

Agency Summary And Certification

280 -- Insurance, Department of

Original Submission or Rev No. ___

FY2022 Request

AUG 28 2020

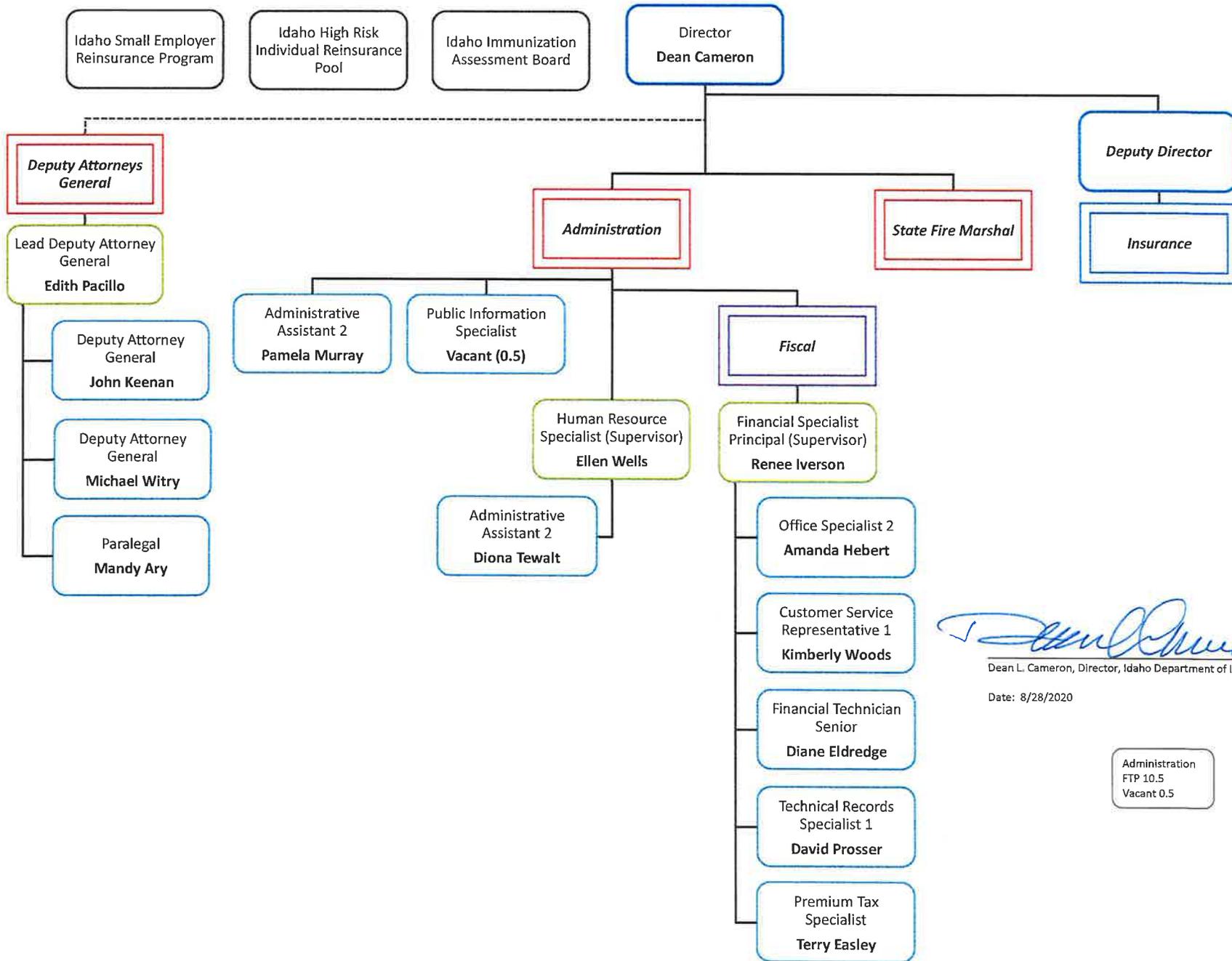
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In accordance with 67-3502, Idaho Code, I certify the attached forms properly state the receipts and expenditures of the department (agency, office, or institution) for the fiscal years indicated. The summary of expenditures by major program, fund source, and standard class is indicated below.

Signature of Department Director :  Date: 8/28/20

Function/Activity	FY 2020 Total Appropriation	FY 2020 Total Expenditures	FY 2021 Original Appropriation	FY 2021 Estimated Expenditures	FY 2022 Total Request
Insurance Regulation	8,490,200	6,375,600	8,688,800	8,688,800	8,847,200
Division of State Fire Marshal	1,275,800	987,700	1,229,000	1,252,200	1,275,400
Total	9,766,000	7,363,300	9,917,800	9,941,000	10,122,600
By Fund Source	Total Appropriation	Actual Expenditures	Original Appropriation	Estimated Expenditures	Total Request
D 0229-10 Self-governing Operating	7,805,500	5,876,600	7,999,700	7,999,700	8,149,700
D 0229-11 State Fire Marshal	1,275,800	987,700	1,229,000	1,252,200	1,275,400
F 0348-00 Federal Grant	684,700	499,000	689,100	689,100	697,500
Total	9,766,000	7,363,300	9,917,800	9,941,000	10,122,600
By Object	Total Appropriation	Actual Expenditures	Original Appropriation	Estimated Expenditures	Total Request
Personnel Costs	5,765,300	5,170,300	5,856,500	5,856,500	6,031,400
Operating Expenditures	3,808,200	2,033,200	3,933,100	3,933,100	3,913,300
Capital Outlay	192,500	159,800	128,200	151,400	177,900
Trustee And Benefit Payments	0	0	0	0	0
Lump Sum	0	0	0	0	0
Total	9,766,000	7,363,300	9,917,800	9,941,000	10,122,600
FTP Total	71.50	71.50	71.50	71.50	71.50

**Department of Insurance
Organization Chart
Administration**

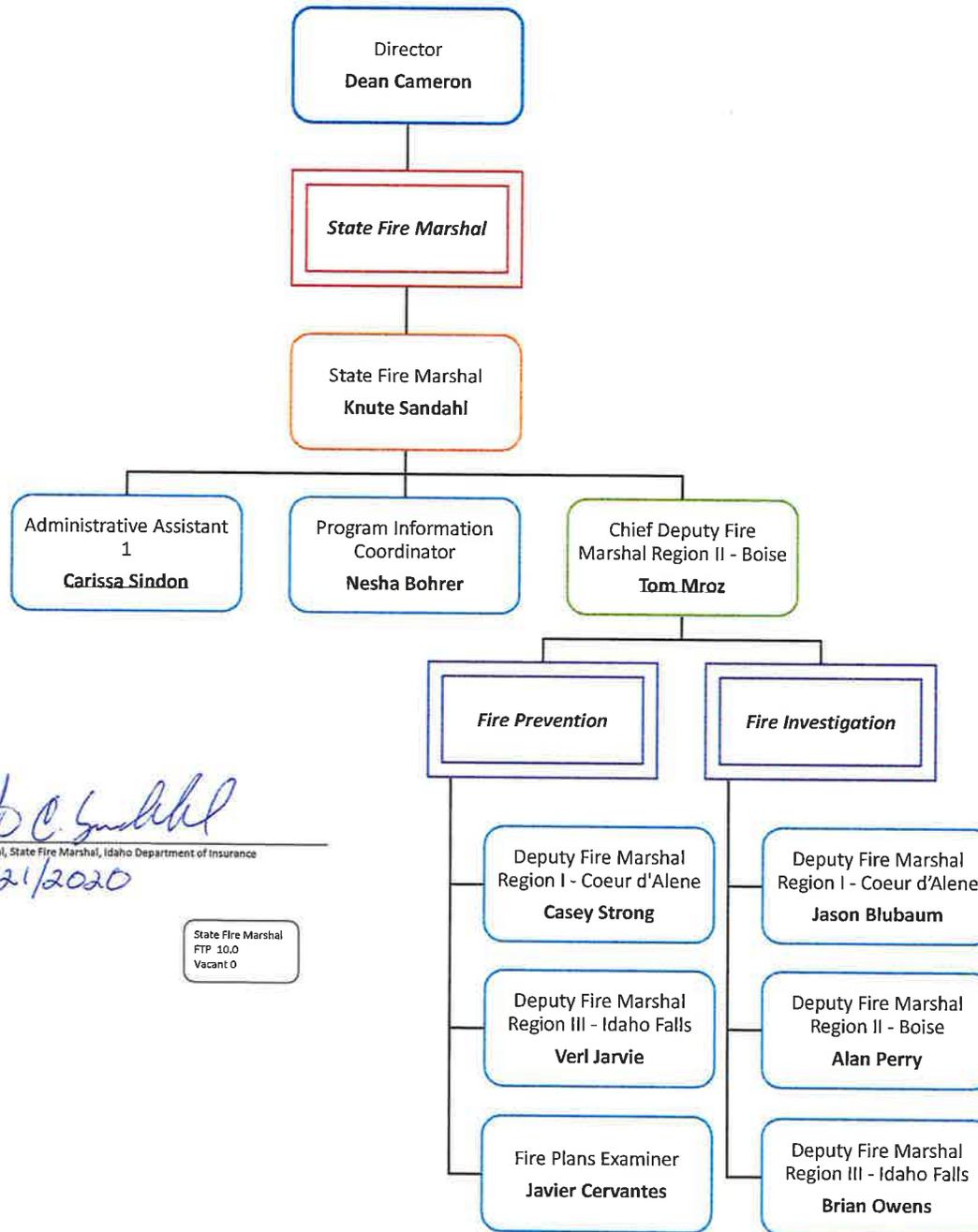


Dean L. Cameron, Director, Idaho Department of Insurance

Date: 8/28/2020

Administration
FTP 10.5
Vacant 0.5

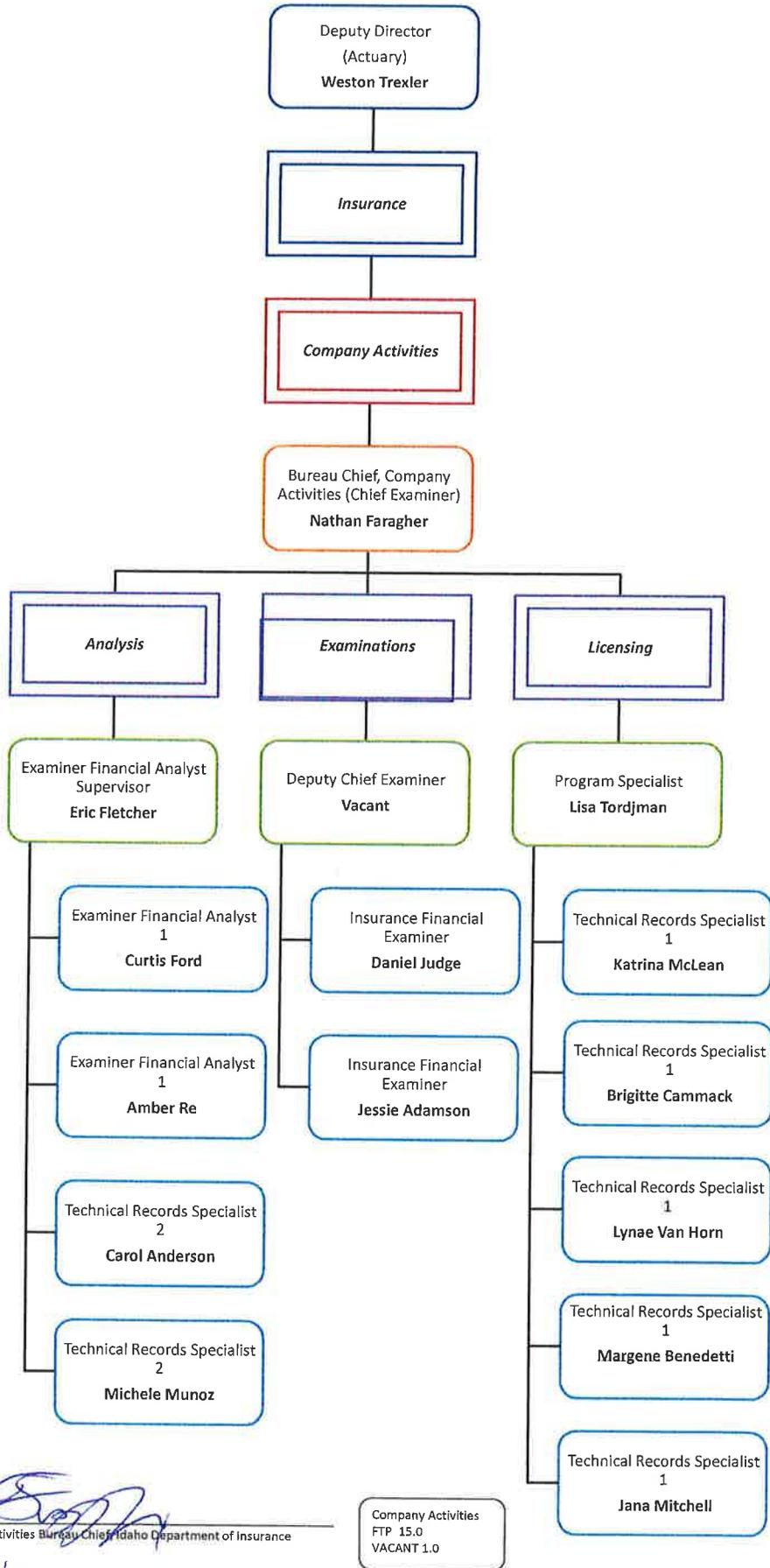
Department of Insurance
Organization Chart
State Fire Marshal




Knute C. Sandahl, State Fire Marshal, Idaho Department of Insurance
Date: 8/21/2020

State Fire Marshal
FTP 10.0
Vacant 0

**Department of Insurance
Organization Chart
Company Activities**

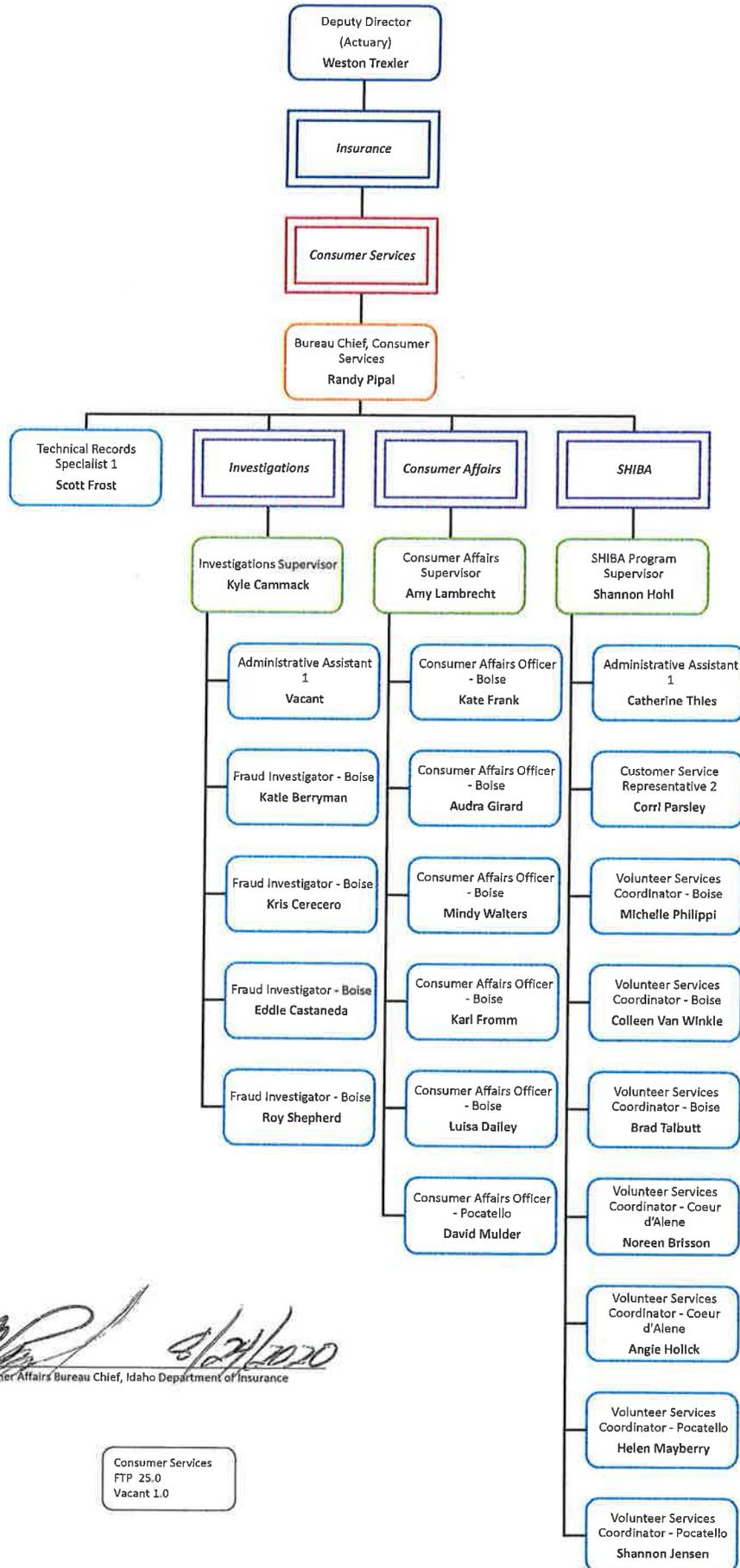


Nathan Faragher
 Nathan Faragher, Company Activities Bureau Chief, Idaho Department of Insurance

Date: 8/20/20

Company Activities
 FTP 15.0
 VACANT 1.0

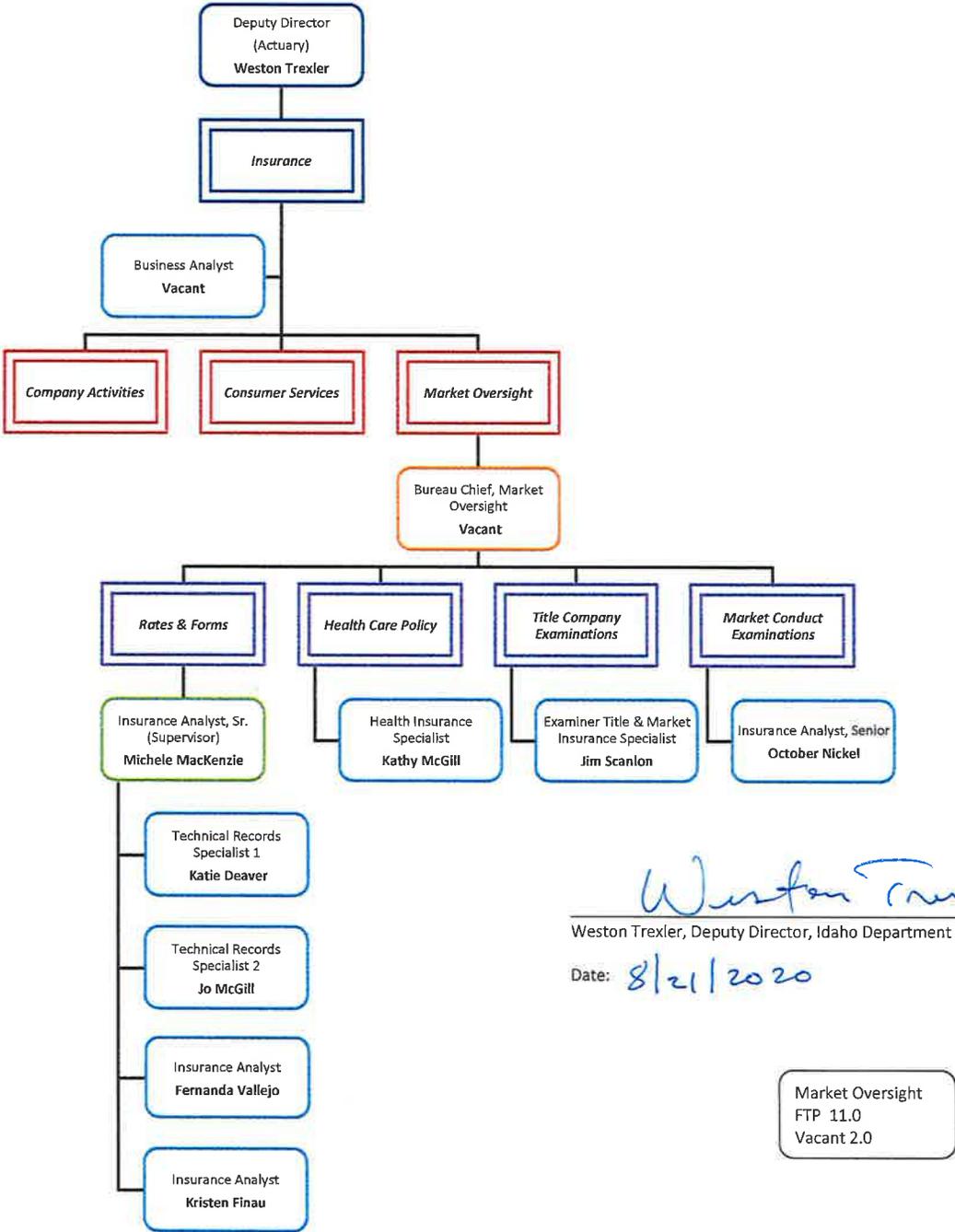
Department of Insurance
 Organization Chart
 Consumer Services



Randy Pipal 8/24/2020
 Randy Pipal, Consumer Affairs Bureau Chief, Idaho Department of Insurance

Consumer Services
 FTP 25.0
 Vacant 1.0

**Department of Insurance
Organization Chart
Market Oversight**



Weston Trexler

 Weston Trexler, Deputy Director, Idaho Department of Insurance

Date: 8/21/2020

Market Oversight
 FTP 11.0
 Vacant 2.0

FORM B3: DIVISION DESCRIPTIONS

Agency/Department: Insurance, Department of
Division: _____

Request for Fiscal Year : 2022
Agency Number: 280

Original Request Date: August 28, 2020 Revision Request Date: _____

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Insurance, Department of

The mission of the Department of Insurance is to serve and protect Idahoans by equitably, effectively, and efficiently administering the Idaho Insurance Code and the International Fire Code. The department fulfills its mission and duties through two budgeted programs: The Insurance Regulation Division and the State Fire Marshal's Office. The Insurance Regulation Division consists of three bureaus overseen by a deputy director and an administrative group that reports to the director providing support services along with the collection and auditing of insurance tax premiums.

Insurance Regulation

The Insurance Regulation Division's primary function is to regulate the insurance industry in Idaho. The regulation activities are carried out by three bureaus: the Company Activities Bureau, the Consumer Services Bureau, and the Product Review-Market Oversight Bureau. The Company Activities Bureau monitors the solvency of insurers domiciled in Idaho and, issues licenses, ~~regulates title agencies, and performs market conduct analyses.~~ The Consumer Services Bureau focuses on consumer and industry concerns and assists stakeholders on insurance contracts and code violations. Additionally, the volunteer-driven Senior Health Insurance Benefit Advisor (SHIBA) Program is housed in this bureau and provides information and counseling on Medicare coverage. Lastly, the Product Review-Market Oversight Bureau reviews filed rates and forms, ~~and~~ reviews, and certifies health plans to ensure that products meet the Qualified Health Plan (QHP) standards, regulates title agencies, and performs market conduct analyses.

Division of State Fire Marshal

The State Fire Marshal Division participates in and coordinates an integrated statewide system designed to protect human life from fire and explosions through fire prevention and the investigation of fires. The program involves fire prevention activities, arson investigations, and the operation of various statistical systems, including the Idaho Fire Incident Reporting System.

FORM B11: REVENUE

Agency/Department: Insurance, Department of
 Program (If applicable) Insurance Regulation

Request for Fiscal Year: 2022
 Agency Number: 280
 Budget Unit (If Applicable): INAB
 Function/Activity Number (If Applicable): 30

Original Request Date: August 28, 2020 Revision Request Date: _____

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Fund No.	Fund Detail No.	Fund Name	Significant Assumption Number	Summary Object Code	Revenue Source Description -Summary Level	FY 2018 Actual Revenue	FY 2019 Actual Revenue	FY 2020 Actual Revenue	FY 2021 Estimated Revenue	FY 2022 Estimated Revenue
0172	00	Immunization Dedicated Vaccine Fund		1025	Regulatory Fees	16,819,600	15,721,800	16,707,200	18,000,000	18,000,000
0172	00	Immunization Dedicated Vacci			FUND TOTAL 0172	\$16,819,600	\$15,721,800	\$16,707,200	\$18,000,000	\$18,000,000
0229	10	Insurance Administrative Account	1	0560	Insurance Premium Tax	92,214,500	99,757,200	106,531,900	102,270,600	102,270,600
			1	1315	Fines	149,500	109,900	206,100	197,900	197,900
			1	1020	Regulatory Licenses	4,394,400	4,360,700	4,664,100	4,477,500	4,477,500
			1	1025	Regulatory Fees	3,757,800	3,968,500	3,840,000	3,686,400	3,686,400
			1	1155	Filing Fees	500	0	0	0	0
			1	1160	Educational	56,500	53,000	57,200	54,900	54,900
			1	1500	Sale of Service, Goods & Property	6,300	5,400	7,000	6,700	6,700
			1	3500	Other Revenue	120,400	926,800	241,000	231,400	231,400
0229	10	Insurance Administrative Acco			FUND TOTAL	\$100,699,900	\$109,181,500	\$115,547,300	\$110,925,400	\$110,925,400
0348	00	Federal Grants		2039	Federal Grant	462,200	557,100	510,400	689,100	689,100
0348	00	Federal Grants			FUND TOTAL	\$462,200	\$557,100	\$510,400	\$689,100	\$689,100
GRAND TOTAL						\$101,162,100	\$109,738,600	\$116,057,700	\$111,614,500	\$111,614,500

SIGNIFICANT ASSUMPTIONS

Fund No.	Fund Detail No.	Fund Name	Significant Assumption Number	Provide Details for any Significant Assumptions Listed	FY 2022 Estimated Impact
0229	10	Insurance Administrative Account	1	4% Reduction in Premium Tax, Licensing and Fees for FY 2021. No growth projected for FY 2022.	\$0
					\$0
					\$0
					\$0
					\$0
					\$0

FORM B11: REVENUE

Agency/Department: Insurance, Department of
 Program (If applicable) State Fire Marshal

Request for Fiscal Year: 2022
 Agency Number: 280
 Budget Unit (If Applicable): INAC
 Function/Activity Number (If Applicable): 50

Original Request Date: August 28, 2020 Revision Request Date: _____

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Fund No.	Fund Detail No.	Fund Name	Significant Assumption Number	Summary Object Code	Revenue Source Description -Summary Level	FY 2018 Actual Revenue	FY 2019 Actual Revenue	FY 2020 Actual Revenue	FY 2021 Estimated Revenue	FY 2022 Estimated Revenue
0229	11	Arson Fire & Fraud Prevention Acct		1018	Examination Fees	700	1,100	500	1,000	1,000
				1020	Regulatory Licenses	12,200	13,500	10,800	10,000	10,000
				1025	Regulatory Fees	883,700	694,700	784,500	750,000	750,000
				1155	Filing Fees	143,600	151,900	143,000	145,000	145,000
				1500	Sale of Service, Goods & Property	6,300	13,800	11,800	10,000	10,000
				2515	Interest Income	23,500	39,200	33,100	30,000	30,000
				3500	Other Revenue	0	6,600	0	0	0
0229	11	Arson Fire & Fraud Prevention FUND TOTAL				\$1,070,000	\$920,800	\$983,700	\$946,000	\$946,000
0349	35	Reduced Cigarette Ignition Enforcement	1	1025	Regulatory Fees	82,000	14,000	21,000	85,000	15,000
0349	35	Reduced Cigarette Ignition Ent FUND TOTAL				\$82,000	\$14,000	\$21,000	\$85,000	\$15,000
GRAND TOTAL						\$1,152,000	\$934,800	\$1,004,700	\$1,031,000	\$961,000

SIGNIFICANT ASSUMPTIONS

Fund No.	Fund Detail No.	Fund Name	Significant Assumption Number	Provide Details for any Significant Assumptions Listed	FY 2022 Estimated Impact
0349	35	Reduced Cigarette Ignition Enforcement	1	FY 2019 is the 1st year in a 3-year cycle for cigarette brand family certification renewals. Most revenue is received in the 3rd year.	-\$70,000
					\$0
					\$0
					\$0
					\$0
					\$0

FORM B11: REVENUE

Agency/Department: Insurance, Department of
 Program (If applicable) Liquidation Trusts

Request for Fiscal Year: 2022
 Agency Number: 280
 Budget Unit (If Applicable): INAD
 Function/Activity Number (If Applicable): 70

Original Request Date: August 28, 2020 Revision Request Date: _____

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Fund No.	Fund Detail No.	Fund Name	Significant Assumption Number	Summary Object Code	Revenue Source Description -Summary Level	FY 2018 Actual Revenue	FY 2019 Actual Revenue	FY 2020 Actual Revenue	FY 2021 Estimated Revenue	FY 2022 Estimated Revenue
0520	00	Liquidation Trusts	1	2501	Interest Income	11,700	18,100	16,200	7,000	7,000
0520	00	Liquidation Trusts		3601	Miscellaneous Revenue	0	300	0	0	0
0520	00	Liquidation Trusts		FUND TOTAL		\$11,700	\$18,400	\$16,200	\$7,000	\$7,000
GRAND TOTAL						\$11,700	\$18,400	\$16,200	\$7,000	\$7,000

SIGNIFICANT ASSUMPTIONS

Fund No.	Fund Detail No.	Fund Name	Significant Assumption Number	Provide Details for any Significant Assumptions Listed	FY 2022 Estimated Impact
0520	00	Liquidation Trusts	1	Interest Income will significantly reduce as one of two trust accounts were paid out and closed in FY 2020.	-\$9,200
					\$0
					\$0
					\$0
					\$0
					\$0

FORM B12: ANALYSIS OF FUND BALANCES

Request for Fiscal Year : 2022

Agency/Department: Insurance, Department of

Agency Number: 280

Original Request Date: August 28, 2020 or Revision Request Date:

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Sources and Uses: Insurance carriers pay an annual assessment based upon the total number of children eligible for the vaccine program in Idaho and the estimated cost of those vaccines (§41-6006, Idaho Code). All funds in excess to the cost required to perform the administrative functions required under this chapter shall be paid to the Idaho Department of Health and Welfare for the sole purposes of purchasing vaccine for use in the Idaho immunization program (§41-6007, Idaho Code).

FUND NAME: Idaho Immunization Dedicated Vaccine Fund			FUND CODE: 0172-00	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Estimate	FY 2022 Estimate
1. Beginning Free Fund Balance				0	0	0	0	0
2. Encumbrances as of July 1				0	0	0	0	0
2a. Reappropriation (Legislative Carryover)				0	0	0	0	0
3. Beginning Cash Balance				0	0	0	0	0
4. Revenues (from Form B-11)				16,819,600	15,721,800	16,707,200	18,000,000	18,000,000
5. Non-Revenue Receipts and Other Adjustments			Suspende, borrowing limit	0	0	80,000	0	0
6. Statutory Transfers in:			Fund or Reference:	0	0	0	0	0
7. Operating Transfers in:			Fund or Reference:	0	0	0	0	0
8. Total Available for Year				16,819,600	15,721,800	16,787,200	18,000,000	18,000,000
9. Statutory Transfers Out:			Fund or Reference:	0	0	0	0	0
10. Operating Transfers Out: Dept of H&W - Vaccine Fund			Fund or Reference: 0172 41-6007	16,819,600	15,721,800	16,707,200	18,000,000	18,000,000
11. Non-Expenditure Disbursements and Other Adjustments			Refunds, Clearing, P-card pymts	0	0	80,000	0	0
12. Cash Expenditures for Prior Year Encumbrances				0	0	0	0	0
13. Original Appropriation				0	0	0	0	0
14. Prior Year Reappropriations, Supplementals, Rescissions				0	0	0	0	0
15. Non-cogs, Receipts to Appropriation, etc				0	0	0	0	0
16. Reversions and Continuous Appropriations				0	0	0	0	0
17. Current Year Reappropriation				0	0	0	0	0
18. Reserve for Current Year Encumbrances				0	0	0	0	0
19. Current Year Cash Expenditures				0	0	0	0	0
19a. Budgetary Basis Expenditures (CY Cash Exp + CY Enc)				0	0	0	0	0
20. Ending Cash Balance				0	0	0	0	0
21. Prior Year Encumbrances as of June 30				0	0	0	0	0
22. Current Year Encumbrances as of June 30				0	0	0	0	0
22a. Current Year Reappropriation				0	0	0	0	0
23. Borrowing Limit				0	0	0	0	0
24. Ending Free Fund Balance				0	0	0	0	0
24a. Investments Direct by Agency (GL 1203)				0	0	0	0	0
24b. Ending Free Fund Balance Including Direct Investments				0	0	0	0	0
26. Outstanding Loans (if this fund is part of a loan program)				0	0	0	0	0

***Note:**

Shaded areas in matrix are calculated. Numbers are rounded to hundreds of dollars. Font set to fit to page.

FORM B12: ANALYSIS OF FUND BALANCES

Request for Fiscal Year : 2022

Agency/Department: Insurance, Department of

Agency Number: 280

Original Request Date: August 28, 2020 or Revision Request Date:

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Sources and Uses: Receipts deposited into this fund are from premium taxes with associated fines and penalties (IC§41-406(1)), regulatory fees and licensing fees (IC§41-401). Premium taxes are transferred to the General Fund after transfers to the Insurance Refund, Firemen's Retirement, Insurance Insolvency Fund, High Risk Individual Reinsurance Fund and the Department of Health and Welfare's Access Card Program (IC§41-406(1)(a)-(e)). Fees, licenses and miscellaneous charges provide for the operating expenses of the Department of Insurance (IC§41-401(3)(a)). At the beginning of each fiscal year, those moneys which exceed the current year's appropriation plus any residual encumbrances made against the prior year's appropriations by twenty-five percent (25%) or more are transferred to the General Fund (IC§41-401(3)(e)).

FUND NAME:	Insurance Administrative Account	FUND CODE:	0229-10	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Estimate	FY 2022 Estimate
1. Beginning Free Fund Balance				10,369,700	12,005,200.00	13,191,200	12,021,200	10,456,800
2. Encumbrances as of July 1				41,900	19,000	900	0	0
2a. Reappropriation (Legislative Carryover)				0	0	0	0	0
3. Beginning Cash Balance				10,411,600	12,024,200	13,192,100	12,021,200	10,456,800
4. Revenues (from Form B-11)				100,699,900	109,181,500	115,547,300	110,925,400	110,925,400
5. Non-Revenue Receipts and Other Adjustments		Suspense, borrowing limit		32,400	63,200	47,100	0	0
6. Statutory Transfers in:		Fund or Reference:		0	0	0	0	0
7. Operating Transfers in:	Fraud Conference Project Adjustment	Fund or Reference:	0229-10	0	2,700	0	0	0
8. Total Available for Year				111,143,900	121,271,600	128,786,500	122,946,600	121,382,200
9a. Statutory Transfers Out:	General Fund - Excess Cash	Fund or Reference:	0001 / 41-401(3)(e)	587,900	1,617,600	3,423,000	2,021,600	1,000,000
9b. Statutory Transfers Out:	General Fund - Premium Tax	Fund or Reference:	0001 / 41-406(1)(e)	70,486,000	75,352,000	79,915,800	78,235,500	78,235,500
9c. Statutory Transfers Out:	Firemen's Retirement (PERSI)	Fund or Reference:	41-406(1)(b)/59-1394	3,962,800	4,320,900	4,715,100	2,357,600	2,357,600
9d. Statutory Transfers Out:	Idaho High Risk Individual Reinsuranc	Fund or Reference:	0229-13/41-406(1)(d)	9,019,900	10,575,800	12,471,000	11,972,200	11,972,200
10a. Operating Transfers Out:	Insurance Refund Fund	Fund or Reference:	0515 / 41-406(1)(a)	8,895,300	9,618,400	10,315,800	9,903,200	9,903,200
10b. Operating Transfers Out:	Insurance Insolvency Fund	Fund or Reference:	0523 / 41-406(1)(c)	0	0	0	0	0
10c. Operating Transfers Out:	Fraud Conference Project Adjustment	Fund or Reference:	0229-10	0	2,700	0	0	0
11. Non-Expenditure Disbursements and Other Adjustments		Refunds, Clearing, P-card pymts		32,400	63,200	47,100	0	0
12. Cash Expenditures for Prior Year Encumbrances				41,900	19,000	900	0	0
13. Original Appropriation				7,815,400	8,306,300	7,814,400	7,999,700	8,149,700
14. Prior Year Reappropriations, Supplementals, Rescissions				0	0	(8,900)	0	0
15. Non-cogs, Receipts to Appropriation, etc				0	0	0	0	0
16. Reversions and Continuous Appropriations				(1,702,800)	(1,795,500)	(1,928,900)	0	0
17. Current Year Reappropriation				0	0	0	0	0
18. Reserve for Current Year Encumbrances				(19,000)	(900)	0	0	0
19. Current Year Cash Expenditures				6,093,600	6,509,900	5,876,600	7,999,700	8,149,700
19a. Budgetary Basis Expenditures (CY Cash Exp + CY Enc)				6,112,500	6,510,800	5,876,600	7,999,700	8,149,700
20. Ending Cash Balance				12,024,100	13,192,100	12,021,200	10,456,800	9,764,000
21. Prior Year Encumbrances as of June 30				0	0	0	0	0
22. Current Year Encumbrances as of June 30				18,900	900	0	0	0
22a. Current Year Reappropriation				0	0	0	0	0
23. Borrowing Limit				0	0	0	0	0
24. Ending Free Fund Balance				12,005,200	13,191,200	12,021,200	10,456,800	9,764,000
24a. Investments Direct by Agency (GL 1203)				0	0	0	0	0
24b. Ending Free Fund Balance Including Direct Investments				12,005,200	13,191,200	12,021,200	10,456,800	9,764,000
26. Outstanding Loans (if this fund is part of a loan program)								

*Note: Transfer to PERSI for Firefighters Retirement Fund reduced to statutory minimum beginning in FY 2021 per PERSI directions.

FORM B12: ANALYSIS OF FUND BALANCES

Request for Fiscal Year : 2022

Agency/Department: Insurance, Department of

Agency Number: 280

Original Request Date: August 28, 2020 or Revision Request Date:

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Sources and Uses: The Arson, Fire and Fraud Prevention Account consists of penalties collected under the provisions of §41-261 and §41-263, Idaho Code; a portion of the continuation fee collected from insurers; other moneys or revenues derived from whatever source for arson or fraud investigation or fire prevention; and interest earned on the investment of the fund (IC§41-268). The account is used to provide for the expenses of the State Fire Marshal Program in the enforcement of the International Fire Code; prescribe regulations for the prevention of fires and protection of life and property; and investigation of alleged causes of arson, fraud and related alleged violation of the laws of Idaho (IC§41-268(1)).

FUND NAME:	Arson, Fire & Fraud Prevention Account	FUND CODE:	0229-11	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Estimate	FY 2022 Estimate
1. Beginning Free Fund Balance				1,685,000	1,876,100	1,842,200	1,838,900	1,532,700
2. Encumbrances as of July 1				2,800	5,100	0	0	0
2a. Reappropriation (Legislative Carryover)				0	0	0	0	0
3. Beginning Cash Balance				1,687,800	1,881,200	1,842,200	1,838,900	1,532,700
4. Revenues (from Form B-11)				1,070,000	920,800	983,700	946,000	946,000
5. Non-Revenue Receipts and Other Adjustments	Suspense, borrowing limit			2,100	1,400	500	0	0
6. Statutory Transfers in:	Fund or Reference:			0	0	0	0	0
7. Operating Transfers in:	Fund or Reference:			0	0	0	0	0
8. Total Available for Year				2,759,900	2,803,400	2,826,400	2,784,900	2,478,700
9. Statutory Transfers Out:	Fund or Reference:			0	0	0	0	0
10. Operating Transfers Out:	Fund or Reference:			0	0	0	0	0
11. Non-Expenditure Disbursements and Other Adjustments	Refunds, Clearing, P-card pymts			(5,100)	1,500	(200)	0	0
12. Cash Expenditures for Prior Year Encumbrances				2,800	5,100	0	0	0
13. Original Appropriation				1,197,800	1,224,900	1,277,400	1,229,000	1,275,400
14. Prior Year Reappropriations, Supplementals, Rescissions				0	0	(1,600)	0	0
15. Non-cogs, Receipts to Appropriation, etc				0	20,400	11,800	23,200	0
16. Reversions and Continuous Appropriations				(311,700)	(290,700)	(299,900)	0	0
17. Current Year Reappropriation				0	0	0	0	0
18. Reserve for Current Year Encumbrances				(5,100)	0	0	0	0
19. Current Year Cash Expenditures				881,000	954,600	987,700	1,252,200	1,275,400
19a. Budgetary Basis Expenditures (CY Cash Exp + CY Enc)				886,100	954,600	987,700	1,252,200	1,275,400
20. Ending Cash Balance				1,881,200	1,842,200	1,838,900	1,532,700	1,203,300
21. Prior Year Encumbrances as of June 30				0	0	0	0	0
22. Current Year Encumbrances as of June 30				5,100	0	0	0	0
22a. Current Year Reappropriation				0	0	0	0	0
23. Borrowing Limit				0	0	0	0	0
24. Ending Free Fund Balance				1,876,100	1,842,200	1,838,900	1,532,700	1,203,300
24a. Investments Direct by Agency (GL 1203)				0	0	0	0	0
24b. Ending Free Fund Balance Including Direct Investments				1,876,100	1,842,200	1,838,900	1,532,700	1,203,300
26. Outstanding Loans (if this fund is part of a loan program)								

***Note:**

Shaded areas in matrix are calculated. Numbers are rounded to hundreds of dollars. Font set to fit to page.

FORM B12: ANALYSIS OF FUND BALANCES

Request for Fiscal Year : 2022

Agency/Department: Insurance, Department of

Agency Number: 280

Original Request Date: August 28, 2020 or Revision Request Date:

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Sources and Uses: [EFFECTIVE JULY 1, 2017] After all other statutory deductions from insurance premium tax revenues have been made, if the revenues remaining exceed \$45 million, one-fourth of such excess is appropriated and paid to the Idaho High Risk Individual Reinsurance Pool fund (§41-406(1)(d), Idaho Code). The moneys in this fund are used to pay the costs associated with providing health insurance coverage to high risk individuals regardless of health status or claims experience (IC§41-5501).

FUND NAME:	Idaho High Risk Individual Reinsurance Pool	FUND CODE:	0229-13	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Estimate	FY 2022 Estimate
1. Beginning Free Fund Balance				0	0	0	0	0
2. Encumbrances as of July 1				0	0	0	0	0
2a. Reappropriation (Legislative Carryover)				0	0	0	0	0
3. Beginning Cash Balance				0	0	0	0	0
4. Revenues (from Form B-11)				0	0	0	0	0
5. Non-Revenue Receipts and Other Adjustments	Suspense, borrowing limit			0	0	0	0	0
6. Statutory Transfers in:	Insurance Administrative Account	Fund or Reference:	0229-10/41-406(1)(d)	9,019,900	10,575,800	12,471,000	11,972,200	11,972,200
7. Operating Transfers in:		Fund or Reference:		0	0	0	0	0
8. Total Available for Year				9,019,900	10,575,800	12,471,000	11,972,200	11,972,200
9. Statutory Transfers Out:		Fund or Reference:		0	0	0	0	0
10. Operating Transfers Out:		Fund or Reference:		0	0	0	0	0
11. Non-Expenditure Disbursements and Other Adjustments	Refunds, Clearing, P-card pymts			0	0	0	0	0
12. Cash Expenditures for Prior Year Encumbrances				0	0	0	0	0
13. Original Appropriation				0	0	0	0	0
14. Prior Year Reappropriations, Supplementals, Rescissions				0	0	0	0	0
15. Non-cogs, Receipts to Appropriation, etc				0	0	0	0	0
16. Reversions and Continuous Appropriations				9,019,900	10,575,800	12,471,000	11,972,200	11,972,200
17. Current Year Reappropriation				0	0	0	0	0
18. Reserve for Current Year Encumbrances				0	0	0	0	0
19. Current Year Cash Expenditures				9,019,900	10,575,800	12,471,000	11,972,200	11,972,200
19a. Budgetary Basis Expenditures (CY Cash Exp + CY Enc)				9,019,900	10,575,800	12,471,000	11,972,200	11,972,200
20. Ending Cash Balance				0	0	0	0	0
21. Prior Year Encumbrances as of June 30				0	0	0	0	0
22. Current Year Encumbrances as of June 30				0	0	0	0	0
22a. Current Year Reappropriation				0	0	0	0	0
23. Borrowing Limit				0	0	0	0	0
24. Ending Free Fund Balance				0	0	0	0	0
24a. Investments Direct by Agency (GL 1203)				0	0	0	0	0
24b. Ending Free Fund Balance Including Direct Investments				0	0	0	0	0
26. Outstanding Loans (if this fund is part of a loan program)								

*Note: The transfers to the Idaho High Risk Individual Reinsurance Pool sunsetted on October 1, 2015. Payments to the High Risk Individual Reinsurance Pool fund became effective again on July 1, 2017 resulting in transfers resuming in FY 2018.

FORM B12: ANALYSIS OF FUND BALANCES

Request for Fiscal Year : 2022

Agency/Department: Insurance, Department of

Agency Number: 280

Original Request Date: August 28, 2020 or Revision Request Date:

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Sources and Uses: Federal grant funds obtained through an application process and reimbursed to the state after expenditures are completed.

FUND NAME:	Federal Grant Fund	FUND CODE:	0348-00	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Estimate	FY 2022 Estimate
1. Beginning Free Fund Balance				(12,600)	(35,200)	(11,600)	0	0
2. Encumbrances as of July 1				0	0	0	0	0
2a. Reappropriation (Legislative Carryover)				0	0	0	0	0
3. Beginning Cash Balance				(12,600)	(35,200)	(11,600)	0	0
4. Revenues (from Form B-11)				462,200	557,100	510,400	689,100	697,500
5. Non-Revenue Receipts and Other Adjustments	Suspense, borrowing limit			74,900	75,200	75,200	75,000	75,000
6. Statutory Transfers in:	Fund or Reference:			0	0	0	0	0
7. Operating Transfers in:	Fund or Reference:			0	0	0	0	0
8. Total Available for Year				524,500	597,100	574,000	764,100	772,500
9. Statutory Transfers Out:	Fund or Reference:			0	0	0	0	0
10. Operating Transfers Out:	Fund or Reference:			0	0	0	0	0
11. Non-Expenditure Disbursements and Other Adjustments	Refunds, Clearing, P-card pymts			0	200	100	0	0
12. Cash Expenditures for Prior Year Encumbrances				0	0	0	0	0
13. Original Appropriation				677,400	678,200	685,200	689,100	697,500
14. Prior Year Reappropriations, Supplementals, Rescissions				0	0	(500)	0	0
15. Non-cogs, Receipts to Appropriation, etc				0	0	0	0	0
16. Reversions and Continuous Appropriations				(192,700)	(144,700)	(185,800)	0	0
17. Current Year Reappropriation				0	0	0	0	0
18. Reserve for Current Year Encumbrances				0	0	0	0	0
19. Current Year Cash Expenditures				484,700	533,500	498,900	689,100	697,500
19a. Budgetary Basis Expenditures (CY Cash Exp + CY Enc)				484,700	533,500	498,900	689,100	697,500
20. Ending Cash Balance				39,800	63,400	75,000	75,000	75,000
21. Prior Year Encumbrances as of June 30				0	0	0	0	0
22. Current Year Encumbrances as of June 30				0	0	0	0	0
22a. Current Year Reappropriation				0	0	0	0	0
23. Borrowing Limit				75,000	75,000	75,000	75,000	75,000
24. Ending Free Fund Balance				(35,200)	(11,600)	0	0	0
24a. Investments Direct by Agency (GL 1203)				0	0	0	0	0
24b. Ending Free Fund Balance Including Direct Investments				(35,200)	(11,600)	0	0	0
26. Outstanding Loans (if this fund is part of a loan program)								

***Note:**

Shaded areas in matrix are calculated. Numbers are rounded to hundreds of dollars. Font set to fit to page.

FORM B12: ANALYSIS OF FUND BALANCES

Request for Fiscal Year : 2022

Agency/Department: Insurance, Department of

Agency Number: 280

Original Request Date: August 28, 2020 or Revision Request Date:

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Sources and Uses: Cigarette brand certification fees established under §39-8904, Idaho Code are collected to support processing, testing, enforcement and oversight activities.

FUND NAME:	Reduced Cigarette Ignition Enforcement	FUND CODE:	0349-35	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Estimate	FY 2022 Estimate
1. Beginning Free Fund Balance				373,000	455,000	469,000	490,000	575,000
2. Encumbrances as of July 1				0	0	0	0	0
2a. Reappropriation (Legislative Carryover)				0	0	0	0	0
3. Beginning Cash Balance				373,000	455,000	469,000	490,000	575,000
4. Revenues (from Form B-11)				82,000	14,000	21,000	85,000	15,000
5. Non-Revenue Receipts and Other Adjustments	Suspense, borrowing limit			1,000	2,000	0	0	
6. Statutory Transfers in:	Fund or Reference:			0	0	0	0	
7. Operating Transfers in:	Fund or Reference:			0	0	0	0	0
8. Total Available for Year				456,000	471,000	490,000	575,000	590,000
9. Statutory Transfers Out:	Fund or Reference:			0	0	0	0	0
10. Operating Transfers Out:	Fund or Reference:			0	0	0	0	0
11. Non-Expenditure Disbursements and Other Adjustments	Refunds, Clearing, P-card pymts			1,000	2,000	0	0	0
12. Cash Expenditures for Prior Year Encumbrances				0	0	0	0	0
13. Original Appropriation				0	0	0	0	0
14. Prior Year Reappropriations, Supplementals, Rescissions				0	0	0	0	0
15. Non-cogs, Receipts to Appropriation, etc				0	0	0	0	0
16. Reversions and Continuous Appropriations				0	0	0	0	0
17. Current Year Reappropriation				0	0	0	0	0
18. Reserve for Current Year Encumbrances				0	0	0	0	0
19. Current Year Cash Expenditures				0	0	0	0	0
19a. Budgetary Basis Expenditures (CY Cash Exp + CY Enc)				0	0	0	0	0
20. Ending Cash Balance				455,000	469,000	490,000	575,000	590,000
21. Prior Year Encumbrances as of June 30				0	0	0	0	0
22. Current Year Encumbrances as of June 30				0	0	0	0	0
22a. Current Year Reappropriation				0	0	0	0	0
23. Borrowing Limit				0	0	0	0	0
24. Ending Free Fund Balance				455,000	469,000	490,000	575,000	590,000
24a. Investments Direct by Agency (GL 1203)				0	0	0	0	0
24b. Ending Free Fund Balance Including Direct Investments				455,000	469,000	490,000	575,000	590,000
26. Outstanding Loans (if this fund is part of a loan program)								

***Note:**

Shaded areas in matrix are calculated. Numbers are rounded to hundreds of dollars. Font set to fit to page.

FORM B12: ANALYSIS OF FUND BALANCES

Request for Fiscal Year : 2022

Agency/Department: Insurance, Department of

Agency Number: 280

Original Request Date: August 28, 2020 or Revision Request Date:

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Sources and Uses: Up to twenty percent (20%) of all taxes, fines and penalties of premium tax collected may be deposited into the Insurance Refund Fund (§41-406(1)(a), Idaho Code). The purpose of this fund is to repay overpayments of any taxes, fines and penalties or other erroneous receipts. Amounts necessary to pay refunds are continuously appropriated. Any unencumbered balance remaining in the Insurance Refund Fund as of June 30 every year in excess of forty thousand dollars (\$40,000) is transferred to the General Fund (IC§41-406(1)(a)).

FUND NAME:	Insurance Refund Fund	FUND CODE:	0515-00	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Estimate	FY 2022 Estimate
1. Beginning Free Fund Balance				40,000	40,000	40,000	40,000	40,000
2. Encumbrances as of July 1				0	0	0	0	0
2a. Reappropriation (Legislative Carryover)				0	0	0	0	0
3. Beginning Cash Balance				40,000	40,000	40,000	40,000	40,000
4. Revenues (from Form B-11)				0	0	0	0	0
5. Non-Revenue Receipts and Other Adjustments		Suspense, borrowing limit		0	0	0	0	0
6. Statutory Transfers in:		Fund or Reference:		0	0	0	0	0
7. Operating Transfers in:	Insurance Administrative Account	Fund or Reference:	0229-10 41-406(1)(a)	8,895,300	9,618,400	10,315,800	9,903,200	9,903,200
8. Total Available for Year				8,935,300	9,658,400	10,355,800	9,943,200	9,943,200
9. Statutory Transfers Out:	General Fund - Excess Cash	Fund or Reference:	0001 41-406(1)(a)	3,750,500	3,645,000	4,505,400	2,971,000	2,971,000
10. Operating Transfers Out:		Fund or Reference:		0	0	0	0	0
11. Non-Expenditure Disbursements and Other Adjustments		Refunds, Clearing, P-card pymts		0	0	0	0	0
12. Cash Expenditures for Prior Year Encumbrances				0	0	0	0	0
13. Original Appropriation				0	0	0	0	0
14. Prior Year Reappropriations, Supplementals, Rescissions				0	0	0	0	0
15. Non-cogs, Receipts to Appropriation, etc				0	0	0	0	0
16. Reversions and Continuous Appropriations				5,144,800	5,973,400	5,810,400	6,932,200	6,932,200
17. Current Year Reappropriation				0	0	0	0	0
18. Reserve for Current Year Encumbrances				0	0	0	0	0
19. Current Year Cash Expenditures				5,144,800	5,973,400	5,810,400	6,932,200	6,932,200
19a. Budgetary Basis Expenditures (CY Cash Exp + CY Enc)				5,144,800	5,973,400	5,810,400	6,932,200	6,932,200
20. Ending Cash Balance				40,000	40,000	40,000	40,000	40,000
21. Prior Year Encumbrances as of June 30				0	0	0	0	0
22. Current Year Encumbrances as of June 30				0	0	0	0	0
22a. Current Year Reappropriation				0	0	0	0	0
23. Borrowing Limit				0	0	0	0	0
24. Ending Free Fund Balance				40,000	40,000	40,000	40,000	40,000
24a. Investments Direct by Agency (GL 1203)				0	0	0	0	0
24b. Ending Free Fund Balance Including Direct Investments				40,000	40,000	40,000	40,000	40,000
26. Outstanding Loans (if this fund is part of a loan program)								

*Note:

Shaded areas in matrix are calculated. Numbers are rounded to hundreds of dollars. Font set to fit to page.

FORM B12: ANALYSIS OF FUND BALANCES

Request for Fiscal Year : 2022

Agency/Department: Insurance, Department of

Agency Number: 280

Original Request Date: August 28, 2020 or Revision Request Date:

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Sources and Uses: The Insurance Liquidation Trust consists of the monetary assets of an insurer being liquidated. The trust is used to liquidate monetary assets and pay claims of an insurer under the general supervision of the court. It also provides a means of accurate accounting to the court at such intervals as the court specifies in its order (§41-3318, Idaho Code).

FUND NAME:	Insurance Liquidation Trust	FUND CODE:	0520-00	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Estimate	FY 2022 Estimate
1. Beginning Free Fund Balance				946,800	798,000	816,100	396,800	403,800
2. Encumbrances as of July 1				0	0	0	0	0
2a. Reappropriation (Legislative Carryover)				0	0	0	0	0
3. Beginning Cash Balance				946,800	798,000	816,100	396,800	403,800
4. Revenues (from Form B-11)				11,700	18,400	16,200	7,000	7,000
5. Non-Revenue Receipts and Other Adjustments	Suspense, borrowing limit			0	0	0	0	0
6. Statutory Transfers in:	Fund or Reference:			0	0	0	0	0
7. Operating Transfers in:	Fund or Reference:			0	0	0	0	0
8. Total Available for Year				958,500	816,400	832,300	403,800	410,800
9. Statutory Transfers Out:	Fund or Reference:			0	0	0	0	0
10. Operating Transfers Out:	Fund or Reference:			0	0	0	0	0
11. Non-Expenditure Disbursements and Other Adjustments	Refunds, Clearing, P-card pymts			0	0	0	0	0
12. Cash Expenditures for Prior Year Encumbrances				0	0	0	0	0
13. Original Appropriation				0	0	0	0	0
14. Prior Year Reappropriations, Supplementals, Rescissions				0	0	0	0	0
15. Non-cogs, Receipts to Appropriation, etc				0	0	0	0	0
16. Reversions and Continuous Appropriations				160,500	300	435,500	0	0
17. Current Year Reappropriation				0	0	0	0	0
18. Reserve for Current Year Encumbrances				0	0	0	0	0
19. Current Year Cash Expenditures				160,500	300	435,500	0	0
19a. Budgetary Basis Expenditures (CY Cash Exp + CY Enc)				160,500	300	435,500	0	0
20. Ending Cash Balance				798,000	816,100	396,800	403,800	410,800
21. Prior Year Encumbrances as of June 30				0	0	0	0	0
22. Current Year Encumbrances as of June 30				0	0	0	0	0
22a. Current Year Reappropriation				0	0	0	0	0
23. Borrowing Limit				0	0	0	0	0
24. Ending Free Fund Balance				798,000	816,100	396,800	403,800	410,800
24a. Investments Direct by Agency (GL 1203)				0	0	0	0	0
24b. Ending Free Fund Balance Including Direct Investments				798,000	816,100	396,800	403,800	410,800
26. Outstanding Loans (if this fund is part of a loan program)								

*Note:

Shaded areas in matrix are calculated. Numbers are rounded to hundreds of dollars. Font set to fit to page.

FORM B12: ANALYSIS OF FUND BALANCES

Request for Fiscal Year : 2022

Agency/Department: Insurance, Department of

Agency Number: 280

Original Request Date: August 28, 2020 or Revision Request Date:

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Sources and Uses: The Insurance Insolvency Administration Fund consists of the portion of the premium tax necessary to cover administrative costs incurred by the department in placing insurance companies or any other insurance entities into receivership or under administrative supervision, to the extent that such costs cannot be satisfied from the assets of these companies or entities. Expenditures are not to exceed two hundred thousand dollars (\$200,000) in any one (1) fiscal year. A balance of one hundred thousand dollars (\$100,000) shall be maintained in this fund on June 30 of each year (§41-406(1)(c), Idaho Code).

FUND NAME:	Insurance Insolvency Administration Fund	FUND CODE:	0523-00	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Estimate	FY 2022 Estimate
1. Beginning Free Fund Balance				100,000	100,000	100,000	100,000	100,000
2. Encumbrances as of July 1				0	0	0	0	0
2a. Reappropriation (Legislative Carryover)				0	0	0	0	0
3. Beginning Cash Balance				100,000	100,000	100,000	100,000	100,000
4. Revenues (from Form B-11)				0	0	0	0	0
5. Non-Revenue Receipts and Other Adjustments	Suspense, borrowing limit			0	0	0	0	0
6. Statutory Transfers in:	Fund or Reference:			0	0	0	0	0
7. Operating Transfers in:	Fund or Reference:			0	0	0	0	0
8. Total Available for Year				100,000	100,000	100,000	100,000	100,000
9. Statutory Transfers Out:	Fund or Reference:			0	0	0	0	0
10. Operating Transfers Out:	Fund or Reference:			0	0	0	0	0
11. Non-Expenditure Disbursements and Other Adjustments	Refunds, Clearing, P-card pymts			0	0	0	0	0
12. Cash Expenditures for Prior Year Encumbrances				0	0	0	0	0
13. Original Appropriation				200,000	200,000	200,000	200,000	200,000
14. Prior Year Reappropriations, Supplementals, Rescissions				0	0	0	0	0
15. Non-cogs, Receipts to Appropriation, etc				0	0	0	0	0
16. Reversions and Continuous Appropriations				(200,000)	(200,000)	(200,000)	(200,000)	(200,000)
17. Current Year Reappropriation				0	0	0	0	0
18. Reserve for Current Year Encumbrances				0	0	0	0	0
19. Current Year Cash Expenditures				0	0	0	0	0
19a. Budgetary Basis Expenditures (CY Cash Exp + CY Enc)				0	0	0	0	0
20. Ending Cash Balance				100,000	100,000	100,000	100,000	100,000
21. Prior Year Encumbrances as of June 30				0	0	0	0	0
22. Current Year Encumbrances as of June 30				0	0	0	0	0
22a. Current Year Reappropriation				0	0	0	0	0
23. Borrowing Limit				0	0	0	0	0
24. Ending Free Fund Balance				100,000	100,000	100,000	100,000	100,000
24a. Investments Direct by Agency (GL 1203)				0	0	0	0	0
24b. Ending Free Fund Balance Including Direct Investments				100,000	100,000	100,000	100,000	100,000
26. Outstanding Loans (if this fund is part of a loan program)								

***Note:**

Shaded areas in matrix are calculated. Numbers are rounded to hundreds of dollars. Font set to fit to page.

FY 2022 Agency Budget - Request

Detail Report

Agency: 280 - Insurance, Department of

Function: 30 - Insurance Regulation

			FTP	Personnel Cost	Operating Expense	Capital Outlay	Trustee/Benefit	Lump Sum	Total
FY 2020 Total Appropriation									
1.00									
	0229-10	Dedicated	57.65	4,654,400	3,068,100	83,000	0	0	7,805,500
	0348-00	Federal	3.85	286,600	398,100	0	0	0	684,700
		Total	61.50	4,941,000	3,466,200	83,000	0	0	8,490,200
1.21	Net Object Transfers								
	Transfer of \$30,000 from OE to CO for purchase of laptop computers related to COVID-19; not used.								
	0229-10	Dedicated	0.00	0	(30,000)	30,000	0	0	0
		Total	0.00	0	(30,000)	30,000	0	0	0
1.61	Reverted Appropriation Balances								
	0229-10	Dedicated	0.00	(524,000)	(1,352,400)	(52,500)	0	0	(1,928,900)
	0348-00	Federal	0.00	(6,100)	(179,600)	0	0	0	(185,700)
		Total	0.00	(530,100)	(1,532,000)	(52,500)	0	0	(2,114,600)
FY 2020 Actual Expenditures									
	0229-10	Dedicated	57.65	4,130,400	1,685,700	60,500	0	0	5,876,600
	0348-00	Federal	3.85	280,500	218,500	0	0	0	499,000
		Total	61.50	4,410,900	1,904,200	60,500	0	0	6,375,600
FY 2021 Original Appropriation									
3.00									
	0229-10	Dedicated	58.00	4,728,800	3,173,000	0	0	0	7,901,800
	OT 0229-10	Dedicated	0.00	0	19,800	78,100	0	0	97,900
	0348-00	Federal	3.50	291,000	398,100	0	0	0	689,100
	OT 0348-00	Federal	0.00	0	0	0	0	0	0
		Total	61.50	5,019,800	3,590,900	78,100	0	0	8,688,800
FY 2021 Total Appropriation									
	0229-10	Dedicated	58.00	4,728,800	3,173,000	0	0	0	7,901,800
	OT 0229-10	Dedicated	0.00	0	19,800	78,100	0	0	97,900
	0348-00	Federal	3.50	291,000	398,100	0	0	0	689,100
	OT 0348-00	Federal	0.00	0	0	0	0	0	0
		Total	61.50	5,019,800	3,590,900	78,100	0	0	8,688,800

FY 2022 Agency Budget - Request

Detail Report

Agency: 280 - Insurance, Department of

Function: 30 - Insurance Regulation

		FTP	Personnel Cost	Operating Expense	Capital Outlay	Trustee/Benefit	Lump Sum	Total
FY 2021 Estimated Expenditures								
0229-10	Dedicated	58.00	4,728,800	3,173,000	0	0	0	7,901,800
OT 0229-10	Dedicated	0.00	0	19,800	78,100	0	0	97,900
0348-00	Federal	3.50	291,000	398,100	0	0	0	689,100
OT 0348-00	Federal	0.00	0	0	0	0	0	0
Total		61.50	5,019,800	3,590,900	78,100	0	0	8,688,800
Base Adjustments								
8.41 Removal of One-Time Expenditures								
OT 0229-10	Dedicated	0.00	0	(19,800)	(78,100)	0	0	(97,900)
Total		0.00	0	(19,800)	(78,100)	0	0	(97,900)
FY 2022 Base								
0229-10	Dedicated	58.00	4,728,800	3,173,000	0	0	0	7,901,800
OT 0229-10	Dedicated	0.00	0	0	0	0	0	0
0348-00	Federal	3.50	291,000	398,100	0	0	0	689,100
OT 0348-00	Federal	0.00	0	0	0	0	0	0
Total		61.50	5,019,800	3,571,100	0	0	0	8,590,900
Program Maintenance								
10.11 Change in Health Benefit Costs								
0229-10	Dedicated	0.00	74,200	0	0	0	0	74,200
0348-00	Federal	0.00	4,500	0	0	0	0	4,500
Total		0.00	78,700	0	0	0	0	78,700
10.12 Change in Variable Benefit Costs								
0229-10	Dedicated	0.00	27,100	0	0	0	0	27,100
0348-00	Federal	0.00	1,400	0	0	0	0	1,400
Total		0.00	28,500	0	0	0	0	28,500
10.31 Repair, Replacement Items/Alterations								
Replacement items including 5 WiFi hot spots, 2 routers, 2 switches, 1 router/switch combination, 23 high-end laptops, 21 docking stations, 12 standard desktop computers, 56 monitors, 1 network printer, 13 desktop printers, 5 label printers, 8 desktop scanners, and 5 task chairs								
OT 0229-10	Dedicated	0.00	0	0	106,000	0	0	106,000
Total		0.00	0	0	106,000	0	0	106,000
10.61 Salary Multiplier - Regular Employees								
0229-10	Dedicated	0.00	40,400	0	0	0	0	40,400
0348-00	Federal	0.00	2,300	0	0	0	0	2,300
Total		0.00	42,700	0	0	0	0	42,700

FY 2022 Agency Budget - Request

Detail Report

Agency: 280 - Insurance, Department of

Function: 30 - Insurance Regulation

		<u>FTP</u>	<u>Personnel Cost</u>	<u>Operating Expense</u>	<u>Capital Outlay</u>	<u>Trustee/ Benefit</u>	<u>Lump Sum</u>	<u>Total</u>
10.62	Salary Multiplier - Group and Temporary							
0229-10	Dedicated	0.00	200	0	0	0	0	200
0348-00	Federal	0.00	200	0	0	0	0	200
	Total	0.00	400	0	0	0	0	400
FY 2022 Total Maintenance								
0229-10	Dedicated	58.00	4,870,700	3,173,000	0	0	0	8,043,700
OT 0229-10	Dedicated	0.00	0	0	106,000	0	0	106,000
0348-00	Federal	3.50	299,400	398,100	0	0	0	697,500
OT 0348-00	Federal	0.00	0	0	0	0	0	0
	Total	61.50	5,170,100	3,571,100	106,000	0	0	8,847,200
FY 2022 Total								
0229-10	Dedicated	58.00	4,870,700	3,173,000	0	0	0	8,043,700
OT 0229-10	Dedicated	0.00	0	0	106,000	0	0	106,000
0348-00	Federal	3.50	299,400	398,100	0	0	0	697,500
OT 0348-00	Federal	0.00	0	0	0	0	0	0
	Total	61.50	5,170,100	3,571,100	106,000	0	0	8,847,200

Agency/Department: <u>Department of Insurance</u>	Agency Number: <u>280</u>
Function/Division: <u>Department of Insurance</u>	Function/Activity Number: <u>0030</u>
Activity/Program: <u>Insurance Regulation</u>	Budget Unit: <u>INAB</u>
	Fiscal Year: <u>2022</u>
Original Request Date: <u>August 28, 2020</u>	Fund Name: Insurance Administrative
Revision Date: _____	Fund Number: 0229-10
Revision #: _____	Budget Submission Page # <u>24</u> of <u>32</u>

PCN	CLASS CODE	DESCRIPTION	Indicator Code	FTP	FY 2021 SALARY	FY 2021 HEALTH BENEFITS	FY 2021 VAR BENEFITS	FY 2021 TOTAL	FY 2022 CHG HEALTH BENEFITS	FY 2022 CHG VAR BENEFITS	TOTAL BENEFIT CHANGES	
Totals from Wage and Salary Report (WSR):												
		Permanent Positions	1	55.50	2,909,033	646,575	612,145	4,167,753	71,040	24,710	95,750	
		Board & Group Positions	2		16,858	0	1,350	18,207				
		Elected Officials & Full Time Commissioners	3	0.00	0	0	0	0	0	0	0	
		TOTAL FROM WSR		55.50	2,925,890	646,575	613,495	4,185,961	71,040	24,710	95,750	
		FY 2021 ORIGINAL APPROPRIATION	4,728,800	58.00	3,305,323	730,423	693,054	4,728,800				
		Unadjusted Over or (Under) Funded:	Est Difference	2.50	379,432	83,848	79,559	542,839	<i>Calculated overfunding is 11.5% of Original Appropriation</i>			
		Adjustments to Wage & Salary:										
		Add Funded / Subtract Unfunded - Vacant or Authorized - Positions:										
		Retire Cd	Adjustment Description / Position Title									
0267	20610	R1	Fill vacant Insurance Financial Examiner Sr	1	1.00	89,294	11,650	18,971	119,915	1,280	768	2,048
0353	05582	R1	Fill vacant Public Information Specialist	1	0.50	20,613	5,825	4,379	30,817	640	177	817
0357	20613	R1	Fill vacant Market Oversight Bureau Chief	1	1.00	88,941	11,650	18,895	119,486	1,280	765	2,045
					0.00	0	0	0	0	0	0	
		Other Adjustments:										
0102	05520	R1	Reclass TRS1 to Bus Analyst	1	0.00	26,811	0	5,696	32,507	0	231	231
0315	01235	R1	Reclass Admin Asst 2 to Admin Asst 1	1	0.00	(5,678)	0	(1,206)	(6,885)	0	(49)	(49)
0240	08926	R1	Cons Affairs Offcr - Salary correction	1	0.00	1,851	0	393	2,245	0	16	16
0259	01104	R1	Tech Rec Spec 1 - Incr at completed probation	1	0.00	1,456	0	309	1,765	0	13	13
0251	08931	R1	Insurance Analyst - Incr at completed probation	1	0.00	2,142	0	455	2,597	0	18	18
0257	07940	R1	Volunteer Srvc Coord - Incr at completed probatio	1	0.00	1,812	0	385	2,197	0	16	16
0270	20613	R1	Cons Srvc Bureau Chief - Incr at 1040 hrs	1	0.00	3,911	0	831	4,742	0	34	34
0359	01104	R1	Tech Rec Spec 1 - Incr at completed probation	1	0.00	1,456	0	309	1,765	0	13	13
0358	20614	R1	Exam Fincl Analyst 1 - Incr for AFE certification	1	0.00	6,947	0	1,476	8,423	0	60	60
0360	20614	R1	Exam Fincl Analyst 1 - Incr for AFE certification	1	0.00	6,635	0	1,410	8,045	0	57	57
0268	20611	R1	Exam Fincl Analyst Supr - Incr for CFE certification	1	0.00	10,920	0	2,320	13,240	0	94	94
0362	20618	R1	Insurance Examiner - Incr at 1040 hrs	1	0.00	4,243	0	901	5,145	0	36	36
0363	20618	R1	Insurance Examiner - Incr for CFE certification	1	0.00	8,029	0	1,706	9,735	0	69	69
0265	20613	R1	Chief Examiner - Incr for CFE certification	1	0.00	10,815	0	2,298	13,113	0	93	93
					0.00	0	0	0	0	0	0	
		Estimated Salary Needs:										
		Permanent Positions	1	58.00	3,189,232	675,700	671,674	4,536,605	74,240	27,120	101,360	
		Board & Group Positions	2	0.00	16,858	0	1,350	18,207	0	0	0	
		Elected Officials & Full Time Commissioners	3	0.00	0	0	0	0	0	0	0	
		Estimated Salary and Benefits		58.00	3,206,089	675,700	673,024	4,554,813	74,240	27,120	101,360	
		Adjusted Over or (Under) Funding:	Orig. Approp	0.00	122,500	25,800	25,700	174,000	<i>Calculated overfunding is 3.7% of Original Appropriation</i>			
			Est. Expend	0.00	122,500	25,800	25,700	174,000	<i>Calculated overfunding is 3.7% of Estimated Expenditures</i>			
			Base	0.00	122,500	25,800	25,700	174,000	<i>Calculated overfunding is 3.7% of the Base</i>			
Personnel Cost Reconciliation - Relation to Zero Variance --->												

Agency/Department:	Department of Insurance	Agency Number:	280
Function/Division:	Department of Insurance	Function/Activity Number:	0030
Activity/Program:	Insurance Regulation	Budget Unit:	INAB
Original Request Date:	August 28, 2020	Fiscal Year:	2022
Revision Date:	Revision #:	Fund Name:	Insurance Administrative
		Fund Number:	0229-10
		Budget Submission Page #	25 of 32

DU		Original Appropriation	FTP	FY 21 Salary	FY 21 Health Ben	FY 21 Var Ben	FY 2021 Total	FY 22 Chg Health Bens	FY 22 Chg Var Bens	Total Benefit Change
3.00	FY 2021 ORIGINAL APPROPRIATION	4,728,800	58.00	3,328,598	701,460	698,741	4,728,800			
	Rounded Appropriation		58.00	3,328,600	701,500	698,700	4,728,800			
	Appropriation Adjustments:									
4.11	Reappropriation		0.00	0	0	0	0			
4.31	Supplemental		0.00	0	0	0	0			
5.00	FY 2021 TOTAL APPROPRIATION		58.00	3,328,600	701,500	698,700	4,728,800			0
	Expenditure Adjustments:									
6.31	FTP or Fund Adjustment		0.00	0	0	0	0			0
6.51	Transfer Between Programs		0.00	0	0	0	0			0
7.00	FY 2021 ESTIMATED EXPENDITURES		58.00	3,328,600	701,500	698,700	4,728,800			0
	Base Adjustments:									
8.31	Transfer Between Programs		0.00	0	0	0	0			0
8.41	Removal of One-Time Expenditures		0.00	0	0	0	0			0
8.51	Base Reduction		0.00	0	0	0	0			0
9.00	FY 2022 BASE		58.00	3,328,600	701,500	698,700	4,728,800			
10.11	Change in Health Benefit Costs				74,200		74,200			
10.12	Change in Variable Benefits Costs					27,100	27,100			
	Subtotal CEC Base:						0			
10.51	Annualization	Indicator Code	58.00	3,328,600	775,700	725,800	4,830,100			
10.61	CEC for Permanent Positions	1.00%		33,100		7,300	40,400			
10.62	CEC for Group Positions	1.00%		200		0	200			
10.63	CEC for Elected Officials & Commissioners			0		0	0			
11.00	FY 2022 PROGRAM MAINTENANCE		58.00	3,361,900	775,700	733,100	4,870,700			
	Line Items:									
12.01							0			
12.02							0			
12.03							0			
13.00	FY 2022 TOTAL REQUEST		58.00	3,361,900	775,700	733,100	4,870,700			

Agency/Department:	<u>Department of Insurance</u>	Agency Number:	<u>280</u>
Function/Division:	<u>Department of Insurance</u>	Function/Activity Number:	<u>0030</u>
Activity/Program:	<u>Insurance Regulation</u>	Budget Unit:	<u>INAB</u>
		Fiscal Year:	<u>2022</u>
Original Request Date:	<u>August 28, 2020</u>	Fund Name:	<u>Federal Grant</u>
Revision Date:	Revision #:	Budget Submission Page #	<u>26</u> of <u>32</u>
		Fund Number:	<u>0348-00</u>

PCN	CLASS CODE	DESCRIPTION	Indicator Code	FTP	FY 2021 SALARY	FY 2021 HEALTH BENEFITS	FY 2021 VAR BENEFITS	FY 2021 TOTAL	FY 2022 CHG HEALTH BENEFITS	FY 2022 CHG VAR BENEFITS	TOTAL BENEFIT CHANGES
		Totals from Wage and Salary Report (WSR):									
		Permanent Positions	1	3.50	158,260	40,775	33,622	232,657	4,480	1,361	5,841
		Board & Group Positions	2		15,376	0	1,292	16,668			
		Elected Officials & Full Time Commissioners	3	0.00	0	0	0	0	0	0	0
		TOTAL FROM WSR		3.50	173,636	40,775	34,914	249,325	4,480	1,361	5,841
		FY 2021 ORIGINAL APPROPRIATION	291,000	3.50	202,659	47,591	40,750	291,000			
		Unadjusted Over or (Under) Funded:	Est Difference	0.00	29,023	6,816	5,836	41,675	Calculated overfunding is 14.3% of Original Appropriation		
		Adjustments to Wage & Salary:									
		Add Funded / Subtract Unfunded - Vacant or Authorized - Positions:									
		Retire Cd Adjustment Description / Position Title									
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
		Other Adjustments:									
0257	07940	R1 Volunteer Srvc Coor - Incr at completed probatio	1	0.00	975	0	207	1,182	0	8	8
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
		Estimated Salary Needs:									
		Permanent Positions	1	3.50	159,235	40,775	33,829	233,840	4,480	1,369	5,849
		Board & Group Positions	2	0.00	15,376	0	1,292	16,668	0	0	0
		Elected Officials & Full Time Commissioners	3	0.00	0	0	0	0	0	0	0
		Estimated Salary and Benefits		3.50	174,611	40,775	35,121	250,508	4,480	1,369	5,849
		Adjusted Over or (Under) Funding:									
		Orig. Approp		0.00	28,200	6,600	5,700	40,500	Calculated overfunding is 13.9% of Original Appropriation		
		Est. Expend		0.00	28,200	6,600	5,700	40,500	Calculated overfunding is 13.9% of Estimated Expenditures		
		Base		0.00	28,200	6,600	5,700	40,500	Calculated overfunding is 13.9% of the Base		
Personnel Cost Reconciliation - Relation to Zero Variance ---->											

Agency/Department:	Department of Insurance	Agency Number:	280
Function/Division:	Department of Insurance	Function/Activity Number:	0030
Activity/Program:	Insurance Regulation	Budget Unit:	INAB
		Fiscal Year:	2022
Original Request Date:	August 28, 2020	Fund Name:	Federal Grant
Revision Date:		Fund Number:	0348-00
	Revision #:	Budget Submission Page #	27 of 32

DU		Original Appropriation	FTP	FY 21 Salary	FY 21 Health Ben	FY 21 Var Ben	FY 2021 Total	FY 22 Chg Health Bens	FY 22 Chg Var Bens	Total Benefit Change
3.00	FY 2021 ORIGINAL APPROPRIATION	291,000	3.50	202,836	47,366	40,798	291,000			
	Rounded Appropriation		3.50	202,800	47,400	40,800	291,000			
	Appropriation Adjustments:									
4.11	Reappropriation		0.00	0	0	0	0			
4.31	Supplemental		0.00	0	0	0	0			0
5.00	FY 2021 TOTAL APPROPRIATION		3.50	202,800	47,400	40,800	291,000			
	Expenditure Adjustments:									
6.31	FTP or Fund Adjustment		0.00	0	0	0	0			0
6.51	Transfer Between Programs		0.00	0	0	0	0			0
7.00	FY 2021 ESTIMATED EXPENDITURES		3.50	202,800	47,400	40,800	291,000			
	Base Adjustments:									
8.31	Transfer Between Programs		0.00	0	0	0	0			0
8.41	Removal of One-Time Expenditures		0.00	0	0	0	0			0
8.51	Base Reduction		0.00	0	0	0	0			0
9.00	FY 2022 BASE		3.50	202,800	47,400	40,800	291,000			
10.11	Change in Health Benefit Costs				4,500		4,500			
10.12	Change in Variable Benefits Costs					1,400	1,400			
	Subtotal CEC Base:		3.50	202,800	51,900	42,200	296,900			0
10.51	Annualization	Indicator Code		0	0	0	0			0
10.61	CEC for Permanent Positions	1.00%		1,900		400	2,300			
10.62	CEC for Group Positions	1.00%		200		0	200			
10.63	CEC for Elected Officials & Commissioners			0		0	0			0
11.00	FY 2022 PROGRAM MAINTENANCE		3.50	204,900	51,900	42,600	299,400			
	Line Items:									
12.01										0
12.02										0
12.03										0
13.00	FY 2022 TOTAL REQUEST		3.50	204,900	51,900	42,600	299,400			

FY 2022 Agency Budget - Request

Detail Report

Agency: 280 - Insurance, Department of
Function: 50 - Division of State Fire Marshal

			FTP	Personnel Cost	Operating Expense	Capital Outlay	Trustee/Benefit	Lump Sum	Total
FY 2020 Total Appropriation									
1.00									
	0229-11	Dedicated	10.00	824,300	342,000	109,500	0	0	1,275,800
		Total	10.00	824,300	342,000	109,500	0	0	1,275,800
1.41	Receipts to Appropriation								
	Proceeds from surplus SFM pickup truck sold at auction								
	0229-11	Dedicated	0.00	0	0	11,800	0	0	11,800
		Total	0.00	0	0	11,800	0	0	11,800
1.61	Reverted Appropriation Balances								
	0229-11	Dedicated	0.00	(64,900)	(213,000)	(22,000)	0	0	(299,900)
		Total	0.00	(64,900)	(213,000)	(22,000)	0	0	(299,900)
FY 2020 Actual Expenditures									
	0229-11	Dedicated	10.00	759,400	129,000	99,300	0	0	987,700
		Total	10.00	759,400	129,000	99,300	0	0	987,700
FY 2021 Original Appropriation									
3.00									
	0229-11	Dedicated	10.00	836,700	342,200	0	0	0	1,178,900
	OT 0229-11	Dedicated	0.00	0	0	50,100	0	0	50,100
		Total	10.00	836,700	342,200	50,100	0	0	1,229,000
FY 2021 Total Appropriation									
	0229-11	Dedicated	10.00	836,700	342,200	0	0	0	1,178,900
	OT 0229-11	Dedicated	0.00	0	0	50,100	0	0	50,100
		Total	10.00	836,700	342,200	50,100	0	0	1,229,000
Expenditure Adjustments									
6.91	Other Adjustments								
	Proceeds from surplus SFM pickup trucks sold to local fire district								
	OT 0229-11	Dedicated	0.00	0	0	23,200	0	0	23,200
		Total	0.00	0	0	23,200	0	0	23,200
FY 2021 Estimated Expenditures									
	0229-11	Dedicated	10.00	836,700	342,200	0	0	0	1,178,900
	OT 0229-11	Dedicated	0.00	0	0	73,300	0	0	73,300
		Total	10.00	836,700	342,200	73,300	0	0	1,252,200

FY 2022 Agency Budget - Request

Detail Report

Agency: 280 - Insurance, Department of
Function: 50 - Division of State Fire Marshal

		<u>FTP</u>	<u>Personnel Cost</u>	<u>Operating Expense</u>	<u>Capital Outlay</u>	<u>Trustee/ Benefit</u>	<u>Lump Sum</u>	<u>Total</u>
Base Adjustments								
8.41 Removal of One-Time Expenditures								
OT 0229-11	Dedicated	0.00	0	0	(73,300)	0	0	(73,300)
Total		0.00	0	0	(73,300)	0	0	(73,300)
FY 2022 Base								
0229-11	Dedicated	10.00	836,700	342,200	0	0	0	1,178,900
OT 0229-11	Dedicated	0.00	0	0	0	0	0	0
Total		10.00	836,700	342,200	0	0	0	1,178,900
Program Maintenance								
10.11 Change in Health Benefit Costs								
0229-11	Dedicated	0.00	12,800	0	0	0	0	12,800
Total		0.00	12,800	0	0	0	0	12,800
10.12 Change in Variable Benefit Costs								
0229-11	Dedicated	0.00	4,600	0	0	0	0	4,600
Total		0.00	4,600	0	0	0	0	4,600
10.31 Repair, Replacement Items/Alterations								
Replacement items including 1 F-250 pickup truck with shell and slide out bed appliance, 3 high-end 2-in-1 laptop/tablets, 3 USB-C docking stations, 1 high-end desktop computer, 10 monitors, 2 network printers, 1 desktop scanner								
OT 0229-11	Dedicated	0.00	0	0	71,900	0	0	71,900
Total		0.00	0	0	71,900	0	0	71,900
10.61 Salary Multiplier - Regular Employees								
0229-11	Dedicated	0.00	7,200	0	0	0	0	7,200
Total		0.00	7,200	0	0	0	0	7,200
FY 2022 Total Maintenance								
0229-11	Dedicated	10.00	861,300	342,200	0	0	0	1,203,500
OT 0229-11	Dedicated	0.00	0	0	71,900	0	0	71,900
Total		10.00	861,300	342,200	71,900	0	0	1,275,400
FY 2022 Total								
0229-11	Dedicated	10.00	861,300	342,200	0	0	0	1,203,500
OT 0229-11	Dedicated	0.00	0	0	71,900	0	0	71,900
Total		10.00	861,300	342,200	71,900	0	0	1,275,400

Agency/Department:	Department of Insurance	Agency Number:	280
Function/Division:	Department of Insurance	Function/Activity Number:	0050
Activity/Program:	State Fire Marshal	Budget Unit:	INAC
		Fiscal Year:	2022
Original Request Date:	August 28, 2020	Fund Name:	Arson, Fire and Fraud Prevention
Revision Date:		Fund Number:	0229-11
Revision #:		Budget Submission Page #	30 of 32

PCN	CLASS CODE	DESCRIPTION	Indicator Code	FTP	FY 2021 SALARY	FY 2021 HEALTH BENEFITS	FY 2021 VAR BENEFITS	FY 2021 TOTAL	FY 2022 CHG HEALTH BENEFITS	FY 2022 CHG VAR BENEFITS	TOTAL BENEFIT CHANGES
Totals from Wage and Salary Report (WSR):											
		Permanent Positions	1	10.00	534,643	116,500	113,098	764,242	12,800	4,598	17,398
		Board & Group Positions	2		0	0	0	0			
		Elected Officials & Full Time Commissioners	3	0.00	0	0	0	0	0	0	0
		TOTAL FROM WSR		10.00	534,643	116,500	113,098	764,242	12,800	4,598	17,398
		FY 2021 ORIGINAL APPROPRIATION			836,700	10.00	585,333	127,545	123,821	836,700	
		Unadjusted Over or (Under) Funded:	Est Difference		0.00	50,690	11,045	10,723	72,458	<i>Calculated overfunding is 8.7% of Original Appropriation</i>	
		Adjustments to Wage & Salary:									
		Add Funded / Subtract Unfunded - Vacant or Authorized - Positions:									
	Retire Cd	Adjustment Description / Position Title									
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
		Other Adjustments:									
0020	01235	R1 Admin Asst 1 - Incr at completed probation	1	0.00	1,456	0	309	1,765	0	13	13
0010	20604	R1 State Fire Marshal-WC from .0039 to .0126	1	0.00	630	0	134	764	0	5	5
0011	08963	R1 Fire Marshal Deputy-WC from .0039 to .0126	1	0.00	381	0	81	462	0	3	3
0012	08963	R1 Fire Marshal Deputy-WC from .0039 to .0126	1	0.00	386	0	82	469	0	3	3
0013	08963	R1 Fire Marshal Deputy-WC from .0039 to .0126	1	0.00	403	0	86	489	0	3	3
0014	08962	R1 Fire Marshal Deputy-WC from .0039 to .0126	1	0.00	464	0	99	562	0	4	4
0015	08963	R1 Fire Marshal Deputy-WC from .0039 to .0126	1	0.00	379	0	81	460	0	3	3
0016	08963	R1 Fire Marshal Deputy-WC from .0039 to .0126	1	0.00	363	0	77	440	0	3	3
				0.00	0	0	0	0	0	0	0
		Estimated Salary Needs:									
		Permanent Positions	1	10.00	539,106	116,500	114,047	769,653	12,800	4,636	17,436
		Board & Group Positions	2	0.00	0	0	0	0	0	0	0
		Elected Officials & Full Time Commissioners	3	0.00	0	0	0	0	0	0	0
		Estimated Salary and Benefits		10.00	539,106	116,500	114,047	769,653	12,800	4,636	17,436
		Adjusted Over or (Under) Funding:	Orig. Approp	0.00	47,000	10,100	9,900	67,000	<i>Calculated overfunding is 8.0% of Original Appropriation</i>		
			Est. Expend	0.00	47,000	10,100	10,000	67,100	<i>Calculated overfunding is 8.0% of Estimated Expenditures</i>		
			Base	0.00	47,000	10,100	10,000	67,100	<i>Calculated overfunding is 8.0% of the Base</i>		
Personnel Cost Reconciliation - Relation to Zero Variance --->											

Agency/Department:	Department of Insurance	Agency Number:	280
Function/Division:	Department of Insurance	Function/Activity Number:	0050
Activity/Program:	State Fire Marshal	Budget Unit:	INAC
		Fiscal Year:	2022
Original Request Date:	August 28, 2020	Fund Name:	Arson, Fire and Fraud Prevention
Revision Date:		Fund Number:	0229-11
	Revision #: _____	Budget Submission Page #	31 of 32

DU		Original Appropriation	FTP	FY 21 Salary	FY 21 Health Ben	FY 21 Var Ben	FY 2021 Total	FY 22 Chg Health Bens	FY 22 Chg Var Bens	Total Benefit Change
3.00	FY 2021 ORIGINAL APPROPRIATION	836,700	10.00	586,070	126,649	123,982	836,700			
	Rounded Appropriation		10.00	586,100	126,600	124,000	836,700			
	Appropriation Adjustments:									
4.11	Reappropriation		0.00	0	0	0	0			
4.31	Supplemental		0.00	0	0	0	0			0
5.00	FY 2021 TOTAL APPROPRIATION		10.00	586,100	126,600	124,000	836,700			
	Expenditure Adjustments:									
6.31	FTP or Fund Adjustment		0.00	0	0	0	0			0
6.51	Transfer Between Programs		0.00	0	0	0	0			0
7.00	FY 2021 ESTIMATED EXPENDITURES		10.00	586,100	126,600	124,000	836,700			
	Base Adjustments:									
8.31	Transfer Between Programs		0.00	0	0	0	0			0
8.41	Removal of One-Time Expenditures		0.00	0	0	0	0			0
8.51	Base Reduction		0.00	0	0	0	0			0
9.00	FY 2022 BASE		10.00	586,100	126,600	124,000	836,700			
10.11	Change in Health Benefit Costs				12,800		12,800			
10.12	Change in Variable Benefits Costs					4,600	4,600			
	Subtotal CEC Base:	Indicator Code	10.00	586,100	139,400	128,600	854,100			0
10.51	Annualization			0	0	0	0			0
10.61	CEC for Permanent Positions	1.00%		5,900		1,300	7,200			
10.62	CEC for Group Positions	1.00%		0		0	0			0
10.63	CEC for Elected Officials & Commissioners			0		0	0			0
11.00	FY 2022 PROGRAM MAINTENANCE		10.00	592,000	139,400	129,900	861,300			
	Line Items:									
12.01							0			0
12.02							0			0
12.03							0			0
13.00	FY 2022 TOTAL REQUEST		10.00	592,000	139,400	129,900	861,300			

FORM B7: ONE-TIME OPERATING EXPENDITURES & ONE-TIME CAPITAL OUTLAY SUMMARY

Agency/Department: Insurance, Department of
 Program (if applicable): Ins Regulation/State Fire

Request for Fiscal Year: 2022
 Agency Number: 280
 Function/Activity Number: 30/50

Original Request Date:
 August 28, 2020

Revision Request Date:

Page: 32 of 32

Priority Order	Program	DU	Fund	Sub-object Code	Item/Description	Mileage	Date Acquired	Quantity in Stock	Request Quantity Desired	Request Unit Cost	Request Total Cost
1	30	10.31	0229-10	6413	WiFi Hot Spot (DOI-WIFL 01 - 05)		2017	5	5	900	4,500
1	30	10.31	0229-10	6413	Router (CDA & POC)		2017	3	2	800	1,600
1	30	10.31	0229-10	6413	Switch (CDA & POC)		2017	6	2	2,000	4,000
1	50	10.31	0229-11	6413	Router/Switch Combination (Id Falls)		2017	1	1	1,000	1,000
					2013 Ford F-150 Supercab PU (# 291472) with shell, slide out bed						
2	50	10.31	0229-11	6630	appliance and markings	119,411	05/21/13	7	1	40,100	40,100
3	30	10.31	0229-10	6410	Computers - Laptop High End		2018	60	23	1,800	41,400
3	30	10.31	0229-10	6415	Docking Stations		2018	50	21	200	4,200
3	30	10.31	0229-10	6410	Computers - PC Standard		2018	38	12	900	10,800
3	50	10.31	0229-11	6410	High-end 2-in-1 Laptop/Tablet		2018	7	3	2,400	7,200
3	50	10.31	0229-11	6415	USB-C Docking Station		2018	7	3	200	600
3	50	10.31	0229-11	6410	Computers - High End Desktop		2018	3	1	1,500	1,500
4	30	10.31	0229-10	6415	Flat Panel Monitors		2018	154	56	300	16,800
4	30	10.31	0229-10	6415	Printer - Network		2018	7	1	9,000	9,000
4	30	10.31	0229-10	6415	Printer - Desktop		2018	19	13	400	5,200
4	30	10.31	0229-10	6415	Printer - Label Printers		2018	17	5	300	1,500
4	50	10.31	0229-11	6415	Scanners - Desktop		2018	21	8	500	4,000
4	50	10.31	0229-11	6415	Flat Panel Monitors		2018	20	10	300	3,000
4	50	10.31	0229-11	6415	Printer - network		2018	2	2	9,000	18,000
4	50	10.31	0229-11	6415	Scanners - Desktop		2018	1	1	500	500
5	30	10.31	0229-10	6710	Task Chairs		Various	70	5	600	3,000
										0	0
Grand Total by Program										Subtotal of filtered items	\$177,900
	30										\$177,900
	50										106,000
Grand Total by Decision Unit											71,900
		10.31									\$177,900
Grand Total by Fund Source											177,900
			0229-10								\$177,900
			0229-11								106,000
Grand Total by Category											71,900
				6410				498	175		\$177,900
				6413				108	39		60,900
				6415				15	10		11,100
				6630				298	120		62,800
				6710				7	1		40,100
								70	5		3,000

1. How does this request conform with the agency's individual IT plan? Has your IT plan been approved by the Office of Information Technology Services (ITS)? Does the request align with the state's IT plan and all other state standards? Attach any supporting documents about this request that you got from ITS or the Idaho Technology Authority.

The Idaho Department of Insurance is participating in IT planning and approval activities through the Office of Information Technology Services.

AGENCY NAME:			Insurance, Department of				
FACILITY INFORMATION SUMMARY FOR FISCAL YR			2022	BUDGET REQUEST		Include this summary w/ budget request.	
Address, City, Zip, Purpose	Fiscal Year	Sq Ft	\$/Sq Ft	Cost/Yr	Work Areas	Sq Ft/FTE	FTPs, Temps and Comments
Main Office	2022	request	22,574	\$ 12.72	\$ 287,141	80	282
700 W State Street 3rd Floor	2021	estimate	22,574	\$ 10.85	\$ 244,928	80	282
Boise, Idaho 83720-0043	2020	actual	22,574	\$ 10.85	\$ 244,928	78	289
	Change (request vs actual)		0	\$ -	42,213	2	-7
	Change (estimate vs actual)		0	\$ -	0	2	-7
Field Office	2022	request	1,829	\$ 16.93	\$ 30,957	7	261
2005 Ironwood Pkwy Ste 142 & 143	2021	estimate	1,829	\$ 16.43	\$ 30,055	7	261
Coeur d'Alene, Idaho 83814	2020	actual	1,829	\$ 16.03	\$ 29,322	7	261
	Change (request vs actual)		0	\$ -	1,635	0	0
	Change (estimate vs actual)		0	\$ -	733	0	0
Field Office	2022	request	1,307	\$ 15.10	\$ 19,734	5	261
353 N 4th Ave Ste 200	2021	estimate	1,307	\$ 14.66	\$ 19,160	5	261
Pocatello, Idaho 83204	2020	actual	1,307	\$ 12.73	\$ 16,632	5	261
	Change (request vs actual)		0	\$ -	3,103	0	0
	Change (estimate vs actual)		0	\$ -	2,528	0	0
Field Office	2022	request	738	\$ 14.56	\$ 10,742	2	369
1820 E 17th St Ste 210	2021	estimate	738	\$ 14.13	\$ 10,429	2	369
Idaho Falls, Idaho 83404	2020	actual	738	\$ 14.13	\$ 10,429	2	369
	Change (request vs actual)		0	\$ -	313	0	0
	Change (estimate vs actual)		0	\$ -	0	0	0
TOTAL (PAGE 1)	2022	request	26,448	\$ 13.18	\$ 348,575	94	281
	2021	estimate	26,448	\$ 11.52	\$ 304,572	94	281
	2020	actual	26,448	\$ 11.39	\$ 301,311	92	287
	Change (request vs actual)		0	\$ -	47,264	2	-6
	Change (estimate vs actual)		0	\$ -	3,261	2	-6
TOTAL (ALL PAGES)	2022	request	26,448	\$ 13.18	\$ 348,575	94	281
	2021	estimate	26,448	\$ 11.52	\$ 304,572	94	281
	2020	actual	26,448	\$ 11.39	\$ 301,311	92	287
	Change (request vs actual)		0	\$ 1.79	47,264	2	-6
	Change (estimate vs actual)		0	\$ 0.12	3,261	2	-6

FIVE-YEAR FACILITY NEEDS PLAN, pursuant to IC 67-5708B

AGENCY INFORMATION

AGENCY NAME:	Insurance, Department of		
Division/Bureau:	Insurance Regulation & State Fire Marshal Divisions		
Prepared By:	Renee' Iverson	E-mail Address:	renee.iverson@doi.idaho.gov
Telephone Number:	208-334-4266	Fax Number:	208-334-4398
DFM Analyst:	Misty Lawrence	LSO/BPA Analyst:	
Date Prepared:	8/14/2020	For Fiscal Year:	2022

FACILITY INFORMATION (please list each facility separately by city and street address)

Facility Name:	Department of Insurance Main Office			
City:	Boise	County:	Ada	
Street Address:	700 W State St, 3rd Fl	Zip Code:	83720-0043	
Facility Ownership: (could be private or state-owned, use "X" to mark one):	Private Lease (use "X" to mark):	State Owned (use "X" to mark):	X	Lease Expires: 6/30/2050

FUNCTION/USE OF FACILITY: Could be administrative use, client counseling, hearing rooms, field offices, etc. Address any specialized needs which require additional square feet.

Primary offices for the Department of Insurance including the State Fire Marshal

COMMENTS: Address reasons for expanding or relocating; amount of space leased to other state agencies, federal agencies, etc. & the amount of rent they pay for the use of your facility; or other comments which might be helpful.

SURPLUS PROPERTY: Facilities to be disposed of and funds re-utilized for building replacement or renovation of facilities. This could also include leased facilities if the leased facility is to be vacated prior to the expiration date of the lease.

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Use "X" to mark the year facility would be surplus.	N	N	N	N	N	N

WORK AREAS: Work areas are areas occupied by full-time employees, contractors, seasonal employees, auditors, etc. (3 people working in one building would be 3 work areas)

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2022	REQUEST 2023	REQUEST 2024
Total Number of Work Areas:	78	80	80	80	80	80
Full-Time Equivalent Positions:	65.5	65.5	65.5	65.5	65.5	65.5
Temp. Employees, Contractors, Auditors, etc.:	12	14	14	14	14	14

SQUARE FEET: Use "net rentable" sq ft if in a facility leased from a private party; use "usable" sq ft if in a State-owned facility. Typically, this will be the figure shown in the Lease Agreement if leased from a private party or in the MOU if state-owned.

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Square Feet:	22574	22574	22574	22574	22574	22574

FACILITY COST: Include annual rent, plus any facility-related costs, such as utilities, janitorial service, property taxes or building maintenance which are not included in rent payment made to your Landlord. If improvements will need to be made to the facility and will be paid by the agency, this should be included as well. If the lease will be expiring and the future rent is not specified in the lease agreement, increase rent by 3%/yr. Increase all other facility-related costs by 3%/yr as well. Use "[Calculation Sheet](#)" if necessary. Do not include telephone costs or rent discounts. If you anticipate moving to a new facility, you need to take into account any increase in sq ft leased and estimate a new market rate for the new facility. Do NOT use your old rate per sq ft – it may not be a realistic figure.

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Total Facility Cost/Yr:	\$244,927.90	\$244,927.90	\$287,141.28	\$293,913.48	\$300,685.68	\$307,457.88

IMPORTANT NOTES:

1. Please fill in the white sections only! If you have any questions, please call Melissa Broome @ 208-332-1933.
2. Upon completion, please send to Melissa Broome at the Division of Public Works via email to Melissa.Broome@adm.idaho.gov.
3. If you have five or more locations, please summarize the information on the Facility Information Summary Sheet and include this summary sheet with your submittal.
4. Attach a hardcopy of this submittal, as well as the Facility Information Summary Sheet, if applicable, with your budget request.

AGENCY NOTES:

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FIVE-YEAR FACILITY NEEDS PLAN, pursuant to IC 67-5708B

AGENCY INFORMATION

AGENCY NAME:	Insurance, Department of		
Division/Bureau:	Insurance Regulation & State Fire Marshal Divisions		
Prepared By:	Renee Iverson	E-mail Address:	renee.iverson@doi.idaho.gov
Telephone Number:	208-334-4266	Fax Number:	208-334-4398
DFM Analyst:	Misty Lawrence	LSO/BPA Analyst:	
Date Prepared:	8/14/2020	For Fiscal Year:	2022

FACILITY INFORMATION (please list each facility separately by city and street address)

Facility Name:	Department of Insurance Coeur d'Alene Regional Office		
City:	Coeur d'Alene	County:	Kootenai
Street Address:	2005 Ironwood Parkway, Ste 142 & 143	Zip Code:	83814
Facility Ownership: (could be private or state-owned, use "X" to mark one):	Private Lease (use "X" to mark): <input checked="" type="checkbox"/>	State Owned (use "X" to mark): <input type="checkbox"/>	Lease Expires: 6/30/2021

FUNCTION/USE OF FACILITY: Could be administrative use, client counseling, hearing rooms, field offices, etc. Address any specialized needs which require additional square feet.

North Idaho Regional Offices for the Department of Insurance including the State Fire Marshal, Investigations, Consumer Affairs and SHIBA

COMMENTS: Address reasons for expanding or relocating; amount of space leased to other state agencies, federal agencies, etc. & the amount of rent they pay for the use of your facility; or other comments which might be helpful.

SURPLUS PROPERTY: Facilities to be disposed of and funds re-utilized for building replacement or renovation of facilities. This could also include leased facilities if the leased facility is to be vacated prior to the expiration date of the lease.

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Use "X" to mark the year facility would be surplus.	N	N	N	N	N	N

WORK AREAS: Work areas are areas occupied by full-time employees, contractors, seasonal employees, auditors, etc. (3 people working in one building would be 3 work areas)

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Total Number of Work Areas:	7	7	7	7	7	7
Full-Time Equivalent Positions:	5	5	5	5	5	5
Temp. Employees, Contractors, Auditors, etc.:	2	2	2	2	2	2

SQUARE FEET: Use "net rentable" sq ft if in a facility leased from a private party; use "usable" sq ft if in a State-owned facility. Typically, this will be the figure shown in the Lease Agreement if leased from a private party or in the MOU if state-owned.

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Square Feet:	1829	1829	1829	1829	1829	1829

FACILITY COST: Include annual rent, plus any facility-related costs, such as utilities, janitorial service, property taxes or building maintenance which are not included in rent payment made to your Landlord. If improvements will need to be made to the facility and will be paid by the agency, this should be included as well. If the lease will be expiring and the future rent is not specified in the lease agreement, increase rent by 3%/yr. Increase all other facility-related costs by 3%/yr as well. Use "Calculation Sheet" if necessary. Do not include telephone costs or rent discounts. If you anticipate moving to a new facility, you need to take into account any increase in sq ft leased and estimate a new market rate for the new facility. Do NOT use your old rate per sq ft – it may not be a realistic figure.

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Total Facility Cost/Yr:	\$29,322.15	\$30,055.27	\$30,956.93	\$31,885.64	\$32,842.21	\$33,827.48

IMPORTANT NOTES:

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AGENCY NOTES:

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FIVE-YEAR FACILITY NEEDS PLAN, pursuant to IC 67-5708B

AGENCY INFORMATION

AGENCY NAME:	Insurance, Department of		
Division/Bureau:	Insurance Regulation Division		
Prepared By:	Renee Iverson	E-mail Address:	renee.iverson@doi.idaho.gov
Telephone Number:	208-334-4266	Fax Number:	208-334-4398
DFM Analyst:	Misty Lawrence	LSO/BPA Analyst:	
Date Prepared:	8/14/2020	For Fiscal Year:	2022

FACILITY INFORMATION (please list each facility separately by city and street address)

Facility Name:	Department of Insurance Pocatello Regional Office		
City:	Pocatello	County:	Bannock
Street Address:	353 N 4th Ave Ste 200	Zip Code:	83204
Facility Ownership: (could be private or state-owned, use "X" to mark one):	Private Lease (use "X" to mark): <input checked="" type="checkbox"/>	State Owned (use "X" to mark): <input type="checkbox"/>	Lease Expires: 5/31/2021

FUNCTION/USE OF FACILITY: Could be administrative use, client counseling, hearing rooms, field offices, etc. Address any specialized needs which require additional square feet.

Eastern Idaho Regional Offices for the Department of Insurance including Consumer Affairs and SHIBA

COMMENTS: Address reasons for expanding or relocating; amount of space leased to other state agencies, federal agencies, etc. & the amount of rent they pay for the use of your facility; or other comments which might be helpful.

SURPLUS PROPERTY: Facilities to be disposed of and funds re-utilized for building replacement or renovation of facilities. This could also include leased facilities if the leased facility is to be vacated prior to the expiration date of the lease.

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Use "X" to mark the year facility would be surplus.	N	N	N	N	N	N

WORK AREAS: Work areas are areas occupied by full-time employees, contractors, seasonal employees, auditors, etc. (3 people working in one building would be 3 work areas)

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Total Number of Work Areas:	5	5	5	5	5	5
Full-Time Equivalent Positions:	3	3	3	3	3	3
Temp. Employees, Contractors, Auditors, etc.:	2	2	2	2	2	2

SQUARE FEET: Use "net rentable" sq ft if in a facility leased from a private party; use "usable" sq ft if in a State-owned facility. Typically, this will be the figure shown in the Lease Agreement if leased from a private party or in the MOU if state-owned.

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Square Feet:	1307	1307	1307	1307	1307	1307

FACILITY COST: Include annual rent, plus any facility-related costs, such as utilities, janitorial service, property taxes or building maintenance which are not included in rent payment made to your Landlord. If improvements will need to be made to the facility and will be paid by the agency, this should be included as well. If the lease will be expiring and the future rent is not specified in the lease agreement, increase rent by 3%/yr. Increase all other facility-related costs by 3%/yr as well. Use "Calculation Sheet" if necessary. Do not include telephone costs or rent discounts. If you anticipate moving to a new facility, you need to take into account any increase in sq ft leased and estimate a new market rate for the new facility. Do NOT use your old rate per sq ft – it may not be a realistic figure.

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Total Facility Cost/Yr:	\$16,631.60	\$19,159.57	\$19,734.36	\$20,326.39	\$20,936.00	\$21,564.00

IMPORTANT NOTES:

- Please fill in the white sections only! If you have any questions, please call Melissa Broome @ 208-332-1933.
- Upon completion, please send to Melissa Broome at the Division of Public Works via email to Melissa.Broome@adm.idaho.gov.
- If you have five or more locations, please summarize the information on the Facility Information Summary Sheet and include this summary sheet with your submittal.
- Attach a hardcopy of this submittal, as well as the Facility Information Summary Sheet, if applicable, with your budget request.

AGENCY NOTES:

FIVE-YEAR FACILITY NEEDS PLAN, pursuant to IC 67-5708B

AGENCY INFORMATION

AGENCY NAME:	Insurance, Department of		
Division/Bureau:	State Fire Marshal Division		
Prepared By:	Renee Iverson	E-mail Address:	renee.iverson@doi.idaho.gov
Telephone Number:	208-334-4266	Fax Number:	208-334-4398
DFM Analyst:	Misty Lawrence	LSO/BPA Analyst:	
Date Prepared:	8/14/2020	For Fiscal Year:	2022

FACILITY INFORMATION (please list each facility separately by city and street address)

Facility Name:	Department of Insurance Idaho Falls Regional Office		
City:	Idaho Falls	County:	Bonneville
Street Address:	1820 E 17th St Ste 220	Zip Code:	83404
Facility Ownership: (could be private or state-owned, use "X" to mark one):	Private Lease (use "X" to mark): X	State Owned (use "X" to mark):	Lease Expires: 7/31/2021

FUNCTION/USE OF FACILITY: Could be administrative use, client counseling, hearing rooms, field offices, etc. Address any specialized needs which require additional square feet.

Eastern Idaho Regional Offices for the Department of Insurance limited to the State Fire Marshal

COMMENTS: Address reasons for expanding or relocating; amount of space leased to other state agencies, federal agencies, etc. & the amount of rent they pay for the use of your facility; or other comments which might be helpful.

SURPLUS PROPERTY: Facilities to be disposed of and funds re-utilized for building replacement or renovation of facilities. This could also include leased facilities if the leased facility is to be vacated prior to the expiration date of the lease.

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Use "X" to mark the year facility would be surplus.	N	N	N	N	N	N

WORK AREAS: Work areas are areas occupied by full-time employees, contractors, seasonal employees, auditors, etc. (3 people working in one building would be 3 work areas)

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Total Number of Work Areas:	2	2	2	2	2	2
Full-Time Equivalent Positions:	2	2	2	2	2	2
Temp. Employees, Contractors, Auditors, etc.:	0	0	0	0	0	0

SQUARE FEET: Use "net rentable" sq ft if in a facility leased from a private party; use "usable" sq ft if in a State-owned facility. Typically, this will be the figure shown in the Lease Agreement if leased from a private party or in the MOU if state-owned.

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Square Feet:	738	738	738	738	738	738

FACILITY COST: Include annual rent, plus any facility-related costs, such as utilities, janitorial service, property taxes or building maintenance which are not included in rent payment made to your Landlord. If improvements will need to be made to the facility and will be paid by the agency, this should be included as well. If the lease will be expiring and the future rent is not specified in the lease agreement, increase rent by 3%/yr. Increase all other facility-related costs by 3%/yr as well. Use "[Calculation Sheet](#)" if necessary. Do not include telephone costs or rent discounts. If you anticipate moving to a new facility, you need to take into account any increase in sq ft leased and estimate a new market rate for the new facility. Do NOT use your old rate per sq ft – it may not be a realistic figure.

FISCAL YR:	ACTUAL 2020	ESTIMATE 2021	REQUEST 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025
Total Facility Cost/Yr:	\$10,429.13	\$10,429.13	\$10,742.00	\$11,064.00	\$11,396.00	\$11,738.00

IMPORTANT NOTES:

1. Please fill in the white sections only! If you have any questions, please call Melissa Broome @ 208-332-1933.
2. Upon completion, please send to Melissa Broome at the Division of Public Works via email to Melissa.Broome@adm.idaho.gov.
3. If you have five or more locations, please summarize the information on the Facility Information Summary Sheet and include this summary sheet with your submittal.
4. Attach a hardcopy of this submittal, as well as the Facility Information Summary Sheet, if applicable, with your budget request.

AGENCY NOTES:

Contract currently in negotiations.

Federal Funds Inventory Form
As Required by Idaho Code 67-1917

Reporting Agency/Department: Insurance, Department of
Contact Person/Title: Renee Iverson, Financial Specialist Principal

STARS Agency Code: 280
Contact Phone Number: 208-334-4266

Fiscal Year: 2022
Contact Email: renee.iverson@doh.idaho.gov

CFDA/Cooperative Agreement # / Identifying #	Grant Type	Federal Granting Agency	Grant title	Description	Date of Expiration - (if known)	Total Grant Amount	Pass Through Federal Money From Other State Agency	FY 2020 Available Funds	FY 2020 Actual Expenditures	FY 2021 Estimated Available Funds	FY 2022 Estimated Available Funds	State Approp [Y] Yearly or [C] Continuous	MOE or MOU (57-2017)(1)(4) requirements met? [Y] Yes or [N] No # If Yes answer question 2.	Known Reductions; Plan for 10% or More Reduction	Will this Grant be reduced by 50% or more from the previous years funding? [Y] Yes or [N] No If yes then answer question 3.
31.019	Competitive	Treasury, Department of the	Federal Program (CARES Act)	Provide funding to offset additional costs related to the coronavirus pandemic.			N/A			\$15,900.00	\$0.00	Y	N	Cover additional costs from agency dedicated funds.	Y
93.324	Formula	Department of Health & Human Service: Administration for Community Living	State Health Insurance Assistance Program	To provide information, counseling, and assistance relating to health insurance coverage for eligible individuals under the Medicare program	3/31/2020	\$793,900.00	N/A	\$322,900.00	\$319,900.00	\$0.00	\$0.00	Y	N	Provide counseling and assistance to fewer individuals	N
93.324	Formula	Department of Health & Human Service: Administration for Community Living	State Health Insurance Assistance Program	To provide information, counseling, and assistance relating to health insurance coverage for eligible individuals under the Medicare program	3/31/2025	\$884,400.00	N/A	\$65,800.00	\$65,900.00	\$318,500.00	\$0.00	Y	N	Provide counseling and assistance to fewer individuals	N
93.324	Formula	Department of Health & Human Service: Administration for Community Living	State Health Insurance Assistance Program	To provide information, counseling, and assistance relating to health insurance coverage for eligible individuals under the Medicare program		Anticipated	N/A			\$183,600.00	\$501,100.00	Y	N	Provide counseling and assistance to fewer individuals	N
93.071	Formula	Department of Health & Human Service: Administration for Community Living	Medicare Enrollment Assistance Program	To enhance outreach and assistance to Medicare beneficiaries eligible for benefits available under the LIS, MSP, and Medicare Part D programs.	9/29/2020	\$288,600.00	N/A	\$93,700.00	\$90,200.00	\$0.00	\$0.00	Y	N	Reduce outreach and advertising to specially qualified individuals.	N
93.071	Formula	Department of Health & Human Service: Administration for Community Living	Medicare Enrollment Assistance Program	To enhance outreach and assistance to Medicare beneficiaries eligible for benefits available under the LIS, MSP, and Medicare Part D programs.		Anticipated	N/A			\$188,000.00	\$188,000.00	Y	N	Reduce outreach and advertising to specially qualified individuals.	N
93.413	Competitive	Dept of Health & Human Service: Centers for Medicare and Medicaid	State Flexibility to Stabilize the Market Grant Program	To enhance the states' ability to effectively regulate their respective health insurance markets through innovative measures that support pre-selected market reforms and consumer protections.	8/19/2020	\$278,700.00	N/A	\$197,800.00	\$23,000.00	\$0.00	\$0.00	Y	N	Grant project completed in FY 2020.	Y
Total								\$685,300.00	\$489,000.00	\$685,100.00	\$685,100.00				

Total FY 2020 All Funds Appropriation (DU 1.00) \$9,746,000
Federal Funds as Percentage of Funds 7.02%

*** Report must be submitted to the Division of Financial Management and Legislative Services Office as part of your budget request.

2. Identify below for each grant any obligations, agreements, joint exercise of powers agreements, maintenance of efforts agreements, memoranda of understanding that may be impacted by federal or state decisions regarding federal receipts, include any state matching requirements.

CFDA/Cooperative Agreement # / Identifying #	Agreement Type	Explanation of agreement including dollar amounts.

3. Provide a plan for each grant if notice of a reduction in federal funding of 50% or more from the previous year's funding to either reduce or eliminate the services provided through the grant or to continue the services without a shift to state resources.

CFDA/Cooperative Agreement # / Identifying #	Plan for reduction or elimination of services.
31.019	Related additional activities should reduce eliminating the need for assistance.
93.413	Grant project is complete.

Part I – Agency Profile

Agency Overview

The Department of Insurance is a regulatory agency created to regulate the business of insurance in Idaho. State regulation of Idaho's insurance business began in 1901, and in 1961 the Insurance Code was expanded and recodified into Title 41, Idaho Code. When the Executive branch of state government was reorganized in 1974, the Department of Insurance became one of the 20 major departments of the Executive branch. The director of the department is appointed by the governor and is subject to confirmation by the state senate.

The mission of the Department of Insurance is to serve and protect Idahoans by equitably, effectively, and efficiently administering the Idaho Insurance Code and the International Fire Code. The Department is organized into two divisions: the State Fire Marshal's Office and the Insurance Regulation Division. The State Fire Marshal's Office participates in and coordinates an integrated statewide system designed to protect human life from fire and explosions through fire prevention, investigation, and public education activities. The program involves fire prevention activities, fire/arson investigations, code enforcement, and the operation of the Idaho Fire Incident Reporting System. The State Fire Marshal's Office provides assistance to local fire agencies throughout the state. The Department's Insurance Regulation Division consists of the following three regulatory bureaus: the Company Activities Bureau, the Consumer Services Bureau, and the Product Review Bureau. The Company Activities Bureau (CAB) monitors the financial condition of all insurance entities domiciled in the state of Idaho to assure that each complies with Idaho law and that the financial obligations of the company to its policyholders will be met. The CAB reviews all applications of insurers and qualifying self-funded healthcare plans seeking to do business in this state to determine eligibility for a certificate of authority to transact insurance or eligibility for registration as a self-funded healthcare plan. The CAB also licenses producers, adjusters, third party administrators, and other licensees, administers the applicable continuing education program for the resident producers and adjusters, regulates title agencies, and performs market analyses and examinations of insurers and self-funded plans doing business in Idaho. The Consumer Services Bureau (CSB) researches consumer and industry complaints and provides assistance to consumers, the insurance industry, and law enforcement agencies on matters involving insurance contracts and potential violations of the insurance code. The CSB is also responsible for investigating criminal and civil violations of insurance laws and referring cases involving criminal or administrative violations of the Idaho Code to the Attorney General or appropriate county prosecutor when applicable. Also, within the CSB is Idaho's Senior Health Insurance Benefits Advisors (SHIBA) program that provides information, counseling, and assistance on Medicare coverage issues to Idaho's Medicare eligible citizens through a network of professional staff, over 100 volunteers, and a help line staffed to service Idaho consumers. The Product Review Bureau (PRB) reviews insurance policy and self-funded rates and forms. The PRB meets the effective rate review standards for individual and small group health insurance markets, retaining state-level regulatory authority. The PRB supports the Idaho Health Insurance Exchange (Your Health Idaho) in fulfilling the plan management requirements and in reviewing and certifying health plans that meet the Qualified Health Plan (QHP) standards. The bureau monitors changes to federal and state law that affect health plans and implements any necessary updates to Idaho insurance laws, rules or written guidance. The Office of the Attorney General provides four dedicated employees, three attorneys and one paralegal, to provide day-to-day legal services to the department. The Director's administration group provides oversight, guidance and strategic business partnerships to the Insurance Regulation and State Fire Marshal divisions. The fiscal section also collects premium taxes and audits insurance premium tax returns.

The main office of the Idaho Department of Insurance is located on the third floor of the JR Williams Building in Boise. The department also has offices in Pocatello, Idaho Falls, and Coeur d'Alene (CdA). The department has been appropriated 71.5 FTE (full time equivalent) personnel for FY 2018. The State Fire Marshal has six FTE in Boise, two FTE in Idaho Falls, and two in CdA. The Insurance Regulation Division has two SHIBA FTE and one consumer affairs FTE in Pocatello and two SHIBA FTE FTE in CdA.

While the department collects more than \$90 million in Premium Taxes from insurance companies, none of those funds are used to support agency operations. The agency is funded entirely by fees collected for licensing insurance producers and companies doing business in Idaho.

Core Functions/Idaho Code

Insurance Regulation Division – Regulates the insurance industry in Idaho and assists public with insurance complaints and inquiries, investigates insurance fraud, reviews insurer rate and form filings, reviews qualifications of insurance agents/brokers and insurers seeking licensing to do business in Idaho, reviews financial solvency of insurers doing business in Idaho, and administers and collects insurance premium tax. Title 41, Idaho Code.

State Fire Marshal's Office – Assists local governmental entities and fire districts in fire investigation and prevention activities and is responsible for fire and life safety issues in state-owned buildings. Title 41, Chapter 2, Idaho Code.

Revenue and Expenditures

Revenue	FY 2017	FY 2018	FY 2019	FY 2020
Insurance Administrative Acct	\$7,779,800	\$8,336,000	\$9,314,400	\$8,809,300
Arson Fire & Fraud Acct	\$1,063,000	\$1,070,000	\$920,700	\$983,700
Federal Grant	\$496,700	\$462,200	\$557,100	\$510,400
Miscellaneous Revenue	\$21,000	\$82,000	\$14,000	\$21,000
Total	\$9,360,500	\$9,950,200	\$10,806,200	\$10,324,400
Expenditures	FY 2017	FY 2018	FY 2019	FY 2020
Personnel Costs	\$5,226,600	\$5,340,800	\$5,526,300	\$5,170,200
Operating Expenditures	\$2,972,200	\$1,959,700	\$2,032,400	\$2,033,200
Capital Outlay	\$319,800	\$158,700	\$439,300	\$160,800
Total	\$8,518,600	\$7,459,200	\$7,998,000	\$7,364,200

*Revenue figures for the insurance administrative account do not include tax premium revenue.

Profile of Cases Managed and/or Key Services Provided

Cases Managed and/or Key Services Provided	FY 2017	FY 2018	FY 2019	FY 2020
Company Activities Bureau				
Examinations performed	10	6	9	5
Adopt final examination reports within 18 months of the "as is" date	85%	17%	55%	14%
Companies admitted/listed	34	58	49	38
Companies withdrawn/suspended/revoked	32	34	22	14
Total companies regulated	2,147	2,180	2,219	2,231
Title exams performed	38	40	44	34
Perform NAIC Level 1 market analysis for 100% of companies identified in 5% most concerning by industry criteria	100%	100%	100%	100%
Premium taxes collected	\$87,578,540	\$92,214,489	\$99,757,192	\$106,531,911
Producer licensing applications received	22,506	27,426	26,916	27,373
Producer licenses issued	20,014	24,513	23,770	27,158
Continuing Ed courses approved	2,324	2,315	2,072	2,443
Consumer Services Bureau				
Consumer Affairs – Complaints rec'd	989	845*	904 *	806*
Consumer Affairs – Inquiries	6,506	6,795	6,530	6,218
SHIBA – Client Contacts	13,369	14,983	14,492	13,207
SHIBA – Clients reached through media/outreach efforts	21,051	21,485	19,749	18,977
Investigations – New cases	335	313	387	408
Investigations – Cases referred to AG (Includes Criminal and Administrative)	27	22	38	30
Investigations – Convictions	15	10	12	19
Product Review Bureau				

Cases Managed and/or Key Services Provided	FY 2017	FY 2018	FY 2019	FY 2020
Policy Forms Filed	24,406	29,319	28,975	24,635
State Fire Marshal				
Fire Investigations	126	106	156	192
Fire Code Inspections	480	477	382	442
Sprinkler Plan Reviews	292	432	506	536
Classes Taught	96	105	129	183

*As of FY 2018, health claim external review requests are no longer coded as consumer complaints.

Licensing Freedom Act

Agencies who participate in licensure must report on the number of applicants denied licensure or license renewal and the number of disciplinary actions taken against license holders.

	FY 2017	FY 2018	FY 2019	FY 2020
PRODUCER				
Total Number of Licenses	60,700	83,794	107,039	110,857
Number of New Applicants Denied Licensure	9	3	3	3
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	58	36	71	21
Number of Final Disciplinary Actions Against Licensees	30	26	42	36
SURPLUS LINE BROKER				
Total Number of Licenses	1,317	1,506	1,698	1,711
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	0	6
Number of Final Disciplinary Actions Against Licensees	2	1	0	4
BAIL AGENT				
Total Number of Licenses	171	266	313	268
Number of New Applicants Denied Licensure	0	1	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	2	17	7	8
Number of Final Disciplinary Actions Against Licensees	1	0	2	2
ADJUSTER				
Total Number of Licenses	8,848	11,795	14,985	15,723
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	1	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	3
PUBLIC ADJUSTER				
Total Number of Licenses	84	104	123	123
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	1	6	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	0

	FY 2017	FY 2018	FY 2019	FY 2020
PORTABLE ELECTRONICS INSURANCE VENDOR				
Total Number of Licenses	16	16	19	20
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	0	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
INDEPENDENT REVIEW ORGANIZATION				
Total Number of Licenses	9	12	12	14
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	0	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
LIFE SETTLEMENT PROVIDER OR BROKER				
Total Number of Licenses	32	42	51	55
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	0	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
MANAGING GENERAL AGENT				
Total Number of Licenses	27	29	36	28
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	0	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
TITLE AGENT				
Total Number of Licenses	157	160	178	164
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	4	6	8	6
Number of Final Disciplinary Actions Against Licensees	0	1	0	0
REINSURANCE INTERMEDIARY				
Total Number of Licenses	0	0	0	0
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	0	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	0

	FY 2017	FY 2018	FY 2019	FY 2020
ADMINISTRATOR				
Total Number of Licenses	274	314	340	353
Number of New Applicants Denied Licensure	8	7	9	1
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	1	2	1	0
Number of Final Disciplinary Actions Against Licensees	2	0	2	0
INSURER				
Total Number of Licenses	2,091	2,155	2,204	2,231
Number of New Applicants Denied Licensure	9	8	11	9
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	611	568	577	510
Number of Final Disciplinary Actions Against Licensees	20	30	16	17
REINSURER				
Total Number of Licenses	36	38	42	41
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	1	0	0
Number of Final Disciplinary Actions Against Licensees	1	2	0	0
AUTHORIZED SURPLUS LINE INSURER				
Total Number of Licenses	143	151	158	160
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	0	6
Number of Final Disciplinary Actions Against Licensees	0	1	0	0
COUNTY MUTUAL INSURER				
Total Number of Licenses	1	1	1	1
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	0	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
FRATERNAL BENEFIT SOCIETY				
Total Number of Licenses	14	15	15	15
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	2	2	3	1
Number of Final Disciplinary Actions Against Licensees	0	0	0	0

	FY 2017	FY 2018	FY 2019	FY 2020
HOSPITAL / PROFESSIONAL SERVICE CORPORATION				
Total Number of Licenses	1	1	1	1
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	6	3	2	4
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
HOSPITAL LIABILITY TRUST				
Total Number of Licenses	0	0	0	0
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	0	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
SELF-FUNDED HEALTH CARE PLAN				
Total Number of Licenses	12	13	14	16
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	1	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
RISK RETENTION GROUP				
Total Number of Licenses	70	77	78	81
Number of New Applicants Denied Licensure	0	1	1	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	0	1
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
PURCHASING GROUP				
Total Number of Licenses	231	254	267	268
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	11	2	0	4
Number of Complaints Against Licensees	0	0	0	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	4
PETROLEUM CLEAN WATER TRUST				
Total Number of Licenses	1	1	1	1
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	0	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	0

RATING ORGANIZATION				
Total Number of Licenses	7	7	7	7
Number of New Applicants Denied Licensure	0	1	2	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	0	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
ADVISORY ORGANIZATION				
Total Number of Licenses	8	8	9	9
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	0	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
FIRE STANDARD COMPLIANT CIGARETTE CERTIFICATION				
Total Number of Licenses	134	124	124	117
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	1	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
FIREWORKS WHOLESALE OR IMPORTER				
Total Number of Licenses	31	38	34	34
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	0	2	1
Number of Final Disciplinary Actions Against Licensees	0	0	2	1
FIRE PROTECTION SPRINKLER CONTRACTOR				
Total Number of Licenses	68	64	61	64
Number of New Applicants Denied Licensure	0	0	0	1
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	1	2	2	0
Number of Final Disciplinary Actions Against Licensees	1	2	1	0
FIRE PROTECTION SPRINKLER FITTER				
Total Number of Licenses	73	70	75	68
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	1
Number of Complaints Against Licensees	0	0	0	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	0

*Due to Department record retention, complaint data for FY 2016 is for six months only.

Red Tape Reduction Act

Each agency shall incorporate into its strategic plan a summary of how it will implement the Red Tape Reduction Act, including any associated goals, objectives, tasks, or performance targets. This information may be included as an addendum.

	As of July 1, 2019	As of July 1, 2020
Number of Chapters	49	44
Number of Words	241,467	169,749
Number of Restrictions	3,358	7

Part II – Performance Measures

Performance Measure		FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Goal 1						
<i>Company Activities Bureau: To efficiently ensure that insurers doing business in Idaho are financially sound and in compliance with Idaho law.</i>						
1. Complete the review of company applications within 30 days of date application is deemed complete.	actual	92%	90%	92%	90%	-----
	target	100%	100%	100%	100%	100%
2. Issue producer license within five business days of date application is received.	actual	95%	95%	95%	95%	-----
	target	90%	90%	90%	90%	90%
Goal 2						
<i>Consumer Services Bureau: To protect the public from unfair and illegal practices involving insurance by providing counseling and assistance to insurance consumers and investigating allegations of insurance code violations.</i>						
3. Complete and close consumer complaints within 60 days.	actual	90%	88%	90%	92%	-----
	target	90%	90%	90%	90%	90%
Goal 3						
<i>Product Review Bureau: To effectively review insurance policy rates and forms for compliance with Idaho law while not unduly delaying the introduction of new products to the marketplace.</i>						
4. Respond to company rates and forms filings within on average 10 business days.	actual	85%	81%	70%	81%	-----
	target	90%	90%	90%	90%	90%
Goal 4						
<i>State Fire Marshal Office: To investigate fires and assist in the prosecution of arson claims at the request of local units of government.</i>						
5. Respond to requests for fire investigation assistance within 12 hours.	actual	100%	100%	100%	100%	-----
	target	100%	100%	100%	100%	100%

For More Information Contact

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Director Attestation for Performance Report

In accordance with *Idaho Code 67-1904*, I certify the data provided in the Performance Report has been internally assessed for accuracy, and, to the best of my knowledge, is deemed to be accurate.

Department: Insurance, Department of



Director's Signature

8/28/2020

Date

Please return to:

Division of Financial Management
304 N. 8th Street, 3rd Floor
Boise, Idaho 83720-0032

FAX: 334-2438

E-mail: info@dfm.idaho.gov