

Agency Summary And Certification

FY 2024 Request

Agency: Department of Finance

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In accordance with 67-3502 Idaho Code, I certify the included budget properly states the receipts and expenditures of the departments (agency, office, or institution) for the fiscal years indicated.

Signature of Department
Director:

Date:

			FY 2022 Total Appropriation	FY 2022 Total Expenditures	FY 2023 Original Appropriation	FY 2023 Estimated Expenditures	FY 2024 Total Request
Appropriation Unit							
Department of Finance			9,500,100	8,548,900	10,059,400	10,059,400	10,494,752
Total			9,500,100	8,548,900	10,059,400	10,059,400	10,494,752
By Fund Source							
D	22900	Dedicated	9,400,100	8,514,200	9,959,400	9,959,400	10,394,752
D	22926	Dedicated	50,000	24,700	50,000	50,000	50,000
D	32527	Dedicated	50,000	10,000	50,000	50,000	50,000
Total			9,500,100	8,548,900	10,059,400	10,059,400	10,494,752
By Account Category							
Personnel Cost			7,408,400	6,872,200	7,900,900	7,900,900	8,279,552
Operating Expense			2,046,000	1,630,900	2,088,600	2,088,600	2,175,600
Capital Outlay			45,700	45,800	69,900	69,900	39,600
Total			9,500,100	8,548,900	10,059,400	10,059,400	10,494,752
FTP Positions			66.00	66.00	70.00	70.00	73.00
Total			66.00	66.00	70.00	70.00	73.00

Division Description**Request for Fiscal Year: 2024****Agency:** Department of Finance

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Division: Department of Finance

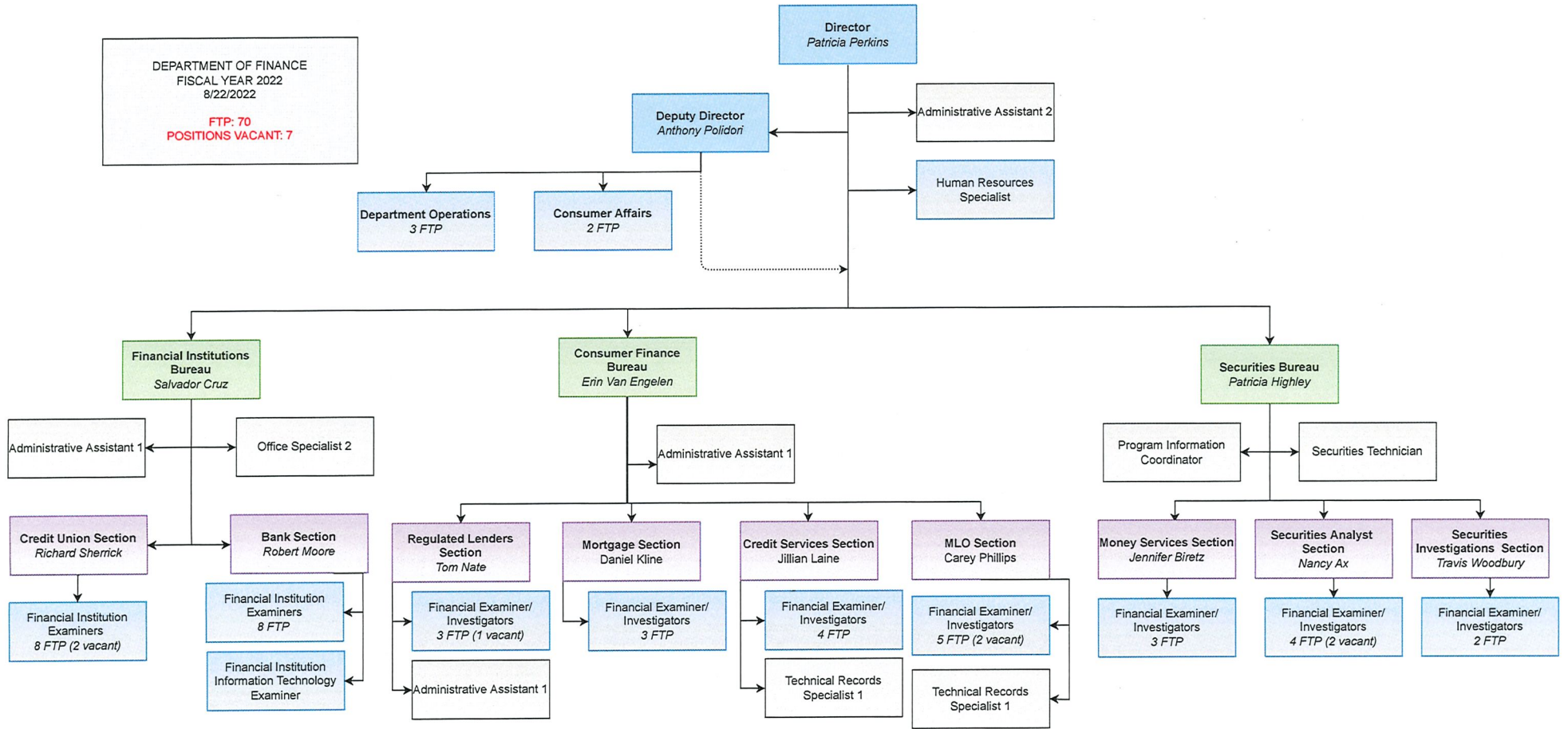
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Statutory Authority: 67-2701

Originally created by the State Legislature in 1905, the Department of Finance is a regulatory agency charged with the supervision and oversight of state-chartered financial institutions, regulated lenders, securities issuers, broker-dealers and stockbrokers, residential mortgage brokers and lenders, investment advisers and sales personnel, collection agencies, endowed care cemeteries, and others. The mission of the department is to aggressively promote access to vigorous, healthy, and comprehensive financial services for Idaho citizens. This is accomplished through prudent and efficient oversight of financial institutions, investment opportunities, and credit transactions. Through administration of laws enacted by the Idaho Legislature, legitimate financial transactions are encouraged, while fraud, unsafe practices, and unlawful conduct are detected, and appropriate enforcement action is taken. The department administers and enforces 23 regulatory statutes and is funded entirely by fees levied by law on the industries subject to its supervision.
[Statutory Authority: Section 67-2701 et seq., Idaho Code]

DEPARTMENT OF FINANCE
FISCAL YEAR 2022
8/22/2022

FTP: 70
POSITIONS VACANT: 7



Attorney General Staff
Tom Donovan, Deputy Attorney General - Lead
Loren Messerly, Deputy Attorney General
Erick Shaner, Deputy Attorney General
Stephanie Sze, Paralegal

Agency Revenues

Request for Fiscal Year: 2024

Agency: Department of Finance

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		FY 20 Actuals	FY 21 Actuals	FY 22 Actuals	FY 23 Estimated Revenue	FY 24 Estimated Revenue	Significant Assumptions
Fund 22900 State Regulatory Funds							
410	License, Permits & Fees	14,518,640	15,780,379	17,198,064	15,188,300	14,894,100	
433	Fines, Forfeit & Escheats	63,100	98,756	372,188	246,000	290,000	
445	Sale of Land, Buildings & Equipment	0	0	0	0	0	
470	Other Revenue	0	843	0	0	0	
	State Regulatory Funds Total	14,581,740	15,879,978	17,570,252	15,434,300	15,184,100	
Fund 22926 State Regulatory Funds: Mortgage Recovery Fund							
410	License, Permits & Fees	315,900	655,050	642,600	100,000	100,000	
460	Interest	55,407	15,156	14,458	0	0	
	State Regulatory Funds: Mortgage Recovery Fund Total	371,307	670,206	657,058	100,000	100,000	
Fund 32527 Public Instruction: Securities Investor Educ & Training							
433	Fines, Forfeit & Escheats	50,000	25,383	50,000	0	0	
	Public Instruction: Securities Investor Educ & Training Total	50,000	25,383	50,000	0	0	
	Agency Name Total	15,003,047	16,575,567	18,277,310	15,534,300	15,284,100	

Analysis of Fund Balances

Request for Fiscal Year: 2024

Agency: Department of Finance

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Fund: State Regulatory Funds

22900

Sources and Uses:

Funds are received from banks, credit unions, savings banks, finance companies, mortgage brokers and lenders, collection agencies, and individuals in the investment and securities industry. These funds are the result of examination or investigation fees. Funds are used to cover the costs of regulating the financial industry in Idaho. These costs include personnel costs, operating expenses, and capital outlay to furnish office space for the personnel authorized for the agency (§67-2701 et seq.).

At the beginning of each fiscal year, those moneys in the finance administrative account which exceed the current year's appropriation plus any residual encumbrances made against prior year's appropriation by twenty-five (25%) or more shall be transferred to the general account.

	FY 20 Actuals	FY 21 Actuals	FY 22 Actuals	FY 23 Estimate	FY 24 Estimate
01. Beginning Free Fund Balance	16,832,300	15,497,800	18,535,400	18,377,400	20,252,300
02. Encumbrances as of July 1	0	0	0	0	0
02a. Reappropriation (Legislative Carryover)	0	1,800,000	406,600	0	0
03. Beginning Cash Balance	16,832,300	17,297,800	18,942,000	18,377,400	20,252,300
04. Revenues (from Form B-11)	14,581,700	15,880,000	17,570,300	15,434,300	15,184,100
05. Non-Revenue Receipts and Other Adjustments	10,900	3,500	3,900	0	0
06. Statutory Transfers In	0	0	0	0	0
07. Operating Transfers In	0	0	0	0	0
08. Total Available for Year	31,424,900	33,181,300	36,516,200	33,811,700	35,436,400
09. Statutory Transfers Out	5,788,800	4,017,300	8,255,800	3,500,000	3,500,000
10. Operating Transfers Out	0	0	0	0	0
11. Non-Expenditure Distributions and Other Adjustments	10,500	4,100	3,500	0	0
12. Cash Expenditures for Prior Year Encumbrances	0	0	0	0	0
13. Original Appropriation	8,834,800	8,824,500	8,993,500	10,059,400	10,494,800
14. Prior Year Reappropriations, Supplementals, Recessions	1,787,000	1,800,000	0	0	0
15. Non-cogs, Receipts to Appropriations, etc.	0	0	0	0	0
16. Reversions and Continuous Appropriations	(494,000)	0	886,000	0	0
17. Current Year Reappropriation	(1,800,000)	(406,600)	0	0	0
18. Reserve for Current Year Encumbrances	0	0	0	0	0
19. Current Year Cash Expenditures	8,327,800	10,217,900	9,879,500	10,059,400	10,494,800
19a. Budgetary Basis Expenditures (CY Cash Exp + CY Enc)	8,327,800	10,217,900	9,879,500	10,059,400	10,494,800
20. Ending Cash Balance	17,297,800	18,942,000	18,377,400	20,252,300	21,441,600
21. Prior Year Encumbrances as of June 30	0	0	0	0	0
22. Current Year Encumbrances as of June 30	0	0	0	0	0
22a. Current Year Reappropriation	1,800,000	406,600	0	0	0
23. Borrowing Limit	0	0	0	0	0
24. Ending Free Fund Balance	15,497,800	18,535,400	18,377,400	20,252,300	21,441,600
24a. Investments Direct by Agency (GL 1203)	0	0	0	0	0
24b. Ending Free Fund Balance Including Direct Investments	15,497,800	18,535,400	18,377,400	20,252,300	21,441,600
26. Outstanding Loans (if this fund is part of a loan program)	0	0	0	0	0

Note:

Analysis of Fund Balances

Request for Fiscal Year: 2024

Agency: Department of Finance

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Fund: State Regulatory Funds: Mortgage Recovery Fund

22926

Sources and Uses:

Monies received upon application and renewal for mortgage broker, mortgage lender or mortgage loan originator license (§26-31-110 (1)) through NMLS. Fund used to reimburse persons to whom an Idaho court awards actual damages resulting from acts constituting violations of this chapter. (§26-31-109 (2)). On an annual basis, the department may apply up to \$50,000 of monies accumulated in the mortgage recovery fund in excess of \$1,500,000 for expenses established in (§26-31-110 (4)).

	FY 20 Actuals	FY 21 Actuals	FY 22 Actuals	FY 23 Estimate	FY 24 Estimate
01. Beginning Free Fund Balance	2,694,200	3,029,400	3,662,500	4,294,900	4,344,900
02. Encumbrances as of July 1	0	0	0	0	0
02a. Reappropriation (Legislative Carryover)	0	0	0	0	0
03. Beginning Cash Balance	2,694,200	3,029,400	3,662,500	4,294,900	4,344,900
04. Revenues (from Form B-11)	371,300	670,200	657,100	100,000	100,000
05. Non-Revenue Receipts and Other Adjustments	0	0	0	0	0
06. Statutory Transfers In	0	0	0	0	0
07. Operating Transfers In	0	0	0	0	0
08. Total Available for Year	3,065,500	3,699,600	4,319,600	4,394,900	4,444,900
09. Statutory Transfers Out	0	0	0	0	0
10. Operating Transfers Out	0	0	0	0	0
11. Non-Expenditure Distributions and Other Adjustments	400	0	0	0	0
12. Cash Expenditures for Prior Year Encumbrances	0	0	0	0	0
13. Original Appropriation	50,000	50,000	50,000	50,000	50,000
14. Prior Year Reappropriations, Supplementals, Recessions	0	0	0	0	0
15. Non-cogs, Receipts to Appropriations, etc.	0	0	0	0	0
16. Reversions and Continuous Appropriations	(14,300)	(12,900)	(25,300)	0	0
17. Current Year Reappropriation	0	0	0	0	0
18. Reserve for Current Year Encumbrances	0	0	0	0	0
19. Current Year Cash Expenditures	35,700	37,100	24,700	50,000	50,000
19a. Budgetary Basis Expenditures (CY Cash Exp + CY Enc)	35,700	37,100	24,700	50,000	50,000
20. Ending Cash Balance	3,029,400	3,662,500	4,294,900	4,344,900	4,394,900
21. Prior Year Encumbrances as of June 30	0	0	0	0	0
22. Current Year Encumbrances as of June 30	0	0	0	0	0
22a. Current Year Reappropriation	0	0	0	0	0
23. Borrowing Limit	0	0	0	0	0
24. Ending Free Fund Balance	3,029,400	3,662,500	4,294,900	4,344,900	4,394,900
24a. Investments Direct by Agency (GL 1203)	0	0	0	0	0
24b. Ending Free Fund Balance Including Direct Investments	3,029,400	3,662,500	4,294,900	4,344,900	4,394,900
26. Outstanding Loans (if this fund is part of a loan program)	0	0	0	0	0

Note:

Analysis of Fund Balances

Request for Fiscal Year: 2024

Agency: Department of Finance

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Fund: Public Instruction: Securities Investor Educ & Training

32527

Sources and Uses:

Funds are received from civil penalties and administrative fines (§30-14-601(d)). Funds, up to \$50,000, are used to support investor education initiatives to address the continuing problem of investment fraud. These costs include operating expenses for developing and publishing materials suitable for public outreach (§30-14-601(e)).

	FY 20 Actuals	FY 21 Actuals	FY 22 Actuals	FY 23 Estimate	FY 24 Estimate
01. Beginning Free Fund Balance	81,600	89,900	108,600	148,700	148,700
02. Encumbrances as of July 1	0	0	0	0	0
02a. Reappropriation (Legislative Carryover)	0	0	0	0	0
03. Beginning Cash Balance	81,600	89,900	108,600	148,700	148,700
04. Revenues (from Form B-11)	47,400	25,400	50,000	50,000	50,000
05. Non-Revenue Receipts and Other Adjustments	0	0	0	0	0
06. Statutory Transfers In	0	0	0	0	0
07. Operating Transfers In	0	0	0	0	0
08. Total Available for Year	129,000	115,300	158,600	198,700	198,700
09. Statutory Transfers Out	0	0	0	0	0
10. Operating Transfers Out	0	0	0	0	0
11. Non-Expenditure Distributions and Other Adjustments	0	0	(100)	0	0
12. Cash Expenditures for Prior Year Encumbrances	0	0	0	0	0
13. Original Appropriation	50,000	50,000	50,000	50,000	50,000
14. Prior Year Reappropriations, Supplementals, Recessions	0	0	0	0	0
15. Non-cogs, Receipts to Appropriations, etc.	0	0	0	0	0
16. Reversions and Continuous Appropriations	(10,900)	(43,300)	(40,000)	0	0
17. Current Year Reappropriation	0	0	0	0	0
18. Reserve for Current Year Encumbrances	0	0	0	0	0
19. Current Year Cash Expenditures	39,100	6,700	10,000	50,000	50,000
19a. Budgetary Basis Expenditures (CY Cash Exp + CY Enc)	39,100	6,700	10,000	50,000	50,000
20. Ending Cash Balance	89,900	108,600	148,700	148,700	148,700
21. Prior Year Encumbrances as of June 30	0	0	0	0	0
22. Current Year Encumbrances as of June 30	0	0	0	0	0
22a. Current Year Reappropriation	0	0	0	0	0
23. Borrowing Limit	0	0	0	0	0
24. Ending Free Fund Balance	89,900	108,600	148,700	148,700	148,700
24a. Investments Direct by Agency (GL 1203)	0	0	0	0	0
24b. Ending Free Fund Balance Including Direct Investments	89,900	108,600	148,700	148,700	148,700
26. Outstanding Loans (if this fund is part of a loan program)	0	0	0	0	0

Note:

			FTP	Personnel Costs	Operating Expense	Capital Outlay	Trustee Benefit	Total
Agency	Department of Finance							250
Division	Department of Finance							FI1
Appropriation Unit	Department of Finance							FIAA
FY 2022 Total Appropriation								
1.00	FY 2022 Total Appropriation							FIAA
	S1158							
	22900 Dedicated	66.00	6,957,800	1,990,100	0	0	8,947,900	
OT	22900 Dedicated	0.00	400,600	5,900	45,700	0	452,200	
	22926 Dedicated	0.00	0	50,000	0	0	50,000	
	32527 Dedicated	0.00	50,000	0	0	0	50,000	
		66.00	7,408,400	2,046,000	45,700	0	9,500,100	
1.21	Account Transfers							FIAA
	22900 Dedicated	0.00	0	(100)	100	0	0	
	32527 Dedicated	0.00	(50,000)	50,000	0	0	0	
		0.00	(50,000)	49,900	100	0	0	
1.61	Reverted Appropriation Balances							FIAA
	22900 Dedicated	0.00	(486,200)	(399,700)	0	0	(885,900)	
	22926 Dedicated	0.00	0	(25,300)	0	0	(25,300)	
	32527 Dedicated	0.00	0	(40,000)	0	0	(40,000)	
		0.00	(486,200)	(465,000)	0	0	(951,200)	
FY 2022 Actual Expenditures								
2.00	FY 2022 Actual Expenditures							FIAA
	22900 Dedicated	66.00	6,471,600	1,590,300	100	0	8,062,000	
OT	22900 Dedicated	0.00	400,600	5,900	45,700	0	452,200	
	22926 Dedicated	0.00	0	24,700	0	0	24,700	
	32527 Dedicated	0.00	0	10,000	0	0	10,000	
		66.00	6,872,200	1,630,900	45,800	0	8,548,900	
FY 2023 Original Appropriation								
3.00	FY 2023 Original Appropriation							FIAA
	S1364							
	22900 Dedicated	70.00	7,850,900	2,038,600	0	0	9,889,500	
OT	22900 Dedicated	0.00	0	0	69,900	0	69,900	
	22926 Dedicated	0.00	0	50,000	0	0	50,000	
	32527 Dedicated	0.00	50,000	0	0	0	50,000	
		70.00	7,900,900	2,088,600	69,900	0	10,059,400	
FY 2023 Total Appropriation								
5.00	FY 2023 Total Appropriation							FIAA
	22900 Dedicated	70.00	7,850,900	2,038,600	0	0	9,889,500	
OT	22900 Dedicated	0.00	0	0	69,900	0	69,900	
	22926 Dedicated	0.00	0	50,000	0	0	50,000	

		FTP	Personnel Costs	Operating Expense	Capital Outlay	Trustee Benefit	Total	
32527	Dedicated	0.00	50,000	0	0	0	50,000	
		70.00	7,900,900	2,088,600	69,900	0	10,059,400	
FY 2023 Estimated Expenditures								
7.00	FY 2023 Estimated Expenditures							FIAA
22900	Dedicated	70.00	7,850,900	2,038,600	0	0	9,889,500	
OT 22900	Dedicated	0.00	0	0	69,900	0	69,900	
22926	Dedicated	0.00	0	50,000	0	0	50,000	
32527	Dedicated	0.00	50,000	0	0	0	50,000	
		70.00	7,900,900	2,088,600	69,900	0	10,059,400	
Base Adjustments								
	Removal of One-Time Expenditures							FIAA
This decision unit removes one-time appropriation for FY 2022.								
OT 22900	Dedicated	0.00	0	0	(69,900)	0	(69,900)	
		0.00	0	0	(69,900)	0	(69,900)	
FY 2024 Base								
9.00	FY 2024 Base							FIAA
22900	Dedicated	70.00	7,850,900	2,038,600	0	0	9,889,500	
OT 22900	Dedicated	0.00	0	0	0	0	0	
22926	Dedicated	0.00	0	50,000	0	0	50,000	
32527	Dedicated	0.00	50,000	0	0	0	50,000	
		70.00	7,900,900	2,088,600	0	0	9,989,500	
Program Maintenance								
10.11	Change in Health Benefit Costs							FIAA
22900	Dedicated	0.00	87,500	0	0	0	87,500	
		0.00	87,500	0	0	0	87,500	
10.12	Change in Variable Benefit Costs							FIAA
22900	Dedicated	0.00	(39,500)	0	0	0	(39,500)	
		0.00	(39,500)	0	0	0	(39,500)	
10.31	Repair, Replacement Items/Alteration Req #1							FIAA
We operate on a pre-planned laptop replacement schedule. One year is one class of examiner, the next is another class of examiner, the next year support and management. This year's request is support and management, so normal performance models are fine. This year's group includes management, HR, Attorneys (DAG) and legal staff, program and licensing support, and Securities technicians. Request is approved by ITS, and is consistent with submitted IT Strategic Plan and Idaho Technology Authority (ITA) Enterprise Policies and Standards.								
OT 22900	Dedicated	0.00	0	0	33,600	0	33,600	
		0.00	0	0	33,600	0	33,600	
10.61	Salary Multiplier - Regular Employees							FIAA
22900	Dedicated	0.00	65,800	0	0	0	65,800	
		0.00	65,800	0	0	0	65,800	
FY 2024 Total Maintenance								

		FTP	Personnel Costs	Operating Expense	Capital Outlay	Trustee Benefit	Total	
11.00	FY 2024 Total Maintenance							FIAA
	22900 Dedicated	70.00	7,964,700	2,038,600	0	0	10,003,300	
	OT 22900 Dedicated	0.00	0	0	33,600	0	33,600	
	22926 Dedicated	0.00	0	50,000	0	0	50,000	
	32527 Dedicated	0.00	50,000	0	0	0	50,000	
		70.00	8,014,700	2,088,600	33,600	0	10,136,900	
Line Items								
12.01	Mortgage Examiner							FIAA
	Financial Examiner/Investigator to examine and investigate financial service providers for compliance with state and federal laws and rules and adherence to regulatory guidelines and industry standards, investigate license applications and make determinations of whether to approve or deny a license application, perform related work.							
	22900 Dedicated	1.00	106,757	4,000	0	0	110,757	
	OT 22900 Dedicated	0.00	0	0	2,000	0	2,000	
		1.00	106,757	4,000	2,000	0	112,757	
12.02	Securities Technician							FIAA
	Securities Technician would provide administrative support to a section of the Securities Bureau by reviewing and processing money transmitter, escrow, and cemetery license applications; maintaining licensing, examination, and investigation records and databases; assist with correspondence and communications with examiners, licensees, and the general public; provide research and special project assistance as needed; and perform related work.							
	22900 Dedicated	1.00	71,470	0	0	0	71,470	
	OT 22900 Dedicated	0.00	0	0	2,000	0	2,000	
		1.00	71,470	0	2,000	0	73,470	
12.03	Program Specialist							FIAA
	Program Specialist to develop, implement, monitor, and coordinate Department of Finance public outreach programs and internal operational programs including grants, education needs assessments, workforce development, accreditation, public records, and reporting; and perform related work.							
	22900 Dedicated	1.00	86,625	4,000	0	0	90,625	
	OT 22900 Dedicated	0.00	0	0	2,000	0	2,000	
		1.00	86,625	4,000	2,000	0	92,625	
12.04	Investigation Management Software							FIAA
	The Department requires an Investigation Management Software package to monitor the progress, provide records, and manage actions in ongoing investigations and court actions.							
	22900 Dedicated	0.00	0	79,000	0	0	79,000	
		0.00	0	79,000	0	0	79,000	
FY 2024 Total								
13.00	FY 2024 Total							FIAA
	22900 Dedicated	73.00	8,229,552	2,125,600	0	0	10,355,152	
	OT 22900 Dedicated	0.00	0	0	39,600	0	39,600	
	22926 Dedicated	0.00	0	50,000	0	0	50,000	
	32527 Dedicated	0.00	50,000	0	0	0	50,000	
		73.00	8,279,552	2,175,600	39,600	0	10,494,752	

DV 1201/1202/1203

Agency

Finance, Department of

Request for the Purchase of

3 Laptops for 3 New Personnel Requests

Agency Purchasing Representative

David Jensen

Agency Purchasing Representative Email Address DAVE.JENSEN@FINANCE.IDAHO.GOV

Total Value of Request

\$6,000.00

Comments

We are requesting three additional positions for FY2024 with three different Decision Units listed below. Each of these would need a laptop, docking station, and monitors. EACH of these laptops would ONLY be purchased if the Decision Unit is approved and funded. 3 Standard Laptops; \$1,400 x 3 Total \$4,200 3 Docking Stations; \$200 x 3 Total \$600 6 Monitors (dual monitor config) 6 x \$200, Total \$1,200 Total \$6,000 (\$2,000 for each FTP request) Request is consistent with submitted IT Strategic Plan and Idaho Technology Authority (ITA) Enterprise Policies and Standards. Proposed Positions: 12.01 Mortgage Examiner 12.02 Securities Technician 12.03 Program Coordinator

ITS Comments

Analyst Comments

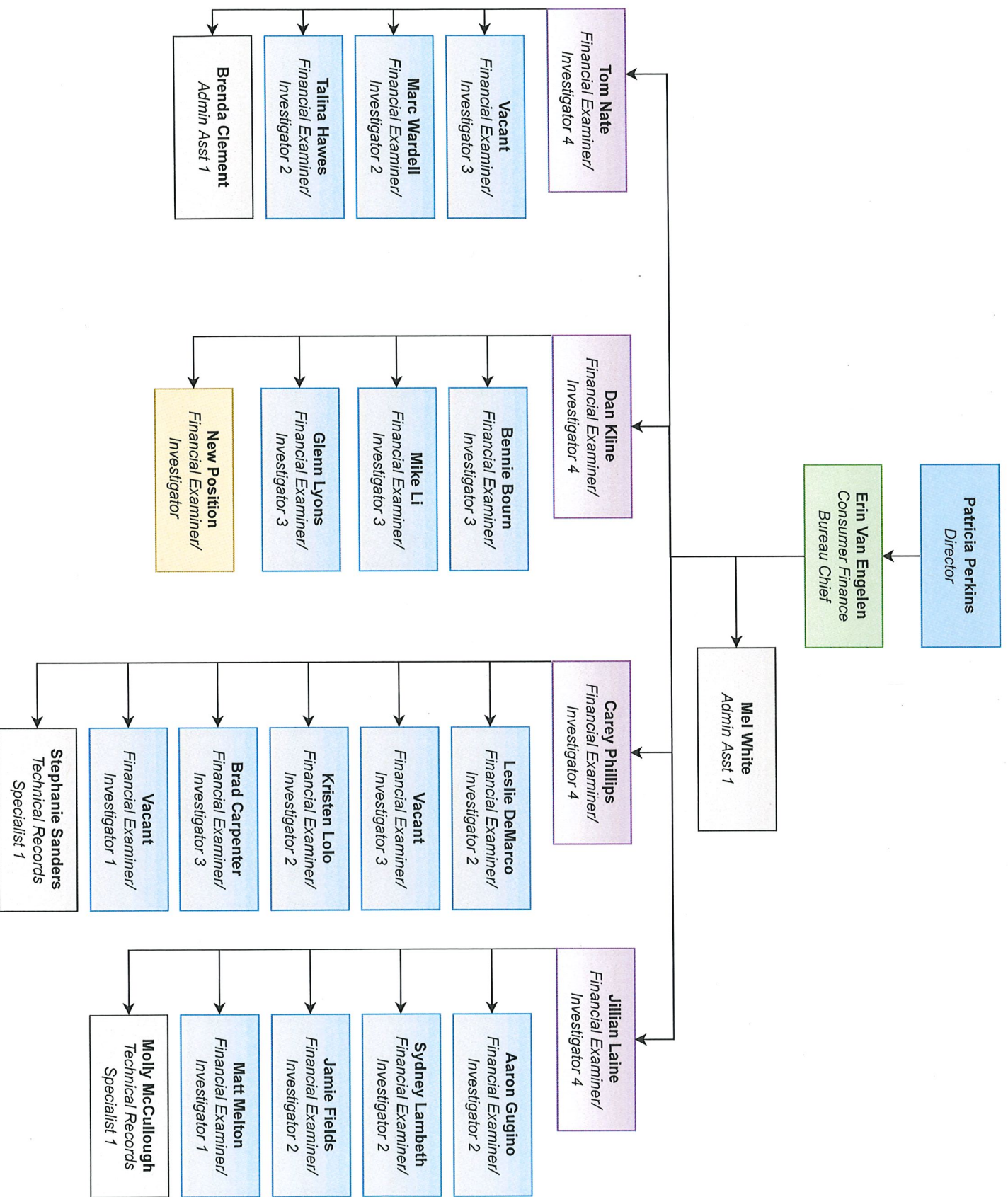
ITS Approval Status

Reviewed & Recommended

Version: 3.0

Created at 8/18/2022 1:03 PM by ☐ David JensenLast modified at 8/19/2022 7:06 AM by ☐ Chris Carlisle

Consumer Finance Bureau





State of Idaho

DIVISION OF HUMAN RESOURCES

Executive Office of the Governor

BRAD LITTLE
Governor
LORI A. WOLFF
Administrator

Idaho Personnel Commission
Mike Brassey, Chair
Mark Holubar
Sarah E. Griffin
Amy Manning
Nancy Merrill

August 22, 2022

Patricia R. Perkins
Idaho Department of Finance
P.O. Box 83720
Boise, ID 83720-0031

Dear Director Perkins,

This letter is in response to your FY 2024 Budget request. Your initial request was received August 8, 2022, and listed the following requested item(s) for your FY 2024 budget:

1. Item 1; One (1) Financial Examiner/Investigator 3 (04439; pay grade M) to be hired as underfill level of Financial Examiner/Investigator 1 (04443; pay grade K)
2. Item 2; One (1) Securities Technician (04444; pay grade I)
3. Item 3; One (1) New Position "Request DHR to identify"

After review of your request, DHR concurs with the following classifications:

1. Item 1; One (1) Financial Examiner/Investigator 3 (04439; pay grade M) to be hired as underfill level of Financial Examiner/Investigator 1 (04443; pay grade K)
2. Item 2; One (1) Securities Technician (04444; pay grade I)
3. Item 3; One (1) Program Specialist (05274; pay grade K)

This letter attests that the Department of Finance requests are in alignment with the Division of Human Resources (DHR) policies. Please include this letter with your final budget submission to the Division of Financial Management (DFM).

If you have any questions or concerns about your requests, please do not hesitate to contact me at chrystelle.zimmerman@dhr.idaho.gov or 208-854-3076.

Sincerely,

A handwritten signature in black ink, appearing to read "Chrystelle Zimmerman", with a long horizontal flourish extending to the right.

Chrystelle Zimmerman
Human Resource Specialist, Senior
Division of Human Resources

cc: Lori A. Wolff, Administrator, DHR
Amber Aberasturi, HR Specialist, Department of Finance

Program Request by Decision Unit

Request for Fiscal Year 2024

Agency: Department of Finance

250

Appropriation Unit: Department of Finance

FIAA

Decision Unit Number	12.01	Descriptive Title	Mortgage Examiner	General	Dedicated	Federal	Total
Personnel Cost							
500		Employees		0	77,355	0	77,355
512		Employee Benefits		0	15,652	0	15,652
513		Health Benefits		0	13,750	0	13,750
		Personnel Cost Total		0	106,757	0	106,757
Operating Expense							
603		Employee Out Of State Travel Costs		0	4,000	0	4,000
		Operating Expense Total		0	4,000	0	4,000
Capital Outlay							
740		Computer Equipment		0	2,000	0	2,000
		Capital Outlay Total		0	2,000	0	2,000
Full Time Positions							
		FTP - Permanent		0.00	1.00	0.00	1.00
		Full Time Positions Total		0	1	0	1
				0	112,757	0	112,757

Explain the request and provide justification for the need.

This position would be in the Mortgage Company Section, with responsibilities in mortgage broker/lender licensing, compliance examination, and complaint investigation. The number of new license applications in this section has increased over each of the past four years and the total number of licensed companies increased from 1,096 (2018) to 2,566 (2022 YTD). From 2020 to 2021, consumer complaints requiring investigation by an examiner nearly doubled (from 24 to 43) and are on track to remain at the increased level this year (21 complaints as of June 2022). With the current total number of licensees, each examiner in this section would need to complete 51 compliance examinations per year to meet accreditation standards, which is not feasible. Some investigations take one month or more to complete, while the occasional policy/procedure exam might be able to be completed in one to two weeks. While staff have been added to the Mortgage Loan Originator (MLO) section, no staff have been added to the Mortgage Company Section to address the increased volume. Adding this FTP will support the Department in providing appropriate regulatory oversight of mortgage companies, protection for Idaho consumers, and in achieving our accreditation standards.

If a supplemental, what emergency is being addressed?

N/A

Specify the authority in statute or rule that supports this request.

Authority in statute supporting this request is the Idaho Residential Mortgage Practices Act Idaho Code § 26-31-201 et seq

Indicate existing base of PC, OE, and/or CO by source for this request.

We currently have 3 Mortgage Examiners (FEI underfill to Grade M) plus a working Supervising Examiner,

In a related area, for Mortgage Loan Originators (MLO), we have 5 MLO Examiners (FEI underfill to Grade M), plus a working Supervising Examiner

What resources are necessary to implement this request?

Personnel Cost

Operating & Equipment Costs:

Ongoing Operating: \$4,000 (in state travel and training)

Capital Outlay Cost: \$2,000 One Time (examiner laptop, monitors, and dock)

List positions, pay grades, full/part-time status, benefits, terms of service.

Financial Examiner/Investigator 3 (04439) Hiring at underfill level of Financial Examiner/Investigator 1 (04443)

Pay Grade: M (underfill at K)

Policy Rate of Pay Grade: \$37.19 Proposed Hourly Rate: \$37.19 (hiring at underfill grade/rate of \$29.14)

Salary (Yearly): \$77,355 Proposed Yearly Salary: \$77,355 (hiring at underfill grade/rate of \$60,611)

Benefit Cost: \$29,860

Full-time, regular (not limited service)

Will staff be re-directed? If so, describe impact and show changes on org chart.

Detail any current one-time or ongoing OE or CO and any other future costs.

OE costs are based on ongoing Dedicated Operating Funds to include travel and training for Financial Education, and HR.

CO costs (one-time) is known: a laptop, docking station and monitors.

Describe method of calculation (RFI, market cost, etc.) and contingencies.

PC costs (ongoing) were calculated using established ongoing career ladders. We know that we average 4 years to bring a Financial Examiner Investigator (FEI) from an FEI 1 to an FEI 3. Our examiners are placed at 100% Policy in each underfill and full Examiner pay grade.

OE costs (ongoing) are based on annual average training travel costs, moving toward average examination travel schedule costs as the examiner becomes more proficient.

CO costs (one-time) are known: a laptop.

Provide detail about the revenue assumptions supporting this request.

Licensees pay fees to the Department for their regulation. As our workload increases, our fee revenue increases as well. In Fiscal Year 2022, revenue increased by over \$1.69 million due to increased licensing and exam activity.

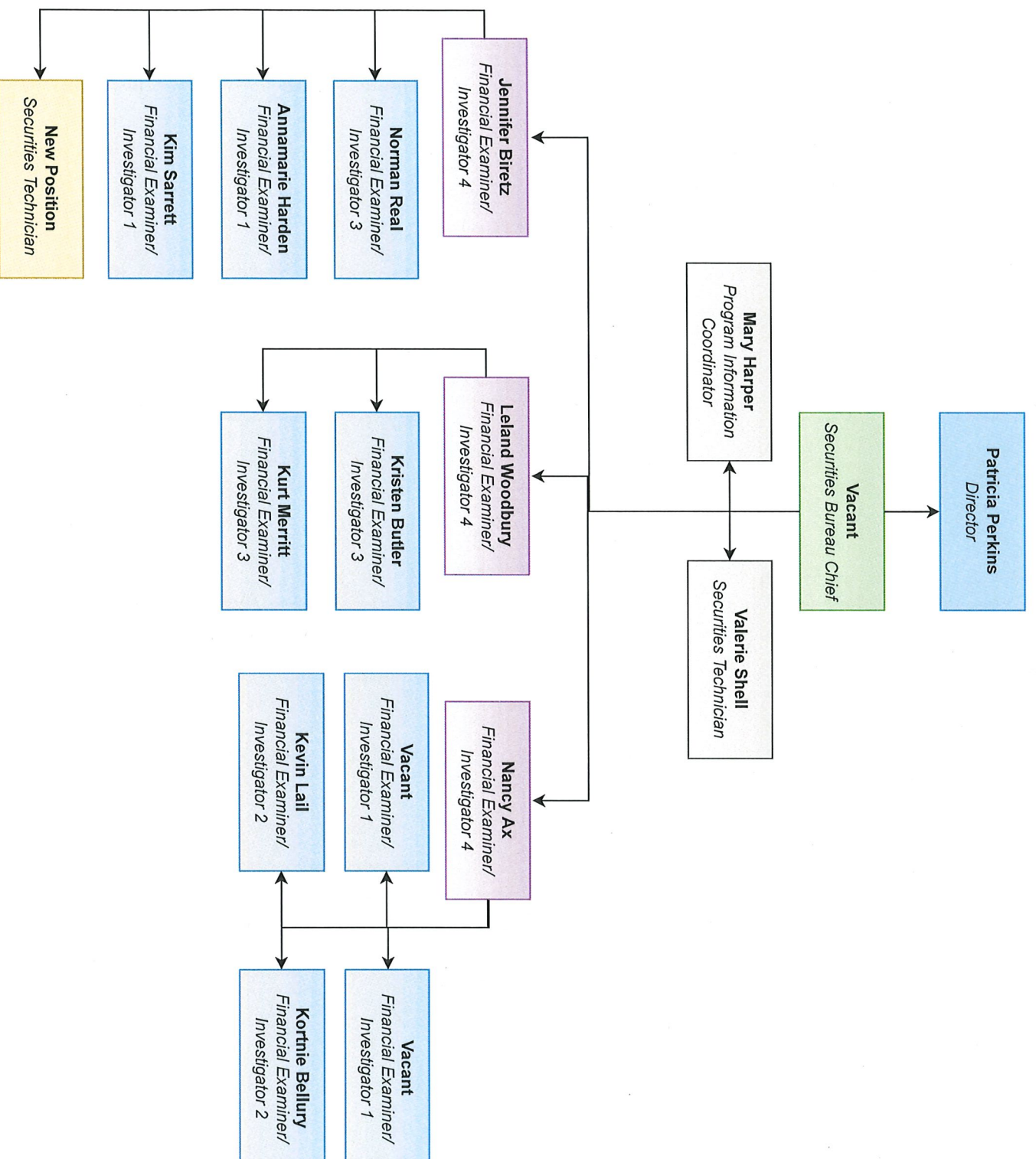
This request would not present a hardship to our budget OR our transfers to the General Fund.

Who is being served by this request and what is the impact if not funded?

- Non-depository businesses and individuals seeking to do business in Idaho are impacted. These include (i) mortgage brokers/lenders; (ii) mortgage loan originators; (iii) mortgage servicers. The foregoing financial services-related businesses, together with their customers, will be served by the approval of the within request.

- If the request is not funded, the Department's ability to meet both its accreditation and strategic goals will suffer under the strain of increased workloads. Companies desiring to do business in Idaho will be primarily negatively impacted. If not funded, there is also an increased risk of harm to Idaho citizens from possible unlicensed mortgage activity or other illegal practices, and there will likely be ongoing delays for approval of licensing for new applicants.

Securities Bureau





State of Idaho

DIVISION OF HUMAN RESOURCES

Executive Office of the Governor

BRAD LITTLE
Governor
LORI A. WOLFF
Administrator

Idaho Personnel Commission
Mike Brassey, Chair
Mark Holubar
Sarah E. Griffin
Amy Manning
Nancy Merrill

August 22, 2022

Patricia R. Perkins
Idaho Department of Finance
P.O. Box 83720
Boise, ID 83720-0031

Dear Director Perkins,

This letter is in response to your FY 2024 Budget request. Your initial request was received August 8, 2022, and listed the following requested item(s) for your FY 2024 budget:

1. Item 1; One (1) Financial Examiner/Investigator 3 (04439; pay grade M) to be hired as underfill level of Financial Examiner/Investigator 1 (04443; pay grade K)
2. Item 2; One (1) Securities Technician (04444; pay grade I)
3. Item 3; One (1) New Position "Request DHR to identify"

After review of your request, DHR concurs with the following classifications:

1. Item 1; One (1) Financial Examiner/Investigator 3 (04439; pay grade M) to be hired as underfill level of Financial Examiner/Investigator 1 (04443; pay grade K)
2. Item 2; One (1) Securities Technician (04444; pay grade I)
3. Item 3; One (1) Program Specialist (05274; pay grade K)

This letter attests that the Department of Finance requests are in alignment with the Division of Human Resources (DHR) policies. Please include this letter with your final budget submission to the Division of Financial Management (DFM).

If you have any questions or concerns about your requests, please do not hesitate to contact me at chrystelle.zimmerman@dhr.idaho.gov or 208-854-3076.

Sincerely,

Chrystelle Zimmerman
Human Resource Specialist, Senior
Division of Human Resources

cc: Lori A. Wolff, Administrator, DHR
Amber Aberasturi, HR Specialist, Department of Finance

Agency: Department of Finance

250

Appropriation Unit: Department of Finance

FIAA

Decision Unit Number	12.02	Descriptive Title	Securities Technician	General	Dedicated	Federal	Total
Personnel Cost							
500		Employees		0	48,006	0	48,006
512		Employee Benefits		0	9,714	0	9,714
513		Health Benefits		0	13,750	0	13,750
		Personnel Cost Total		0	71,470	0	71,470
Capital Outlay							
740		Computer Equipment		0	2,000	0	2,000
		Capital Outlay Total		0	2,000	0	2,000
Full Time Positions							
		FTP - Permanent		0.00	1.00	0.00	1.00
		Full Time Positions Total		0	1	0	1
				0	73,470	0	73,470

Explain the request and provide justification for the need.

The Money Service Businesses (MSB) Section in the Securities Bureau provides oversight of money transmitters, escrow companies, and endowment care cemeteries. New applications per year in the MSB section more than doubled from FY 2018 to FY 2022. Over the same period, the value of MSB transactions in Idaho increased from \$2 billion (\$2,055,686,256) to \$10 Billion (\$10,095,979,631).

The increasing complexity of money service businesses, including newly emerging financial services technologies, and the increasing asset volume of transactions, resulted in the Department adding two Financial Examiner/Investigator FTPs to this section in FY 2021. While the new examiner staff have helped with addressing the increased workload and complexity, we identified a need for increased clerical support through several workflow and process improvement efforts. The Securities Technician will provide support to the MSB examiners by assisting with the tracking of new MSB applications and renewals, conducting initial verification of license application information for examiners, monitoring licenses for continued compliance with laws, regulations, and industry standards (such as bonding provisions); intake and tracking of consumer complaints, and provide other administrative support and clerical duties. The addition of a Securities Technician to support the MSB team will allow the workload to be distributed so that examiners can focus on the responsibilities that require their level of expertise and decision-making

If a supplemental, what emergency is being addressed?**Specify the authority in statute or rule that supports this request.**

Authority in statute supporting this request:

- Idaho Money Transmitter – Idaho Code § 26-2901 et seq.
- Idaho Escrow Act – Idaho Code § 30-901 et seq.
- Idaho Endowment Care Cemetery Act – Idaho Code § 27-401 et seq.

Indicate existing base of PC, OE, and/or CO by source for this request.**What resources are necessary to implement this request?**

One FTP, One Laptop Computer, Monitors, and Docking Station

List positions, pay grades, full/part-time status, benefits, terms of service.

Securities Technician, Grade I
Proposed Hourly Rate: \$19.62
Proposed Yearly Salary: \$40,810
Full-time, regular (not limited service)

Will staff be re-directed? If so, describe impact and show changes on org chart.

No

Detail any current one-time or ongoing OE or CO and any other future costs.

No OE costs: based on Ongoing Dedicated Operating Funds

Capital Outlay Cost: \$2,000 one-time (laptop, monitors, and dock)

Describe method of calculation (RFI, market cost, etc.) and contingencies.

Run Date: 8/22/22 8:38 PM

Provide detail about the revenue assumptions supporting this request.

Licensees pay fees to the Department for their regulation. As our workload increases, our fee revenue increases as well. In Fiscal Year 2022, revenue increased by over \$1.69 million due to increased licensing and exam activity.

This request would not present a hardship to our budget OR our transfers to the General Fund.

Who is being served by this request and what is the impact if not funded?

- Non-depository businesses and individuals seeking to do business in Idaho are impacted. These include Money Services Businesses and Escrow Businesses. The foregoing financial services-related businesses, together with their customers, will be served by the approval of the within request. This position will also assist with Endowed Care Cemetery workload.

The request serves industry members the Department regulates through its MSB section. Further, the request serves Department staff that conduct licensing, examination, and investigations within that section.

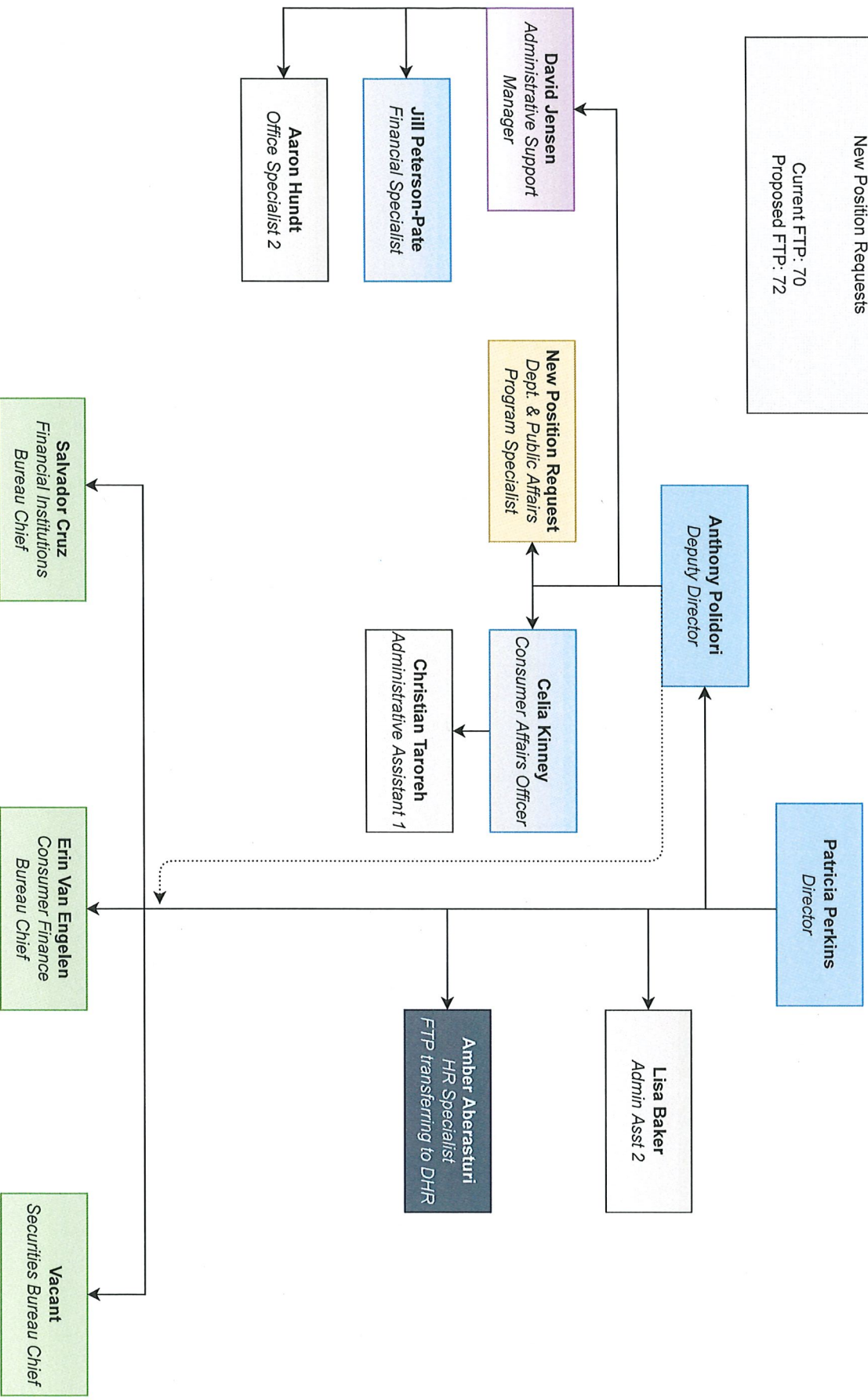
As the volume of license applicants is expected to continue to grow, and as the business practices of those licensed by the Department becomes more complex, if this request is not funded the Department anticipates delays in its licensing processes, delays in responding to requests made by our industries and public, and a lack of necessary support of the Department's supervision functions.

If the request is not funded, the Department's ability to meet both its accreditation and strategic goals will suffer under the strain of increased workloads. Companies desiring to do business in Idaho will be primarily negatively impacted.

DEPARTMENT OF FINANCE
FY 2024 Budget
New Position Requests

Current FTP: 70
Proposed FTP: 72

Administration & Supporting Services





State of Idaho

DIVISION OF HUMAN RESOURCES

Executive Office of the Governor

BRAD LITTLE
Governor
LORI A. WOLFF
Administrator

Idaho Personnel Commission
Mike Brassey, Chair
Mark Holubar
Sarah E. Griffin
Amy Manning
Nancy Merrill

August 22, 2022

Patricia R. Perkins
Idaho Department of Finance
P.O. Box 83720
Boise, ID 83720-0031

Dear Director Perkins,

This letter is in response to your FY 2024 Budget request. Your initial request was received August 8, 2022, and listed the following requested item(s) for your FY 2024 budget:

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3. Item 3; One (1) New Position "Request DHR to identify"

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2. Item 2; One (1) Securities Technician (04444; pay grade I)
3. Item 3; One (1) Program Specialist (05274; pay grade K)

This letter attests that the Department of Finance requests are in alignment with the Division of Human Resources (DHR) policies. Please include this letter with your final budget submission to the Division of Financial Management (DFM).

If you have any questions or concerns about your requests, please do not hesitate to contact me at chrystelle.zimmerman@dhr.idaho.gov or 208-854-3076.

Sincerely,

Chrystelle Zimmerman
Human Resource Specialist, Senior
Division of Human Resources

cc: Lori A. Wolff, Administrator, DHR
Amber Aberasturi, HR Specialist, Department of Finance

Program Request by Decision Unit

Request for Fiscal Year 2024

Agency: Department of Finance

250

Appropriation Unit: Department of Finance

FIAA

Decision Unit Number	12.03	Descriptive Title	Program Specialist	General	Dedicated	Federal	Total
Personnel Cost							
500		Employees		0	60,611	0	60,611
512		Employee Benefits		0	12,264	0	12,264
513		Health Benefits		0	13,750	0	13,750
		Personnel Cost Total		0	86,625	0	86,625
Operating Expense							
603		Employee Out Of State Travel Costs		0	4,000	0	4,000
		Operating Expense Total		0	4,000	0	4,000
Capital Outlay							
740		Computer Equipment		0	2,000	0	2,000
		Capital Outlay Total		0	2,000	0	2,000
Full Time Positions							
		FTP - Permanent		0.00	1.00	0.00	1.00
		Full Time Positions Total		0	1	0	1
				0	92,625	0	92,625

Explain the request and provide justification for the need.

This position includes duties transferred from other positions and new functions not previously performed. Some of the duties will be transferred from the current HR Specialist role, with a few transferred from the Administrative Assistant 2 due to increases in workload. With the transition of all HR positions to the Division of Human resources, there are responsibilities that were assumed by the HR Specialist that will need to be retained at the Department. Some of these duties will also expand in scope compared to when they were additional duties of the HR Specialist.

Some of the new responsibilities include program coordination for outreach and engagement programs. Examples of duties in this area include grant research and applications, grant management, establishing and managing an internship program, conducting financial education needs assessments, overseeing public affairs, outreach, and media relations across bureaus, and ensuring reconciliation, updates, and distribution of external communications (guidance, policies, etc.). Many of these responsibilities will include collaboration with external partners and stakeholders (grants, public outreach, internships, needs assessments).

If a supplemental, what emergency is being addressed?

Specify the authority in statute or rule that supports this request.

Authority in statute supporting this request:

Idaho Code 67-27 Department of Finance
Idaho Code 26-31-110 (4) Idaho Residential Mortgage Practices Act – consumer education
Idaho Code 30-14-601 Uniform Securities Act – investor education

Indicate existing base of PC, OE, and/or CO by source for this request.

OE costs are based on Dedicated Operating Funds to include travel and training for Financial Education, and HR.

CO costs (one-time): a laptop, docking station, and monitors

What resources are necessary to implement this request?

One FTP Program Specialist

- Class K; \$29.14 per hour policy / \$60,611 per year at policy

List positions, pay grades, full/part-time status, benefits, terms of service.

One FTP Program Specialist

Permanent Full Time-

Class K; \$29.14 per hour policy / \$60,611 per year at policy

Will staff be re-directed? If so, describe impact and show changes on org chart.

No

Run Date: 8/22/22 8:38 PM

Detail any current one-time or ongoing OE or CO and any other future costs.

OE costs are based on Ongoing Dedicated Operating Funds to include training for Financial Education, and HR.

CO costs (one-time) : a laptop, docking station, and monitors. \$2,000

Describe method of calculation (RFI, market cost, etc.) and contingencies.

PC (Ongoing) Dedicated Personnel (salary & benefits)

OE (Ongoing) Dedicated Operating funds

CO (One-time); a laptop computer, docking station ,and monitors.

Provide detail about the revenue assumptions supporting this request.

Revenue patterns will be similar; monies collected by the Department over and above 125% of its spending authority are transferred into the general fund each year.

Who is being served by this request and what is the impact if not funded?

The position created through this request will design, develop, and manage programs that disseminate financial literacy and educational materials to individuals, households, and educators in support of Legislative aims, such as those through adopted by Senate Concurrent Resolution No. 128 (Sixty-Fifth Legislature, Second Regular Session). Additionally, the position will serve the financial services industry and the public through the design, development, and implementation of outreach material related to financial regulation and financial industry trends, as well as Department rulemaking, guidance, and policies, in support of the Governor's Executive Orders #2020-01 and 2020-02.

For internal stakeholders, the request provides support to the Department's leadership team through the development of internal policies, strategic planning, and required reporting to accrediting bodies. This support is critical to the Department's ability to maintain accreditation in three program areas and obtain accreditation in a fourth.

If this request is not funded, the Department will continue to miss significant opportunities to pursue grant resources, it will be unable to effectively evaluate its outreach and educational activities, and it will lack the resources necessary to develop appropriate programs to meet public and industry needs. Additionally, if the request is not funded the Department must place additional responsibilities on existing staff to account for those duties that are fundamental to fulfilling the Department's mission and that are currently performed by the Department's HR Specialist position, which will be lost due to DHR modernization. Further, the Department would be unable to timely undergo many activities that support its mission to foster innovation within the finance services market.

DV 1204

Close

Agency	Finance, Department of
Request for the Purchase of	Request for FY2024 Investigation Management Software
Agency Purchasing Representative	Dave Jensen
Agency Purchasing Representative Email Address	DAVE.JENSEN@FINANCE.IDAHO.GOV
Total Value of Request	\$79,000.00
Comments	We have been working with Chris Carlisle at ITS to identify Investigation Management Software for purchase in the FY2024 budget year. The leading contenders at this early stage are iSight, Filevine, Polonious, and Hubstream. The final solution chosen would likely only be purchased if the Decision Unit (12.04) is approved and funded. Request is consistent with submitted IT Strategic Plan and Idaho Technology Authority (ITA) Enterprise Policies and Standards.
ITS Comments	<input type="checkbox"/> Chris Carlisle (8/19/2022 7:04 AM): While ITS is performing market research and evaluating options that fit the use case and existing technology architecture, procurement path is determined through the DOP and competitive solicitation as required in statute and rule.
Analyst Comments	
ITS Approval Status	Reviewed & Recommended
Version: 3.0 Created at 8/18/2022 1:20 PM by <input type="checkbox"/> David Jensen Last modified at 8/19/2022 7:04 AM by <input type="checkbox"/> Chris Carlisle	Close

Agency: Department of Finance

250

Appropriation Unit: Department of Finance

FIAA

Decision Unit Number	12.04	Descriptive Title	Investigation Management Software	General	Dedicated	Federal	Total
Operating Expense							
	590	Computer Services		0	79,000	0	79,000
		Operating Expense Total		0	79,000	0	79,000
				0	79,000	0	79,000

Explain the request and provide justification for the need.

As investigations have grown more complex, the needs of all investigative organizations have grown accordingly. In our cases, we have passed the ability of our home grown database to support modern legal complexities, and now need a composite Investigation Management Software package. There are very stringent timelines and statutes of limitation that examiners and investigators have to meet outlined within court procedure, and the statutes enforced by the Department (and the IDAPA rules associated with them). The current home grown database does not allow for systemized monitoring of investigation timeline and case work either by management or the investigator. Investigators and supervisors must currently rely on their own memory to maintain critical deadlines, guidelines, and details. This type of practice creates the potential for human error to overlook any one of these requirements, or not successfully bring or prosecute a court case.

If a supplemental, what emergency is being addressed?**Specify the authority in statute or rule that supports this request.****Indicate existing base of PC, OE, and/or CO by source for this request.**

Existing OE is

What resources are necessary to implement this request?

Computer Software; no FTP, no Capital Expense

List positions, pay grades, full/part-time status, benefits, terms of service.

N/A

Will staff be re-directed? If so, describe impact and show changes on org chart.

NO

Detail any current one-time or ongoing OE or CO and any other future costs.

This is an ongoing request

Describe method of calculation (RFI, market cost, etc.) and contingencies.

Market cost; state contract if possible and if our specific needs are met. Other agencies use several different software packages.

Provide detail about the revenue assumptions supporting this request.

This is a tool to manage our many and several ongoing investigations. Revenue produced could be fines or recovery of investigative costs. Often there is a restitution paid by offender to the citizens of the state of Idaho. Our cases typically involve some combination of fines, cost recovery and restitution.

Who is being served by this request and what is the impact if not funded?

The citizens of the State of Idaho, the Department's law enforcement partners, and Attorney General staff assigned to the Department will be served. The citizens of the State of Idaho will be served. Those citizens who have been defrauded by bad actors rely on us to provide correction in our areas of responsibility. This software allows for better management of complex legal cases on their behalf.

If the Investigation Management System is not funded, all described personnel would be affected. In addition, this software increases the chances for favorable outcomes and favorable verdicts by enhancing investigative effectiveness, and overall efficiency of how our cases are investigated and monitored.

Program Request by Decision Unit

Request for Fiscal Year 2024

Agency: Department of Finance

250

Appropriation Unit: Department of Finance

FIAA

Decision Unit Number	12.05	Descriptive Title	Additional .15 FTP for PCN 0063 reclass			
			General	Dedicated	Federal	Total
Personnel Cost						
	500	Employees	0	36,200	0	36,200
		Personnel Cost Total	0	36,200	0	36,200
			0	36,200	0	36,200

Explain the request and provide justification for the need.

Seeking to reclass PCN 250-0063 to Financial Examiner Investigator 1. This DU is to provide the funds for the difference in compensation.

If a supplemental, what emergency is being addressed?

Specify the authority in statute or rule that supports this request.

Authority in statute supporting this request is the Idaho Residential Mortgage Practices Act Idaho Code § 26-31-201 et seq

Indicate existing base of PC, OE, and/or CO by source for this request.

What resources are necessary to implement this request?

.15 FTP for reclass

All other resources (OE, Capital) are in place

List positions, pay grades, full/part-time status, benefits, terms of service.

04439 Financial Examiner Investigator 1

Will staff be re-directed? If so, describe impact and show changes on org chart.

No

Detail any current one-time or ongoing OE or CO and any other future costs.

No changes OE or CO

Describe method of calculation (RFI, market cost, etc.) and contingencies.

Calculation and DU as per SCO Luma team.

Provide detail about the revenue assumptions supporting this request.

No change in revenue.

Who is being served by this request and what is the impact if not funded?

- Non-depository businesses and individuals seeking to do business in Idaho are impacted. These include (i) mortgage brokers/lenders; (ii) mortgage loan originators; (iii) mortgage servicers. The foregoing financial services-related businesses, together with their customers, will be served by the approval of the within request.

- If the request is not funded, the Department's ability to meet both its accreditation and strategic goals will suffer under the strain of increased workloads. Companies desiring to do business in Idaho will be primarily negatively impacted. If not funded, there is also an increased risk of harm to Idaho citizens from possible unlicensed activity or other illegal practices, and there will likely be ongoing delays for approval of licensing for new applicants.

FORM BE: WAGE & SALARY RECONCILIATION

Agency/Department:	Department of Finance	Agency Number:	250
Budgeted Division:	Department of Finance	Luma Fund Number	22900
Budgeted Program	Department of Finance	Appropriation (Budget) Unit	FIAA
		Fiscal Year:	2024
Original Request Date:	9/1/2022	Fund Name:	State Regulatory
Revision Date:		Budget Submission Page #	of

PCN	CLASS CODE	DESCRIPTION	Indicator Code	FTP	FY 2023 SALARY	FY 2023 HEALTH BENEFITS	FY 2023 VAR BENEFITS	FY 2023 TOTAL	FY 2024 CHG HEALTH BENEFITS	FY 2024 CHG VAR BENEFITS	TOTAL BENEFIT CHANGES
Totals from Wage and Salary Report (WSR):			1 2 3	63.00 0.00 63.00	4,917,432 4,620 0	787,500 0 0	1,028,200 394 0	6,733,132 5,014 0	78,750 0 0	(35,637) 0 (35,637)	43,113 0 43,113
Permanent Positions Board & Group Positions Elected Officials & Full Time Commissioners TOTAL FROM WSR					4,922,052	787,500	1,028,594	6,738,146	78,750		
FY 2023 ORIGINAL APPROPRIATION					5,734,892	917,550	1,198,459	7,850,900			
Unadjusted Over or (Under) Funded:					812,840	130,050	169,865	1,112,754			
Adjustments to Wage & Salary:											
Add Funded / Subtract Unfunded - Vacant or Authorized - Positions:											
Retire Cd Adjustment Description / Position Title											
0049	04443	R1 VACANT Financial Examiner/Inv 1	1	1.00	60,611	12,500	12,707	85,818	1,250	(442)	808
0061	04443	R1 VACANT Financial Examiner/Inv 1	1	1.00	60,611	12,500	12,707	85,818	1,250	(442)	808
0063	04443	R1 VACANT Financial Examiner/Inv 1	1	1.00	60,611	12,500	12,707	85,818	1,250	(442)	808
0086	04439	R1 VACANT Financial Examiner/Inv 3	1	1.00	77,355	12,500	16,217	106,072	1,250	(565)	665
0093	04423	R1 VACANT Financial Examiner/Inv 1	1	1.00	60,611	12,500	12,707	85,818	1,250	(442)	808
0094	04423	R1 VACANT Financial Inst Examiner 1	1	1.00	60,611	12,500	12,707	85,818	1,250	(442)	808
0095	04443	R1 VACANT Financial Examiner/Inv 1	1	1.00	60,611	12,500	12,707	85,818	1,250	(442)	808
9996	04439	VACANT Financial Examiner/Inv 3 (Temp)	2	0.00	16,364	0	1,268	17,632	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
3X	4439	R1 3X UNDER FE1 1 to FE1 2 Policy (PCONs 49.63.86)	1	0.00	23,526	0	4,932	28,458	0	(172)	(172)
5X	4439	R1 5X UNDER FE1 2 to FE1 3 Policy (PCONs 4.48.65.75.89)	1	0.00	28,788	0	6,035	34,823	0	(210)	(210)
3X	4415	R1 3X UNDER FE1 1 to FE1 2 Policy (PCONs 27.64.68)	1	0.00	21,134	0	4,431	25,565	0	(154)	(154)
2X	4415	R1 2X UNDER FE1 2 to FE1 3 Policy (PCONs 18.25)	1	0.00	12,710	0	2,665	15,375	0	(93)	(93)
0054	4415	R1 UNDER FE1 3 to Comm Policy	1	0.00	12,896	0	2,704	15,600	0	(94)	(94)
0026	4415	R1 UNDER FIE Comm to FIE Comm Sr Policy	1	0.00	2,933	0	615	3,548	0	(21)	(21)
0071	4415	R1 UNDER FIET 1 to FIET 2 Policy	1	0.00	7,842	0	1,644	9,486	0	(57)	(57)
Estimated Salary Needs:											
Permanent Positions			1	70.00	5,468,282	875,000	1,138,721	7,482,003	87,500	(39,485)	48,015
Board & Group Positions			2	0.00	20,984	0	1,662	22,646	0	0	0
Elected Officials & Full Time Commissioners			3	0.00	0	0	0	0	0	0	0
Estimated Salary and Benefits				70.00	5,489,266	875,000	1,140,383	7,504,649	87,500	(39,485)	48,015
Adjusted Over or (Under) Funding:											
Orig. Approp				0.00	253,265	40,371	52,615	346,251	Calculated overfunding is 4.4% of Original Appropriation		
Est. Expend				0.00	253,234	40,400	52,617	346,251	Calculated overfunding is 4.4% of Est. Expenditures		
Base				0.00	253,234	40,400	52,617	346,251	Calculated overfunding is 4.4% of the Base		

Personnel Cost Reconciliation - Relation to Zero Variance ---->

DU	Original Appropriation	FTP	FY 23 Salary	FY 23 Health Ben	FY 23 Var Ben	FY 2023 Total	FY 24 Chg Health Bens	FY 24 Chg Var Bens	Total Benefit Change

FORM B6: WAGE & SALARY RECONCILIATION

3.00	FY 2023 ORIGINAL APPROPRIATION	Rounded Appropriation						
			7,850,900	70.00	5,742,531	915,371	1,192,998	7,850,900
4.11	Appropriation Adjustments:							
4.31	Reappropriation Supplemental							
5.00	FY 2023 TOTAL APPROPRIATION							
6.31	Expenditure Adjustments:							
6.41	Transfer between programs							
7.00	FTP or Fund Adjustment							
8.31	FY 2023 ESTIMATED EXPENDITURES							
8.41	Base Adjustments:							
8.51	Transfer Between Programs							
	Removal of One-Time Expenditures							
	Base Reduction							
9.00	FY 2024 BASE							
10.11	Change in Health Benefit Costs							
10.12	Change in Variable Benefits Costs							
10.51	Annualization							
10.61	CEC for Permanent Positions							
10.62	CEC for Temp/Group Positions							
10.63	CEC for Elected Officials & Commissioners							
11.00	FY 2024 PROGRAM MAINTENANCE							
12.01	Line Items:							
12.02	Mortgage Examiner							
12.03	Securities Technician							
13.00	Admin FTP							
	FY 2024 TOTAL REQUEST							

FORM B6: WAGE & SALARY RECONCILIATION

Agency/Department:	Department of Finance	Agency Number:	250
Budgeted Division:	Department of Finance	Luma Fund Number	32527
Budgeted Program	Department of Finance	Appropriation (Budget) Unit	FIAA
		Fiscal Year:	2024
Original Request Date:	9/1/2022	Fund Name:	Securities Investor Training
Revision Date:	Revision #:	Budget Submission Page #	of

PCN	CLASS CODE	DESCRIPTION	Indicator Code	FTP	FY 2023 SALARY	FY 2023 HEALTH BENEFITS	FY 2023 VAR BENEFITS	FY 2023 TOTAL	FY 2024 CHG HEALTH BENEFITS	FY 2024 CHG VAR BENEFITS	TOTAL BENEFIT CHANGES
		Totals from Wage and Salary Report (WSR):									
		Permanent Positions	1	0.00	0	0	0	0	0	0	0
		Board & Group Positions	2		0	0	0	0	0	0	0
		Elected Officials & Full Time Commissioners	3	0.00	0	0	0	0	0	0	0
		TOTAL FROM WSR		0.00	0	0	0	0	0	0	0
		FY 2023 ORIGINAL APPROPRIATION	50,000	0.00	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	
		Unadjusted Over or (Under) Funded:	Est Difference	0.00	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!		
		Adjustments to Wage & Salary:									
		Add Funded / Subtract Unfunded - Vacant or Authorized - Positions:									
			Retire Cd	Adjustment Description / Position Title							
				0.00	0	0	0	0	0	0	
				0.00	0	0	0	0	0	0	
				0.00	0	0	0	0	0	0	
				0.00	0	0	0	0	0	0	
				0.00	0	0	0	0	0	0	
				0.00	0	0	0	0	0	0	
				0.00	0	0	0	0	0	0	
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				0.00	0	0	0	0	0	0	
				0.00	0	0					

FORM B6: WAGE & SALARY RECONCILIATION

Rounded Appropriation									
	0.00	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!				
4.11	Appropriation Adjustments:								
4.31	Reappropriation Supplemental								
5.00	FY 2023 TOTAL APPROPRIATION								
6.31	Expenditure Adjustments:								
6.41	Transfer Between Programs								
7.00	FTP or Fund Adjustment								
	FY 2023 ESTIMATED EXPENDITURES								
	Base Adjustments:								
8.31	Transfer Between Programs								
8.41	Removal of One-Time Expenditures								
8.51	Base Reduction								
9.00	FY 2024 BASE								
10.11	Change in Health Benefit Costs								
10.12	Change in Variable Benefits Costs								
10.51	Annualization								
10.61	CEC for Permanent Positions								
10.62	CEC for Temp/Group Positions								
10.63	CEC for Elected Officials & Commissioners								
11.00	FY 2024 PROGRAM MAINTENANCE								
	Line Items:								
12.01									
12.02									
12.03									
13.00	FY 2024 TOTAL REQUEST								

FORM B6. WAGE & SALARY RECONCILIATION

Supervisor Summary

Agency/Department:	Department of Finance	Agency Number:	250
Budgeted Division:	Department of Finance	Luma Fund Number:	22900
Budgeted Program:	Department of Finance	Appropriation (Budget) Unit:	FIAA
		Fiscal Year:	2024

Original Request Date:	9/1/2022	Fund Name:	State Regulatory
Revision Date:		Budget Submission Page #	of

PCN	CLASS CODE	DESCRIPTION	Indicator Code	FTP	FY 2023 SALARY	FY 2023 HEALTH BENEFITS	FY 2023 VAR BENEFITS	FY 2023 TOTAL	FY 2024 CHG HEALTH BENEFITS	FY 2024 CHG VAR BENEFITS	TOTAL BENEFIT CHANGES
Totals from Wage and Salary Report (WSR):			1	63.00	4,917,432	787,500	1,028,200	6,733,132	78,750	(35,637)	43,113
Permanent Positions			2	0.00	4,620	0	394	5,014	0	0	0
Elected Officials & Full Time Commissioners			3	63.00	4,922,052	787,500	1,028,594	6,738,146	78,750	(35,637)	43,113
TOTAL FROM WSR											
FY 2023 ORIGINAL APPROPRIATION					5,734,992	917,550	1,198,459	7,850,900			
Unadjusted Over or (Under) Funded:					812,840	130,050	169,865	1,112,754	Calculated overfunding is 14.2% of Original Appropriation		
Adjustments to Wage & Salary:											
Add Funded / Subtract Unfunded - Vacant or Authorized - Positions:											
Retire Cd											
Adjustment Description / Position Title											
0049	04443	R1 VACANT Financial Examiner/iv 1	1	1.00	60,611	12,500	12,707	85,818	1,250	(442)	808
0061	04443	R1 VACANT Financial Examiner/iv 1	1	1.00	60,611	12,500	12,707	85,818	1,250	(442)	808
0063	04443	R1 VACANT Financial Examiner/iv 1	1	1.00	60,611	12,500	12,707	85,818	1,250	(442)	808
0086	04439	R1 VACANT Financial Examiner/iv 3	1	1.00	77,355	12,500	16,217	106,072	1,250	(565)	808
0093	04423	R1 VACANT Financial Inst Examiner 1	1	1.00	60,611	12,500	12,707	85,818	1,250	(442)	808
0094	04423	R1 VACANT Financial Inst Examiner 1	1	1.00	60,611	12,500	12,707	85,818	1,250	(442)	808
0095	04443	R1 VACANT Financial Examiner/iv 1	1	1.00	60,611	12,500	12,707	85,818	1,250	(442)	808
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
				0.00	0	0	0	0	0	0	0
3X	4439	R1 6X UNDER FIE 1 to FIE 3 Policy (PCNs 49.61, 63.65, 95)	1	0.00	83,720	0	17,551	101,271	0	(611)	(611)
5X	4439	R1 11X UNDER FIE 2 to FIE 3 Policy (PCNs 4.42, 48.59, 75.77, 78.80, 89.90, 97)	1	0.00	71,307	0	14,949	86,256	0	(521)	(521)
3X	4415	R1 6X UNDER FIE 1 to FIE Command Policy (PCNs 27.64, 68.70, 93.94)	1	0.00	189,800	0	39,791	229,591	0	(1,386)	(1,386)
2X	4415	R1 3X UNDER FIE 2 to FIE Command Policy (PCNs 18.25, 82)	1	0.00	67,477	0	14,146	81,623	0	(493)	(493)
1X	4415	R1 1X UNDER FIE 3 to FIE Command Policy (PCN 54)	1	0.00	12,896	0	2,704	15,600	0	(94)	(94)
1X	4413	R1 3X UNDER FIE Command to FIE Command Sr Policy (PCN 7.26, 66)	1	0.00	14,696	0	3,079	17,765	0	(107)	(107)
1X	4448	R1 1X UNDER FIE IT 1 to FIE IT Command Policy (PCN 71)	1	0.00	32,032	0	6,715	38,747	0	(234)	(234)
Estimated Salary Needs:											
Permanent Positions			1	70.00	5,830,371	875,000	1,219,593	7,924,964	87,500	(41,866)	45,634
Board & Group Positions			2	0.00	4,620	0	394	5,014	0	0	0
Elected Officials & Full Time Commissioners			3	0.00	0	0	0	0	0	0	0
Estimated Salary and Benefits					5,834,991	875,000	1,219,987	7,929,978	87,500	(41,866)	45,634
Adjusted Over or (Under) Funding:											
Orig. Approp				0.00	(58,187)	(8,726)	(12,166)	(79,078)	Calculated underfunding is (1.0%) of Original Appropriation		
Est. Expend				0.00	(58,191)	(8,700)	(12,187)	(79,078)	Calculated underfunding is (1.0%) of Est. Expenditures		
Base				0.00	(58,191)	(8,700)	(12,187)	(79,078)	Calculated underfunding is (1.0%) of Base		

Personnel Cost Reconciliation - Relation to Zero Variance -->

You may not have sufficient funding or authorized FTP, and may need to make additional adjustments to finalize this form. Please contact both your DPM and LSO analysts.

DU	FY 2023 ORIGINAL APPROPRIATION	Original Appropriation	FTP	FY 23 Salary	FY 23 Health Ben	FY 23 Var Ben	FY 2023 Total	FY 24 Chg Health Bens	FY 24 Chg Var Bens	Total Benefit Change
3.00		7,850,900	70.00	5,776,804	866,274	1,207,821	7,850,900			
	Appropriation Adjustments:			5,776,800	866,300	1,207,800	7,850,900			
4.11	Reappropriation		0.00	0	0	0	0			

FORM B6: WAGE & SALARY RECONCILIATION

4.31	Supplemental		0.00	0	0	0	0	0
5.00	FY 2023 TOTAL APPROPRIATION		70.00	5,776,800	866,300	1,207,800	7,850,900	
6.31	Expenditure Adjustments:		0.00	0	0		0	0
6.41	Transfer Between programs		0.00	0	0	0	0	0
7.00	FTP or Fund Adjustment		70.00	5,776,800	866,300	1,207,800	7,850,900	
8.31	FY 2023 ESTIMATED EXPENDITURES							
8.41	Base Adjustments:		0.00	0	0	0	0	0
8.51	Transfer Between Programs		0.00	0	0	0	0	0
	Removal of One-Time Expenditures		0.00	0	0	0	0	0
	Base Reduction							
9.00	FY 2024 BASE							
10.11	Change in Health Benefit Costs		FTP	FY 24 Salary	FY24 Health Ben	FY 24 Via Ben	FY 2024 Total	
10.12	Change in Variable Benefits Costs		70.00	5,776,800	866,300	1,207,800	7,850,900	
		Indicator Code			87,500	(41,900)	87,500	
10.51	Annualization			0	0	0	0	
10.61	CEC for Permanent Positions	1.00%		58,300	0	11,800	70,100	
10.62	CEC for Temp/Group Positions	1.00%		0		0	0	
10.63	CEC for Elected Officials & Commissioners			0		0	0	
11.00	FY 2024 PROGRAM MAINTENANCE			5,835,100	953,800	1,177,700	7,966,600	
	Line Items:							
12.01	Mortgage Examiner	1	1.00	77,355	13,750	15,652	106,800	
12.02	Securities Technician	1	1.00	40,810	13,750	8,258	62,800	
12.03	Admin FTP	1	1.00	68,453		13,851	96,100	
13.00	FY 2024 TOTAL REQUEST		73.00	6,021,718	995,050	1,215,461	8,232,300	

PCF Detail Report

Request for Fiscal Year: 2024

Agency: Department of Finance

250

Appropriation Unit: Department of Finance

FIAA

Fund: State Regulatory Funds

22900

PCN	Class	Description	FTP	Salary	Health	Variable Benefits	Total
Totals from Personnel Cost Forecast (PCF)							
		Permanent Positions	63.00	4,917,437	787,500	1,028,200	6,733,137
		Total from PCF	63.00	4,917,437	787,500	1,028,200	6,733,137
		FY 2023 ORIGINAL APPROPRIATION	70.00	5,766,899	875,000	1,209,001	7,850,900
		Unadjusted Over or (Under) Funded:	7.00	849,462	87,500	180,801	1,117,763
Adjustments to Wage and Salary							
2500049	04439	FINANCIAL EXAMINER/INV 3 R90	.85	51,520	10,625	10,801	72,946
2500061	04439	FINANCIAL EXAMINER/INV 3 R90	1.00	60,611	12,500	12,707	85,818
2500086	04439	FINANCIAL EXAMINER/INV 3 R90	1.00	77,355	12,500	16,217	106,072
2500093	04415	FIN INST EXMNR, COMMD R90	1.00	60,611	12,500	12,707	85,818
2500094	04415	FIN INST EXMNR, COMMD R90	1.00	60,611	12,500	12,707	85,818
2500095	04439	FINANCIAL EXAMINER/INV 3 R90	1.00	60,611	12,500	12,707	85,818
Other Adjustments							
500		Employees	.00	36,200	0	0	36,200
501		Employees - Temp	.00	16,400	0	0	16,400
512		Employee Benefits	.00	0	0	1,300	1,300
Estimated Salary Needs							
		Board, Group, & Missing Positions	.00	16,400	0	1,300	17,700
		Permanent Positions	68.85	5,324,956	860,625	1,106,046	7,291,627
		Estimated Salary and Benefits	68.85	5,341,356	860,625	1,107,346	7,309,327
Adjusted Over or (Under) Funding							
		Original Appropriation	1.15	425,543	14,375	101,655	541,573
		Estimated Expenditures	1.15	425,543	14,375	101,655	541,573
		Base	1.15	425,543	14,375	101,655	541,573

PCF Detail Report

Request for Fiscal Year: 202
4

Agency: Department of Finance

250

Appropriation Unit: Department of Finance

FIAA

Fund: Public Instruction: Securities Investor Educ & Training

32527

PCN	Class	Description	FTP	Salary	Health	Variable Benefits	Total
		FY 2023 ORIGINAL APPROPRIATION	.00	41,334	0	8,666	50,000
		Unadjusted Over or (Under) Funded:	.00	41,334	0	8,666	50,000
		Adjusted Over or (Under) Funding					
		Original Appropriation	.00	41,334	0	8,666	50,000
		Estimated Expenditures	.00	41,334	0	8,666	50,000
		Base	.00	41,334	0	8,666	50,000

PCF Summary Report

Request for Fiscal Year: 202
4

Agency: Department of Finance

250

Appropriation Unit: Department of Finance

FIAA

Fund: State Regulatory Funds

22900

DU	FTP	Salary	Health	Variable Benefits	Total
3.00 FY 2023 ORIGINAL APPROPRIATION	70.00	5,766,899	875,000	1,209,001	7,850,900
5.00 FY 2023 TOTAL APPROPRIATION	70.00	5,766,899	875,000	1,209,001	7,850,900
7.00 FY 2023 ESTIMATED EXPENDITURES	70.00	5,766,899	875,000	1,209,001	7,850,900
9.00 FY 2024 BASE	70.00	5,766,899	875,000	1,209,001	7,850,900
10.11 Change in Health Benefit Costs	0.00	0	87,500	0	87,500
10.12 Change in Variable Benefit Costs	0.00	0	0	(39,500)	(39,500)
10.61 Salary Multiplier - Regular Employees	0.00	65,800	0	0	65,800
11.00 FY 2024 PROGRAM MAINTENANCE	70.00	5,832,699	962,500	1,169,501	7,964,700
12.01 Mortgage Examiner	1.00	77,355	13,750	15,652	106,757
12.02 Securities Technician	1.00	48,006	13,750	9,714	71,470
12.03 Program Specialist	1.00	60,611	13,750	12,264	86,625
13.00 FY 2024 TOTAL REQUEST	73.00	6,018,671	1,003,750	1,207,131	8,229,552

PCF Summary ReportRequest for Fiscal Year: 202
4

Agency: Department of Finance

250

Appropriation Unit: Department of Finance

FIAA

Fund: Public Instruction: Securities Investor Educ & Training

32527

DU	FTP	Salary	Health	Variable Benefits	Total
3.00 FY 2023 ORIGINAL APPROPRIATION	0.00	41,334	0	8,666	50,000
5.00 FY 2023 TOTAL APPROPRIATION	0.00	41,334	0	8,666	50,000
7.00 FY 2023 ESTIMATED EXPENDITURES	0.00	41,334	0	8,666	50,000
9.00 FY 2024 BASE	0.00	41,334	0	8,666	50,000
11.00 FY 2024 PROGRAM MAINTENANCE	0.00	41,334	0	8,666	50,000
13.00 FY 2024 TOTAL REQUEST	0.00	41,334	0	8,666	50,000

Close

B7

Agency	Finance, Department of
Request for the Purchase of	21 Standard Laptops, 21 Docking Stations
Agency Purchasing Representative	David Jensen
Agency Purchasing Representative Email Address	DAVE.JENSEN@FINANCE.IDAHO.GOV
Total Value of Request	\$33,600.00
Comments	We operate on a pre-planned laptop replacement schedule. One year is one class of examiner, the next is another class of examiner, the next year support and management. This year's request is support and management, so normal performance models are fine. This year's group includes management, HR, Attorneys (DAG) and legal staff, program and licensing support, and Securities technicians. Request is consistent with submitted IT Strategic Plan and Idaho Technology Authority (ITA) Enterprise Policies and Standards. 21 Standard Laptops @ \$1,400 (BDM recommended amount), Total \$29,400 21 Docking Stations @ \$200, Total \$4,200 Total Request \$33,600

ITS Comments

Analyst Comments

ITS Approval Status

Reviewed & Recommended

Version: 3.0

Created at 8/17/2022 11:41 AM by ☐ David JensenLast modified at 8/17/2022 1:27 PM by ☐ Chris Carlisle

Close

One-Time Operating & One-Time Capital Outlay Summary

Request for Fiscal Year: 2024

Agency: Department of Finance

250

Priority	Appropriation Unit	DU	Fund	Summary Account	Item Description	Current Mileage	Date Acquired	Quantity in Stock	Request Quantity Desired	Request Unit Cost	Request Total Cost
Detail											
1	FLAA	10.31	22900	740	21 Standard Laptops @ \$1,400 (BDM recommended amount), Total \$29,400 21 Docking Stations for above @ \$200, Total \$4,200 Total Request \$33,600	0		21.00	21.00	1,600.00	33,600
Grand Total by Appropriation Unit											
FLAA											
								Subtotal	21.00	21.00	33,600
Grand Total by Decision Unit											
								Subtotal			33,600
Grand Total by Fund Source											
								Subtotal			33,600
Grand Total by Summary Account											
								Subtotal	21.00	21.00	33,600
								Subtotal	21.00	21.00	33,600

FIVE-YEAR FACILITY NEEDS PLAN, pursuant to IC 67-5708B

AGENCY INFORMATION

AGENCY NAME:	Finance	Division/Bureau:	
Prepared By:	Jill Peterson-Pate	E-mail Address:	jill.peterson-pate@finance.idaho.gov
Telephone Number:	208-332-8023	Fax Number:	208-332-8099
DFM Analyst:	Lisa Herriot	LSO/BPA Analyst:	Frances Lippitt
Date Prepared:		For Fiscal Year:	2023

FACILITY INFORMATION (please list each facility separately by city and street address)

Facility Name: Chinden Campus

City: Boise

County:

Ada

Property Address: 11341 W Chinden Blvd BLDG 4 Floor 3

Zip Code:

83714

Facility Ownership
(could be private or state-owned)

Private Lease:

☐

State Owned:

☒

Lease Expires:

FUNCTION/USE OF FACILITY

COMMENTS

WORK AREAS

FISCAL YR:	ACTUAL 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025	REQUEST 2026	REQUEST 2027
Total Number of Work Areas:	84	84	84	84	84	84
Full-Time Equivalent Positions:	70	70	70	70	70	70
Temp. Employees, Contractors, Auditors, etc.:	7	7	7	7	7	7

SQUARE FEET

FISCAL YR:	ACTUAL 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025	REQUEST 2026	REQUEST 2027
Square Feet:	24,244	24,244	24,244	24,244	24,244	24,244

FACILITY COST

(Do NOT use your old rate per sq ft; it may not be a realistic figure)

FISCAL YR:	ACTUAL 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025	REQUEST 2026	REQUEST 2027
Total Facility Cost/Yr:	\$327,294.00	\$327,294.00	\$327,294.00	\$327,294.00	\$327,294.00	\$327,294.00

SURPLUS PROPERTY

FISCAL YR:	ACTUAL 2022	REQUEST 2023	REQUEST 2024	REQUEST 2025	REQUEST 2026	REQUEST 2027
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

IMPORTANT NOTES:

1. Upon completion, please send to Leasing Manager at the State Leasing Program in the Division of Public Works via email to Caitlin.Cox@adm.idaho.gov. Please e-mail or call 208-332-1933 with any questions.
2. If you have five or more locations, please summarize the information on the Facility Information Summary Sheet and include this summary sheet with your submittal.
3. Attach a hardcopy of this submittal, as well as the Facility Information Summary Sheet, if applicable, with your budget request. DPW LEASING DOES NOT NEED A COPY OF YOUR BUDGET REQUEST, JUST THIS FORM.

AGENCY NOTES:

Part I – Agency Profile

Agency Overview

The Department of Finance is a regulatory agency charged with the supervision and oversight of state-chartered financial institutions, regulated lenders, securities issuers, broker-dealers and stockbrokers, residential mortgage brokers, lenders, and originators, investment advisers and sales personnel, collection agencies, endowed care cemeteries, and others.

Core Functions / Idaho Code

The Department administers and enforces the following 22 regulatory statutes:

Idaho Bank Act § 26-101	Idaho Credit Code § 28-41-101
Idaho Bank Holding Company Act § 26-501	Idaho Financial Fraud Prevention Act § 67-2750
Idaho Interstate Banking Act § 26-2601	Idaho Collection Agency Act § 26-2221
Idaho Interstate Branching Act § 26-1601	Idaho Securities Act (2004) § 30-14-101
Idaho International Banking Act § 26-1701	Idaho Residential Mortgage Practices Act § 26-31-101
Idaho Trust Institutions Act § 26-3201	Business Combination Act § 30-1701
Idaho Savings Bank Act § 26-1801	Control Share Acquisition Act § 30-1601
Business And Industrial Development Corporation Act (BIDCO) § 26-2701	Idaho Commodity Code § 30-1501
Idaho Credit Union Act § 26-2101	Endowment Care Cemetery Act § 27-401
Idaho Money Transmitters Act § 26-2901	Continuing-Care Disclosure Act § 26-3701
Idaho Loan Broker Act § 26-2501	Idaho Escrow Act § 30-901

Revenue and Expenditures

Revenue	FY 2019	FY 2020	FY 2021	FY 2022
State Regulatory Fund	\$14,639,428	\$14,581,740	\$15,879,978	\$17,570,252
Total	\$14,639,428	\$14,581,740	\$15,879,978	\$17,570,252
Expenditures	FY 2019	FY 2020	FY 2021	FY 2022
Personnel Costs	\$6,081,706	\$5,597,954	\$6,057,113	\$6,471,619
Operating Expenditures	\$2,344,276	\$2,676,732	\$4,150,945	\$1,590,275
Capital Outlay	\$65,400	\$53,081	\$9,876	\$45,683
Total	\$8,491,382	\$8,327,767	\$10,217,934	\$8,107,576

Profile of Cases Managed and/or Key Services Provided

Cases Managed and/or Key Services Provided	FY 2019	FY 2020	FY 2021	FY 2022
Business or Individuals Regulated	195,580	202,460	207,955	221,121
Transfers to General Fund	\$5,788,806	\$4,017,260	\$7,770,186	\$8,255,764

Licensing Freedom Act

Agencies who participate in licensure must report on the number of applicants denied licensure or license renewal and the number of disciplinary actions taken against license holders.

	FY 2019	FY 2020	FY 2021	FY 2022
COLLECTION AGENT	*1st year branch #'s available and included			
Total Number of Licenses	1,258*	1,275	1,181	1,315
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	35	31	52	33
Number of Final Disciplinary Actions Against Licensees	0	1	2	3

	FY 2019	FY 2020	FY 2021	FY 2022
DEBT/CREDIT COUNSELOR				
<i>*Includes Branches</i>				
Total Number of Licenses	38*	47	48	48
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	1	1	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
REGULATED LENDER				
Total Number of Licenses	636	663	662	712
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	27	14	36
Number of Final Disciplinary Actions Against Licensees	0	0	0	1
SECURITIES BROKER – DEALER				
Total Number of Licenses	1,303	1,285	1,314	1,350
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	3	4	5	6
Number of Final Disciplinary Actions Against Licensees	1	2	0	1
SECURITIES AGENT				
Total Number of Licenses	119,269	123,703	132,562	146,664
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	19	17	4	1
Number of Final Disciplinary Actions Against Licensees	17	9	0	0
SECURITIES INVESTMENT ADVISER				
Total Number of Licenses	1,219	1,266	1,369	1,481
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	3	1	0	3
Number of Final Disciplinary Actions Against Licensees	0	2	2	1
SECURITIES INVESTMENT ADVISER REPRESENTATIVE				
Total Number of Licenses	3,006	3,144	3,324	3,480
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	1	2	1	2
Number of Final Disciplinary Actions Against Licensees	0	2	0	1

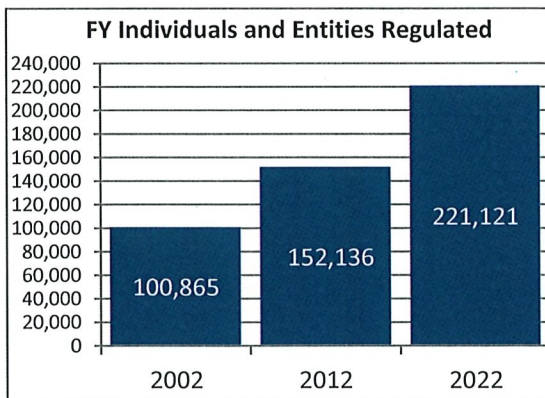
	FY 2019	FY 2020	FY 2021	FY 2022
ESCROW AGENT				
Total Number of Licenses	39	38	41	43
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	2	0	0	3
Number of Final Disciplinary Actions Against Licensees	2	1	1	2
CREDIT REPAIR AGENT <i>*Includes Branches</i>				
Total Number of Licenses	14*	17	14	12
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	1	0	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
TITLE LENDER				
Total Number of Licenses	58	56	54	46
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	0	2	2	1
Number of Final Disciplinary Actions Against Licensees	0	0	0	0
DEBT SETTLEMENT <i>*Includes Branches</i>				
Total Number of Licenses	13*	21	22	23
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	2
Number of Complaints Against Licensees	0	1	0	0
Number of Final Disciplinary Actions Against Licensees	0	0	0	2
MONEY TRANSMITTER				
Total Number of Licenses	116	140	148	176
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	12	6	17	22
Number of Final Disciplinary Actions Against Licensees	0	5	0	6
PAYDAY LENDER				
Total Number of Licenses	136	129	118	110
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	2	10	4	2
Number of Final Disciplinary Actions Against Licensees	0	0	0	0

	FY 2019	FY 2020	FY 2021	FY 2022
MORTGAGE LENDER/BROKER				
Total Number of Licenses	1,141	1,474	1,513	2,568
Number of New Applicants Denied Licensure	0	0	0	0
Number of Applicants Refused Renewal of a License	0	0	0	0
Number of Complaints Against Licensees	33	17	41	32
Number of Final Disciplinary Actions Against Licensees	0	0	0	1
MORTGAGE LOAN ORIGINATOR				
Total Number of Licenses	4,738	5,396	7,584	9,594
Number of New Applicants Denied Licensure	10	17	30	80
Number of Applicants Refused Renewal of a License	1	2	0	0
Number of Complaints Against Licensees	8	5	7	10
Number of Final Disciplinary Actions Against Licensees	0	19	2	80

FY 2021 Performance Highlights

Including Fiscal Year 2022, TOTAL transferred TO General Fund since 1994

\$124.7 Million



Part II – Performance Measures

Performance Measure		FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Promote public and industry confidence in the banking and credit union systems through timely, reasonable and effective supervision and regulation						
1. % of state-chartered banks examined within statutory timeframe	Actual	100%	100%	100%	100%	-----
	Target	100%	100%	Maintain 100%	Maintain 100%	Maintain 100%
2. % of state-chartered credit unions examined within statutory timeframe	Actual	100%	100%	100%	100%	-----
	Target	100%	100%	Maintain 100%	Maintain 100%	Maintain 100%
3. % of on-site examinations of state-registered / Idaho-based investment advisers*	Actual	30%	20.5%	36.7%	24%	-----
	target	33%	33%	33%	33%	33%
4. Conduct 200 compliance examinations of Consumer Finance Bureau licensees (does not include "for cause" examinations)	actual	191	83	133	56	-----
	target	Minimum of 200	200	200	200	Minimum of 200

Performance Measure Explanatory Notes

* Investment Adviser exam scheduling sometimes weighted to later part of calendar year – exams were completed, or will be completed, by end of calendar year.

For the FY25 Budget, we may change a few of these metrics due to nationwide accreditation standards and other industry changes; at that time we will provide 4 years of data for the new metrics from FY19 to FY23.

For More Information Contact

Patricia R. Perkins
 Finance, Department of
 PO Box 83720
 Boise, ID 83720-0031
 Phone: (208) 332-8010
 E-mail: Patricia.Perkins@finance.idaho.gov

Director Attestation for Performance Report

In accordance with *Idaho Code* 67-1904, I certify the data provided in the Performance Report has been internally assessed for accuracy, and, to the best of my knowledge, is deemed to be accurate.

Department: Department of Finance

Patricia R. Perkins
Director's Signature

August 22, 2022
Date

Please return to:

Division of Financial Management
304 N. 8th Street, 3rd Floor
Boise, Idaho 83720-0032

FAX: 334-2438
E-mail: info@dfm.idaho.gov