12	6
Number of Final Disciplinary Actions Against Licensees	2	2	0	5
PAYDAY LENDER				
Total Number of Licenses	158	154	136	129
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	3	7	2	10
Number of Final Disciplinary Actions Against Licensees	0	1	0	0

	FY 2017	FY 2018	FY 2019	FY 2020
MORTGAGE LENDER/BROKER				
Total Number of Licenses	894	1,070	1,141	1,474
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	25	26	33	17
Number of Final Disciplinary Actions Against Licensees	1	1	0	0
MORTGAGE LOAN ORIGINATOR				
Total Number of Licenses	4,241	4,803	4,738	5,396
Number of New Applicants Denied Licensure	2	0	10	17
Number of Applicants Refused Renewal of a License	1	0	1	2
Number of Complaints Against Licensees	2	5	8	5
Number of Final Disciplinary Actions Against Licensees	1	0	0	19

Red Tape Reduction Act

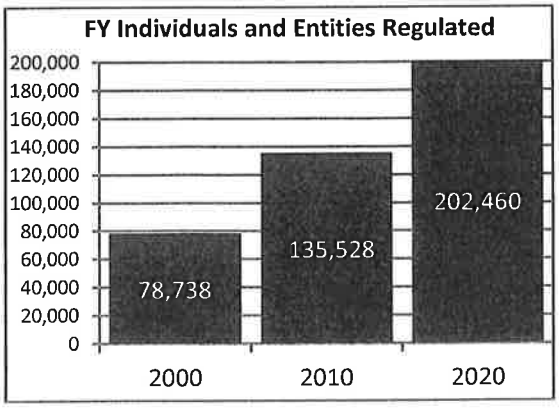
Each agency shall incorporate into its strategic plan a summary of how it will implement the Red Tape Reduction Act, including any associated goals, objectives, tasks, or performance targets. This information may be included as an addendum.

	As of July 1, 2019	As of July 1, 2020
Number of Chapters	6	5
Number of Words	24,789	24,665
Number of Restrictions	352	288

FY 2020 Performance Highlights

Including Fiscal Year 2020, TOTAL transferred TO General Fund since 1994

$108.8 Million



Part II – Performance Measures

Performance Measure		FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Promote public and industry confidence in the banking and credit union systems through timely, reasonable and effective supervision and regulation						
1. % of state-chartered banks examined within statutory timeframe	Actual	100%	100%	100%	100%	----------
	Target	*100%*	*100%*	*100%*	*100%*	*Maintain 100%*
2. % of state-chartered credit unions examined within statutory timeframe	Actual	100%	100%	100%	100%	----------
	Target	*100%*	*100%*	*100%*	*100%*	*Maintain 100%*
3. % of on-site examinations of state-registered / Idaho-based investment advisers*	Actual	30%*	44%	30%	20.5%	----------
	target	*33%*	*33%*	*33%*	*33%*	
4. Conduct 200 compliance examinations of Consumer Finance Bureau licensees (does not include "for cause" examinations)	actual	190	183	191	83	----------
	target	*200*	*200*	*Minimum of 200*		

Performance Measure Explanatory Notes
* Investment Adviser exam scheduling sometimes weighted to later part of calendar year – exams were completed, or will be completed, by end of calendar year.

For the FY23 Budget, we may change a few of these metrics due to nationwide accreditation standards and other industry changes; at that time we will provide 4 years of data for the new metrics from FY18 to FY21.

For More Information Contact

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Director Attestation for Performance Report

In accordance with *Idaho Code* 67-1904, I certify the data provided in the Performance Report has been internally assessed for accuracy, and, to the best of my knowledge, is deemed to be accurate.

Department: Department of Finance

Patrick R. Jenkins
Director's Signature

August 27, 2020
Date

Please return to:

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